

Request for Proposal No.	885	
Title	Architectural/Engineering Design	
	Services for New Animal Control Center	
Date Issued	September 8, 2022	
Issued on behalf of	Engineering	
Proposals Due	October 6, 2022 @ 4:00 P.M.	
Submit Responses	Online via ProcureWare at	
	https://stamfordct.procureware.com	
Name saved file as	Proposer Name Response to Stamford	
	RFP No. XXX	
Deadline for questions	10 working days before the due date	
Contact for Technical	Lyudmila Fuks, Staff Engineer,	
Questions/Project	(203) 977-4135 or lfuks@stamfordct.gov	
Manager		
Contact for Purchasing	Erik J. Larson, Purchasing Agent	
Questions	elarson@stamfordct.gov	
Pre-Proposal Meeting	September 15, 2022 at 10:00 A.M. Meet	
	in the front entrance of the existing	
	Animal Control Center, located at 201	
	Magee Ave, Stamford, CT 06901	
Mandatory	Yes	

Introduction

The City of Stamford Engineering Bureau invites Architectural/Engineering firms, licensed to practice in the State of Connecticut, to submit proposals for design services to produce Construction Documents for the new Animal Control Center located on the property known as Stamford WPCA Magee Avenue in Stamford. The Construction Documents and Specifications are to be prepared for a fully operational building in accordance with the requirements, terms, and conditions of this Request for Proposals (RFP) and the Animal Control Unit Connecticut Department of Agriculture. The full scope of work is described in the scope/specifications and drawings appended hereto.

INTERNET USAGE ACKNOWLEDGEMENT

<u>Caution</u>: The competitive bid/proposal process requires the City of Stamford provide all competitors with equal and timely access to information. To enhance our capabilities, the Purchasing Department is providing bid information over the Internet. You may use this application provided you agree with the following understandings:

- 1. The City cannot guarantee that the equipment involved in this technology will be available to provide information or receive transmittals. IT IS YOUR RESPONSIBILITY TO ENSURE THAT YOU HAVE CURRENT INFORMATION AND THAT QUOTATIONS ARE RECEIVED AT THE DESIGNATED LOCATION, COMPLETE AND ON TIME.
- 2. The City is not responsible for the confidentiality of information transmitted over the Internet.
- 3. The City makes no guarantee as to the completeness or accuracy of downloaded "Request for Bid", "Request for Proposal" or "Request for Qualification."

RFQ/RFP SUBMISSION REQUIREMENTS

The Purchasing Department requests that you identify clearly your fee proposal sheet(s), as well as your bid bond pages if applicable.

Bids/Proposals must be received by the due date and time in order to be considered. Please be advised that the Purchasing Department does not accept bids or proposals by email or fax. More Information: (203) 977-4108, (203) 977-4107 or (203) 977-4994.

The following documents should be returned with your RFQ/RFP:

Contractor's Statement
Non-Collusion Affidavit
City of Stamford State of Connecticut Contractor Verification (in accordance with Public Act 16-67) Compliance Affidavit (For all school projects)
A Certificate of Corporate Resolution signed by the Secretary of your firm, authorizing
you to execute a contract.
Or
A Certification as to Contract Signatory for Limited Liability Companies (LLCs) signed
by the Secretary of your firm, authorizing you to execute a contract.
Proposer's Information and Acknowledgement Form
Department of the Treasury Internal Revenue Service Form W-9
Commission on Human Rights and Opportunities Contract Compliance Regulations
Notification to Bidders Form
http://www.ct.gov/chro/lib/chro/Notification to Bidders.pdf

The Purchasing Agent reserves the express right, on behalf of the City of Stamford, to waive any/all technical defects, irregularities and omissions if the best interest of the City is served.

Issuing Office

This RFP is being issued by the Purchasing Department of the City of Stamford on behalf of the department identified on the coversheet, hereinafter referred to as the "City." The issuing officer is the Purchasing Agent or designee.

Inquiries

All technical inquiries regarding this RFP must be in writing and must be addressed to the Technical Contact identified on the coversheet. The deadline for submitting questions related to this RFP is 10 working days before the due date. Responses to all questions will be furnished through a formal addendum following the closing date listed herein.

Incurring Cost

The City of Stamford will not be held responsible for any costs incurred by the proposer for work performed in preparation and production of a proposal or for any work performed prior to the issuance of a contract.

Rejection/Acceptance of Proposals

The City of Stamford reserves the right to refuse for any reason deemed to be in the City's best interest any and/or all proposals submitted under this RFP.

This RFP is not an offer to contract. Acceptance of a proposal neither commits the City of Stamford to award a contract with any firm, even if all requirements in this RFP are met, nor limits its right to negotiate in the best interest of the City of Stamford. The City of Stamford reserves the right to contract with any firm for any reason.

Addenda to RFP

Amendments to this RFP may be necessary prior to the closing date and will be furnished to all prospective proposers. Failure to acknowledge receipt of amendments in accordance with the instructions contained in the amendment may result in the proposal not being considered.

Submission of Proposals

Each proposer must submit their proposal via ProcureWare by the date and time stated on the cover sheet. Proposals received after the date and time prescribed shall not be considered for contract award and shall be returned to the proposer. Neither mailed, faxed or emailed RFP responses will be accepted as qualified RFP submission.

Proprietary Information

The City of Stamford will not disclose any portion of the proposals except to members of the proposal evaluation team prior to contract award. The City of Stamford retains the right to disclose the name of the successful proposer, the financial considerations and any other information in the proposal that is pertinent to the selection of the proposer.

Key Personnel

Vendors must clearly explain and identify, in detail, the services they provide and identify their qualifications. Vendors must provide a resume indicating their experience.

Independent Project Cost Determination and Gratuities

By submission of a proposal, the proposer certifies that in connection with its procurement:

The financial data in this offer has been arrived at independently, without consultation, communication, or agreement, for the purposes of restricting competition, as to any matter relating to such prices with any other proposer or competitor.

The financial data quoted in this offer will not change for a period of one hundred twenty (120) days after the receipt date at the City of Stamford of this offer.

Unless otherwise required by law, the financial data which has been quoted in this offer have not been knowingly disclosed by the proposer and will not knowingly be disclosed by the proposer prior to award, directly or indirectly to any other proposer or to any competitor.

No attempt has been made or will be made by the proposer to induce any other person or firm to submit or not to submit an offer for the purpose of restricting competition.

No elected official or appointed official or employee of the City of Stamford shall benefit financially or materially from this contract.

Prime Contractor Responsibility

Vendors submitting proposals to this RFP may not utilize the service of subcontractors without the prior written approval of the City of Stamford. If sub-contractors or partners are planned to be used, this should be clearly explained in the response. The prime contractor will be responsible for the entire contract performance whether or not a sub-contractor or partner is used to perform. All corporate information required in this RFP must be included for each proposed partner or sub-contractor. The proposal must also include copies of any agreements to be executed between the prime contractor and any partners or sub-contractors in the event of contract award. Under this RFP, the City of Stamford retains the right to approve all partners or sub-consultants.

Availability of Funds

The contract award under this RFP is contingent upon the availability of funds to the department identified on the coversheet. In the event that funds are not available, any contract resulting from this RFP will become void and of no force and effect.

Termination for Default or for the Convenience of the Contracting Agency

Performance under any contract resulting from this RFP may be terminated by the City of Stamford whenever:

The contractor shall default in the performance of his/her contract and shall default within the period specified by the contracting officer in a notice specifying default; or

The contracting officer shall determine that termination is in the best interest of the Office of Administration/Operations, the Purchasing Department or the City of Stamford.

Termination will be effected by delivery to the contractor of a notice to terminate, stating the date upon which the termination becomes effective.

In the event of termination of this agreement as a result of a breach by the Consultant hereunder, the City shall not be liable for any fees and may, at its sole discretion, award an agreement of the same services to another qualified firm with the best proposal or call for new proposals and award the agreement thereunder.

Ambiguity in the Request for Proposal (RFP)

Prior to submitting the proposal, the contractor is responsible to bring to the City's attention any ambiguity in this RFP. Failure to do so shall result in the contractor forfeiting any claim for adjustment based on such ambiguity as should have been noted by a prudent contractor.

In the event of any ambiguity between the City's RFP and the proposer's proposal, then whatever shall be more favorable to the City of Stamford as determined in the sole discretion of the City shall prevail and take precedence.

Ownership Information

The City of Stamford shall have unlimited rights to use, disclose or duplicate, for any purpose whatsoever, all information developed, derived, documented or furnished by the proposer under any contract resulting from this RFP.

In the event of contract award, all data collected and other documentation produced as part of the contract will become the exclusive property of the City of Stamford and may not be copied or removed by any employee of the proposer without written permission of the City of Stamford.

Negotiated Changes

In the event negotiated changes occur after the awarding of the contract, any policies called for in the original contract will remain in effect.

Contract Agreement

The selected proposer will be required to agree to and sign a formal written contract agreement in a form approved by the City of Stamford's Office of Legal Affairs.

Insurance Requirements

The selected proposer, upon the signing of the formal contract, will be required to deliver an insurance certificate in amounts, companies and terms acceptable to the Risk Manager of the City of Stamford. See attached insurance requirements.

Competition Intended

It is the City's intent that this RFP permit competition. It shall be the proposer's responsibility to advise the City in writing if any language, requirement, scope, specification, etc., or any combination thereof, inadvertently restricts or limits the requirements stated in this RFP to a single source. Such notification must be received by the City not later than seven (7) days prior to the date set for acceptance of proposals.

Tax Exempt

The City of Stamford is exempt from the payment of taxes imposed by the Federal Government and/or State of Connecticut. Such taxes must not be included in the bid price.

Sample Agreement

A sample agreement follows and is made a part of these conditions. It is an example only. The actual contract submitted for your firm's signature will vary based upon the particulars of the specific bid package. The sample is for illustrative purposes only and the terms of the final contract may differ substantially.

Notification to Bidders (Rev. 9-1-17)

The contract awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes.

The City of Stamford is an Affirmative Action/Equal Opportunity Employer/purchaser. Small, Minority, Women and Disadvantaged Business Enterprises are encouraged to respond.

The City of Stamford aggressively solicits the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials. "Minority business enterprise" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: (I) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as"(!) Black Americans; (2) Hispanic Americans; (3) persons who have origins in the Iberian Peninsula; (4) Women; (5) Asian Pacific Americans and Pacific Islanders; and (6) American Indians. An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The City will consider the following factors when reviewing the Bidder's/Proposer's qualifications:

- (a) success in implementing an affirmative action plan;
- (b) where applicable, success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-1 7 of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) a promise to develop and implement a successful affirmative action plan;
- (d) submission of employment statistics contained in the Connecticut Commission on Human Rights and Opportunities ("CCHRO") "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) a promise to set aside a portion of the contract for legitimate minority business enterprises.

2. Non-Discrimination

- (a) The contractor agrees and warrants that in the performance of the contract, it will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. If the contract is for a public works project, the contractor agrees and warrants that it will make good faith efforts to employ minority business enterprises as subcontractors and supplies of materials on such project. The contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;
- (b) the contractor agrees, in all solicitations or advertisements for employees placed by or on

behalf of the contractor, to state that it is an "Affirmative Action-Equal Opportunity Employer" in accordance with regulations adopted by the CCHRO;

- (c) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a copy of these provisions, advising the labor union or worker's representative of the contractor's commitments under these provisions and to post copies of the notice in conspicuous places available to employees and applicants for employment;
- (d) the contractor agrees to comply with each provision of this section and Conn. Gen. Stat. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive, and with each regulation or relevant order issued by said CCHRO;
- (e) the contractor agrees to provide the City with such information requested by the City, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor.

3. Subcontractors

The contractor shall include the provisions of subsection (2) in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the City and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the CCHRO. The contractor shall take such action with respect to any such subcontract or purchase order as the City may direct as a means of enforcing such provisions.

The contractor agrees to comply with the CCHRO's requirements as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.

GIFTS:

During the term of this contract, including any extensions, the Contractor shall refrain from making gifts of money, goods, real or personal property or services to any appointed or elected official or employee of the City of Stamford or the Stamford Board of Education or any appointed or elected official or employee of their Boards, Commissions, Departments, Agencies or Authorities. All references to the Contractor shall include its officers, directors, employees, and owners of more than 5% equity in the contractor. Violation of this provision shall constitute a material breach of this Agreement, for which this Agreement may be summarily terminated.

AGREEMENT

THIS AGREEMENT dated the day of,, is by and between the CITY OF STAMFORD (hereinafter the "City"), a municipal corporation organized
and existing pursuant to the laws of the State of Connecticut with a principal place of business located at 888 Washington Boulevard, Stamford, Connecticut, and acting herein by Caroline
Simmons, its duly authorized Mayor, and (hereinafter the "Consultant"), a company with a principal
place of business located at, and acting herein by
, its duly authorized
WITNESSETH
WHEREAS, The City solicited Request for Proposals No for for
WHEREAS, The Consultant submitted a proposal in response to the City's RFP No; and
WHEREAS, The City has accepted the Consultant's proposal pursuant to the terms hereinafter set forth;
NOW THEREFORE, THE PARTIES AGREE AS FOLLOWS:
1. INCORPORATION OF RECITALS. The above terms and conditions are contractual in nature and not merely recitals and are hereby incorporated into this Agreement;
2. CONTRACT DOCUMENTS AND SCOPE OF SERVICES. The Contract Documents consist of this Agreement and the following Exhibits that, combined, define the duties, functions, obligations, responsibilities, and tasks of the Scope of Services:
Exhibit A – The City's RFP No; and
Exhibit B – The Consultant's Proposal;
both attached hereto and hereby made a part hereof as if fully set forth herein;
3. NO EXCLUSIVE RIGHT TO WORK. Nothing contained herein shall grant the Consultant an exclusive right to perform the Scope of Services. The City may enter into similar agreements with other Consultants at its sole discretion on an as-needed basis;
4. DAYS/HOURS OF OPERATION. The Consultant shall, as directed by the City's
, provide the Scope of Services for() hours per, and() days per in the City's, during normal hours, and shall always be readily available in person or by
during normal hours, and shall always be readily available in person or by
telephone for consultation with the City's;
5. COMPENSATION. The Consultant shall be compensated for the Scope of Services at the rate of (\$) Dollars, payable in
installments;
<u>6. TERM.</u> The Term of this Agreement shall commence when signed below by the City's Mayor and terminate () year(s) thereafter. The parties may, by mutual agreement, extend the Term of this Agreement for (), additional years provided that all

other terms of this Agreement remain the same. No such extension shall be for greater than () year(s) and, under no circumstances, shall the entire Term of this Agreement,					
including any extension years, exceed() years;					
or					
COMMENCEMENT AND COMPLETION OF WORK . The Consultant shall commence the Scope of Services upon the execution of this Agreement by both parties and shall complete said services in a timely, efficient and diligent manner (certain time to complete? Any milestone dates?);					
7. CONSULTANT'S REPRESENTATIVE AND KEY PERSONNEL. The following					
representative of the Consultant is hereby authorized to act on behalf of the Consultant with respect to the Scope of Services and shall have full authority to accept instructions, make decisions, communicate for and act on behalf of the Consultant at all times.					
Consultant Representative:					
Title:					
In addition to the Consultant's Representative, the following Key Personnel of the Consultant shall be assigned to, participate in and be available to the City for the Scope of Services.					
Key Personnel:					
Title:					
Title:					
Neither the Consultant's Representative nor the Key Personnel shall be replaced by the					

Neither the Consultant's Representative nor the Key Personnel shall be replaced by the Consultant without fifteen (15) days prior written consent of the City;

- **8. REPRESENTATIONS.** The Consultant represents that it is qualified in relation to the Scope of Services and further represents that it has the requisite skill, expertise, and knowledge necessary to perform the Scope of Services, including any supplementary services. The Consultant hereby acknowledges that the City has relied upon said representations in entering into this Agreement;
- **9. CAPACITY/INDEPENDENT CONTRACTOR.** Consultant is acting as an independent contractor and is not an employee of the City. This Agreement is for services only and does not create a partnership or joint venture between the Consultant and the City. The City shall not be required to pay, or make any contribution to, any social security, local, state of federal tax, unemployment compensation, workers' compensation, insurance premium, profit-sharing, pension or any other employee benefit for the Consultant during the Term of this Agreement. The Consultant is responsible for paying, and complying with reporting requirements for, all state, local, and federal taxes related to payments made to the Consultant under this Agreement;
- <u>10. INDEMNIFICATION.</u> The Consultant shall indemnify, hold harmless and, at the City's option, defend the City, its officers, agents and employees, from third party claims for loss, cost, damage, liability, and/or injury to or death of a person, including the agents and employees of the Consultant, or loss of or damage to property, resulting directly or indirectly from the Consultant's or the Consultant's officers', agents' or employees' negligent performance pursuant to this Agreement, or by any negligent omission to perform some duty imposed by law or this Agreement upon the Consultant, its officers, agents and employees. The foregoing indemnity shall include

reasonable attorneys' fees and costs of suit, if applicable, shall not be limited by reason of any insurance coverage required pursuant to this Agreement, and shall survive the termination of this Agreement;

- 11. INSURANCE. The Consultant shall procure, at its sole expense, and maintain for the entire Term of this Agreement, including any extensions, insurance coverages as set forth in the City of Stamford Insurance Requirements included in the City's Request for Proposals No. ______ attached hereto as Exhibit A;
- **12. LIMITATION OF LIABILITY.** The Consultant's sole remedy for City delays shall be an extension of time to complete the Scope of Services and the Consultant hereby waives any claims for consequential damages, including, but not limited to, principal office expense, loss of financing, reputation and/or lost profit;
- **13. ASSIGNMENT.** The Consultant shall not assign or transfer any portion of the Scope of Services without the prior written approval of the City;

14. SUBCONTRACTING/SUBCONSULTING. Aside from those

subconsultants/subcontractors disclosed in the Consultant's Proposal, attached hereto as Exhibit B, the Consultant is prohibited from further subconsulting/subcontracting the Scope of Services or any part of it unless the City first approves such subconsulting/subcontracting in writing and approves, in writing, of the specific subconsultant(s)/subcontractor(s) the Consultant proposes to be used. An agreement made in violation of this provision shall confer no rights on any party and shall be null and void. Should the City approve of a proposed subconsultant, the Consultant agrees to comply with the City's Code of Ordinances § 103.4;

- **15. REVIEW OF WORK.** The Consultant shall permit the City, its agents and/or employees to review, at any time, all work performed pursuant to the terms of this Agreement at any stage of the work;
- 16. BOOKS AND RECORDS. The Consultant shall maintain or cause to be maintained all records, books, or other documents relative to charges, costs, expenses, fees, alleged breaches of this Agreement, settlement of claims, or any other matter pertaining to the Consultant's demand for compensation by the City for a period of not less than three (3) years from the date of the final payment for services performed under this Agreement;
- 17. CONTRACT EXTRAS. Pursuant to the City of Stamford Code of Ordinances, Section 23-18.4 C., it is specifically understood and agreed by the Consultant that all contract extras regarding this Agreement shall be governed by the City of Stamford Charter and/or Code of Ordinances. The City shall not be liable for payment of any additional costs, except as otherwise expressly set forth in this Agreement, unless the provisions of the City of Stamford Charter and/or Code of Ordinances are fully complied with. The City of Stamford Charter and Code of Ordinances can be found at www.municode.com;
- **18. COMPLIANCE WITH CITY OF STAMFORD CODE PROVISIONS.** The Consultant hereby agrees to fully comply, to the extent applicable, with the requirements of the City of Stamford Code of Ordinances, Sections 103-1 through 103-10, regarding consultants in general. Failure to so comply shall constitute a material breach of the terms of this Agreement. The provisions of the City of Stamford Code of Ordinances can be found at www.municode.com;

19. TERMINATION.

A. TERMINATION FOR CAUSE, SANCTIONS AND PENALTIES. If, through any cause, the Consultant shall fail to fulfill, in a timely and proper manner, its obligations under this Agreement, or if the Consultant shall violate any laws or any of the covenants, agreements,

or stipulations of this Agreement, the City shall thereupon have the right to terminate this Agreement for cause by giving written notice to the Consultant of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In that event, all finished or unfinished reports, documents, data, studies, photographs, or other material prepared by the Consultant pursuant to its performance under this Agreement shall, at the option of the City, become the City's property. The Consultant shall be entitled to receive just and equitable compensation for any satisfactory services completed up to the effective date of termination. The Consultant shall not be responsible for any claims resulting from the City's use of the documents on another project or changes made to the documents without the Consultant's express written permission;

The term "cause" includes, without limitation the following:

- 1) If the Consultant furnished any statement, representation, warranty or certification in connection with this Agreement, which is materially false, deceptive, incorrect, or incomplete;
- 2) If the Consultant fails to perform to the City's satisfaction any material requirement of this Agreement or is in violation of any specific provision thereof or any State or Federal law or requirement; or
- 3) If the City reasonably determines that satisfactory performance of this Agreement is substantially endangered or can reasonably anticipate such an occurrence or default.

Should the City terminate this Agreement for cause, the Consultant shall not be relieved of liability to the City for any damages sustained by the City by virtue of any breach of this Agreement by the Consultant and the City may withhold any payment to the Consultant for the purposes of setoff until such time as the exact amount of damages due the City from the Consultant is determined. Further, if applicable, the City shall have the right to:

- 1) Complete the Scope of Services, or any part thereof, either by itself or by other consultants, at the expense of the Consultant;
- 2) Purchase the products or services that are the subject of this Agreement elsewhere and hold the Consultant responsible for any increase in cost;
- 3) Pursue any equitable remedy, including, but not limited to, specific performance or injunction; and/or
- 4) Disqualify the Consultant from bidding on, submitting proposals for, or being awarded any City contract for a period not to exceed two (2) years from the date of such termination;
- B. TERMINATION FOR CONVENIENCE. The City may terminate this Agreement at any time the City determines that the purposes of the distribution of monies under the Agreement would no longer be served by the services provided. The City shall effect such termination by giving written notice of termination to the Consultant and specifying the effective date thereof, at least twenty (20) days before the effective date of such termination. In that event, all finished or unfinished documents and other materials as described Subsection A shall, at the option of the City, become property of the City. If the Agreement is terminated by the City as provided herein, the Consultant shall be paid an

amount which bears the same ratio to the total compensation as the services actually and satisfactorily performed to the effective date of termination bear to the total services of the Consultant pursuant to the terms of the Agreement, less payments of compensation previously made, and subject to the City's right of set off for any damages pursuant to the terms of the Agreement;

20. DISPUTE RESOLUTION.

A. EXECUTIVE MEETING. The parties shall endeavor to resolve all claims, disputes, or other matters in controversy arising out of or related to this Agreement ("Claims") through a meeting of the chief executives of each party, or their respective designees ("Executive Meeting").

A request for an Executive Meeting shall be made by a party in writing and delivered to the other party. The request may be made concurrently with the filing of a non-binding mediation as set forth herein. The Executive Meeting shall be a condition precedent to mediation unless 30 days have passed after the Executive Meeting has been requested with no meeting having been held.

The Executive Meeting shall be held in the place where the Project is located, unless another location is mutually agreed upon.

B. MEDIATION. Any Claim subject to, but not resolved by, an Executive Meeting shall be subject to mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its applicable rules and procedures in effect on the date of this Agreement. A request for mediation shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the mediation.

The request may be made concurrently with the filing of arbitration but, in such event, mediation shall proceed in advance of arbitration, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

C. ARBITRATION. Any Claim subject to, but not resolved by, mediation shall, in the sole discretion of the City, be subject to arbitration which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its applicable rules and procedures in effect on the date of this Agreement. A demand for arbitration shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the arbitration.

A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for

arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law.

Any judgment will be entered or court action will be brought in a court of competent jurisdiction within the State of Connecticut.

- D. PERFORMANCE DURING DISPUTE. Unless otherwise directed by the City, the Consultant shall continue performance under this Agreement while matters in dispute are being resolved.
- E. CLAIMS FOR DAMAGES. Should either party to this Agreement suffer injury or damage to person or property because of any act or omission of the other party or of any of its employees, agents or others for whose acts it is legally liable, a claim for damages therefor shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage;
- **21. COMPLIANCE WITH LAWS.** The Consultant shall be responsible for compliance with all applicable federal, state and local laws, rules, regulations, codes, orders, ordinances, charters, statutes, policies and procedures;
- **22. CONFIDENTIALITY.** During and after the Term of this Agreement, the Consultant, including, without limitation, its employees, agents, servants and representatives, shall not directly or indirectly disclose or make available to any person, firm, corporation, association or other entity of any reason or purpose whatsoever, or use or cause to be used in any manner adverse to the interest of the City, any financial, administrative or other confidential business information, except as require by law;
- 23. SETOFF OF PROPERTY TAXES OWED TO THE CITY OF STAMFORD. Pursuant to the City of Stamford Code of Ordinances Section 23-18.4.1 and Section 12-146b of the Connecticut General Statutes, as amended, the Consultant hereby acknowledges that the City shall have the right to set-off or withhold any payment, or portion thereof, due to the Consultant pursuant to this Agreement if any taxes levied by the City of Stamford against any property, both real and personal, owned by the Consultant are delinquent and have been so delinquent for a period of not less than one year. Any amount withheld from the Consultant pursuant to this section shall be applied to the Consultant's delinquent taxes, provided, however, that no such amount withheld shall exceed the amount of tax, plus penalty, lien fees and interest, outstanding at the time of withholding;
- **24. GIFTS.** During the Term of this Agreement, including any extensions, the Consultant shall refrain from making gifts of money, goods, real or personal property or services to any appointed or elected official or employee of the City of Stamford or the Stamford Board of Education or any appointed or elected official or employee of their Boards, Commissions, Departments, Agencies or Authorities. All references to the Consultant shall include its members, officers, directors, employees, and owners of more than 5% equity in the Consultant;
- **25. CODE OF ETHICS.** The Consultant is prohibited from using its status as a consultant to the City to derive any interest(s) or benefit(s) from other individuals or organizations and the Consultant shall comply with the prohibitions set forth in the Stamford Municipal Code of Ethics as codified in Chapter 19 of the City of Stamford Code of Ordinances;

- **26. MORALS CLAUSE.** Neither the Consultant, the Consultant's Representatives nor the Consultant's key personnel shall commit any act or do anything which might reasonably be considered: (i) to be immoral, deceptive, scandalous or obscene; or (ii) to injure, tarnish, damage or otherwise negatively affect the community and/or the reputation and goodwill associated with the City. If the Consultant, the Consultant's Representative or the Consultant's key personnel is accused of any act involving moral or ethical issues, dishonestly, theft or misappropriation, under any law, or any act which casts an unfavorable light upon its association with the community and/or the City or the Consultant is accused of performing or committing any act which could adversely impact the Consultant's events, programs, services, or reputation, the City shall have the right to terminate this contract upon fifteen (15) days written notice specifying the reason, within which period the Consultant may cure such offense. The determination of whether and to what extent the offense is cured shall be made by the City at its sole discretion;
- **27. NON-APPROPRIATION.** The Consultant acknowledges that the City is a municipal corporation, that the City's obligation to make payments under this Agreement is contingent upon the appropriation by the City's Board of Representatives of funds sufficient for such purposes for each budget year in which the Agreement is in effect;
- **28. GOVERNING LAWS.** The parties deem this Agreement to have been made in the City of Stamford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Agreement to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Fairfield, at Stamford, only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court. The Consultant hereby waives any objection which it may now have or will have to the laying of venue of any claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding;
- **29. INTERPRETATION.** The Consultant agrees that, in the event of any ambiguity between the terms of this Agreement and any of the incorporated Exhibits, the City, in its sole discretion, shall determine the terms and/or document(s) which shall prevail and take precedence, except for those terms relating to the Scope of Services or Compensation, to which such terms this section shall not apply; and
- <u>30. COUNTERPARTS.</u> This Agreement may be executed in any number of counterparts, each of which shall be deemed an original. The counterparts shall together constitute but one Agreement. Any signature on a copy of this Agreement or any document necessary or convenient thereto sent by facsimile, PDF or other electronic format shall be binding upon such transmission and the facsimile, PDF or other electronic format copy shall be deemed an original for the purposes of this Agreement.
- 31. NON-WAIVER. The failure of the City to insist upon strict performance of any of the terms, conditions or covenants herein shall not be deemed a waiver of any rights or remedies that the City may have; and shall not be deemed a waiver of any subsequent breach or default of the terms, conditions or covenants herein contained. The City reserves the right to require strict compliance therewith at any time, with or without notice, except as may be otherwise required herein.

REMAINDER OF PAGE INTENTIONALLY LEFT BLANK.

SIGNATURE PAGE FOLLOWS.

IN WITNESS WHEREOF, the parties have hereunto set their hands and seals the day and year first above written. Signed, sealed and delivered in the presence of:

CITY OF STAMFORD

	By:
Print:	By:Caroline Simmons
Witness	Mayor
	Date:
Print: Witness	
	CONSULTANT
	By:
Print: Witness	
Withess	Date:
Print:	
Witness	
Approved as to Form:	Approved as to Insurance:
Chris Dellaselva	David Villalva
Asst. Corp. Counsel	Risk Manager
Date:	Date:

Contractor's Statement

Pursuant to Section 103.1 of the Stamford Code of Ordinances, I hereby provide the following: If a joint venture, trustee, partnership, limited liability company or partnership, the names and addresses of all joint ventures, beneficiaries, partners or members: If a corporation, the names and addresses of all officers, and the names and addresses of all parties owning over 10% of its common stock or over 10% of its preferred stocks. If any of said stockholders is a holding corporation, the names and addresses of all persons owning a beneficial interest in over 10% if the common or preferred stock of said holding company. The names and positions of all persons listed hereinabove who are elected or appointed officers or employees of the City of Stamford. Name of Bidder/Proposer: Signature of Bidder/Proposer: Title: _____ Company Name: Address: Indicate if company submitting this proposal is: _____MBE ____WBE ____DBE

Non-Collusion Affidavit

The undersigned, having been duly sworn, affirms and says that to the best of his/her knowledge and belief:

- 1. The prices in this Proposal have been arrived at independently without collusion, consultation, communication, or agreement with any other Proposer or with any competitor for the purpose of restricting competition.
- 2. Unless otherwise required by law, the prices, which have been quoted in this Proposal, have not been knowingly disclosed by the Proposer and will not knowingly be disclosed by the Proposer prior to opening, directly or indirectly, to any other Proposer or to any competitor.
- 3. No attempt has been made or will be made by the Proposer to induce any other person, partnership or corporation to submit or not to submit a Proposal for the purpose of restricting competition.

Name of Proposer:		
By:		
Print Name:		
Title:		
ACKNOWLEDGMENT		
STATE OF	<u></u>	
COUNTY OF	ss	
Date:		
Personally appeared	, as	
of the above named firm, and attested tha best of his/her knowledge and belief.	it the foregoing statements are true	and accurate to the
	Signature of Notary Public	
	My Commission Expires:	

EFFECTIVE: 2/24/09

<u>City of Stamford</u> <u>State of Connecticut Contractor Verification (in accordance with Public Act 16-67)</u>

Compliance Affidavit

I, the undersigned, personally and on behal	f of, having
Act 16-67 Concerning the Disclosure of Ce Penalties for Threatening in Educational Se Summary Process Complaints, and that nei knowledge, is in possession of any informa misconduct, or otherwise have knowledge of the project identified in RFQ/RFP or Bid S (RFQ/I) become aware of any information indicating	(Contractor) e read, understand and am in compliance with Public ertain Education Personnel Records, Criminal ettings and the Exclusion of a Minor's Name from other I nor said Contractor, to the best or my ention indicating a finding of abuse or neglect or sexual of such a condition(s) for any employees working on Further, if I or said Contractor RFP or Bid Number) ag such a finding, or otherwise gain knowledge of such a mmediately forward such information to the City of
Contractor Name:	
ACKNOWLEDGMENT	
STATE OF	
COUNTY OF	
Date:	
Personally appeared	d that the foregoing statements are true and accurate
	Signature of Notary Public
	My Commission Expires:

CERTIFICATE OF CORPORATE RESOLUTION RFQ/RFP

I,	, SECRETA	ARY OF _			_
A CORPORATION	EXISTING UNDER TH	HE LAWS	OF THE STATE	E OF	, DO
HEREBY CERTIFY	THAT THE FOLLOW	ING IS A	TRUE COPY O	F CERTAIN RE	ESOLUTIONS
ADOPTED BY THI	E BOARD OF DIRECTO	ORS OF S	AID COMPANY	, AT A MEETI	NG THEREOF
DULY CALLED A	ND HELD ON THE	DA	Y OF		, 20
"RESOLVED, T	THAT THE				
OF THE CORPO	DRATION BE AND IS I	HEREBY	AUTHORIZED 7	ΓΟ SIGN	
A CONTRACT	WITH THE CITY OF S	TAMFOR	D, CONNECTIC	CUT FOR	
			, R	FP/RFQ No	···
	TIFY THAT,				
AND THE FOREGO	DING RESOLUTION H	AS NOT I	BEEN MODIFIE	D OR REPEAL	ED AND IS
IN FULL FORCE A	ND EFFECT.				
IN WITNESS WHE	REOF, I HAVE, HEREU	UNTO, SU	JBSCRIBED BY	NAME AND A	AFFIXED
THE SEAL OF SAI	D CORPORATION THI	Е	DAY OF		_, 20
		S	ECRETARY		

CERTIFICATION AS TO CONTRACT SIGNATORY

For Limited Liability Companies (LLCs) (Effective 9/1/2011)

I,	a		of	f	
(na	ame of member or manager)	(Memb	per or Manager)		(name of LLC)
	a limited liability company organ nafter the "Company"), hereby ce		_	aws of the	e State of Connecticut
1.	that	is ru	n by		
	(name of LLC)		(M	dembers o	r Managers)
2.	that (name of contact signatory	is a		of	
	(name of contact signatory	·)	(Member/Manage	er)	(name of LLC)
	and				
3.	that as such				_is not prohibited from or
	(name of Member/ limited by the articles of organization)		who is contract sign binding the LLC.	gnatory)	
IN WI	TNESS HEREOF, the undersig	ned has a	affixes his/her signa	nture this _	day of
	, 2	20	·		
(LLC S	Seal) this L.S. if there is no seal)				
			,	Secretary ((name of Secretary)

PROPOSER'S INFORMATION AND ACKNOWLEDGEMENT FORM

RFP No:		
Date:		
Proposer's Name:		
Street Address:		
City	State	Zip
Business Telephone:		
Email:		
Unique Entity ID:		
Indicate (Yes/No) if company submitting	g this proposal is:	
MBE	WBE	DBE
(If yes, attach relevant certification)		
Signature:	Date:	
Printed Name:		
Title:		
Addenda Acknowledgement – check and	d note date of addendum	
☐ Addenda No. 1	☐ Addenda No. 2	
☐ Addenda No. 3	☐ Addenda No. 4	-
☐ Addenda No. 5	☐ Addenda No. 6	
☐ Addenda No. 7	☐ Addenda No. 8	
☐ Addenda No. 9	☐ Addenda No. 10	
☐ Addenda No. 11	☐ Addenda No. 12	



Request for Taxpayer Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	Treathe (as shown on your moonle tax retain). Name is required on this line, do not leave this line staint.						
	2 Business name/disregarded entity name, if different from above						
Print or type. Specific Instructions on page 3.	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):					
	☐ Individual/sole proprietor or ☐ C Corporation ☐ S Corporation ☐ Partnership single-member LLC	Exempt payee code (if any)					
it p	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partne	· · · · · · · · · · · · · · · · · · ·					
Print or type. c Instructions	Note: Check the appropriate box in the line above for the tax classification of the single-member of LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member for U.S. federal tax purposes.	Exemption from FATCA reporting code (if any)					
eci	☐ Other (see instructions) ▶		(Applies to accounts maintained outside the U.S.)				
See Sp	5 Address (number, street, and apt. or suite no.) See instructions.	and address (optional)					
Ø	6 City, state, and ZIP code						
	7 List account number(s) here (optional)						
	. — — — — — — — — — — — — — — — — — — —						
Par	t I Taxpayer Identification Number (TIN)						
	vour TIN in the appropriate box. The TIN provided must match the name given on line 1 to a	oid Social sec	curity number				
backu reside	backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other						
entitie	es, it is your employer identification number (EIN). If you do not have a number, see <i>How to ge</i> exter	et a L or					
Note: If the account is in more than one name, see the instructions for line 1. Also see What Name and Employer identification number							
	per To Give the Requester for guidelines on whose number to enter.	una					
			-				
Par	t II Certification						
Unde	r penalties of perjury, I certify that:						
2. I ar Ser	e number shown on this form is my correct taxpayer identification number (or I am waiting for n not subject to backup withholding because: (a) I am exempt from backup withholding, or (b vice (IRS) that I am subject to backup withholding as a result of a failure to report all interest longer subject to backup withholding; and) I have not been n	otified by the Internal Revenue				
3. I ar	n a U.S. citizen or other U.S. person (defined below); and						
	FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting	ng is correct.					
you ha	ication instructions. You must cross out item 2 above if you have been notified by the IRS that yeave failed to report all interest and dividends on your tax return. For real estate transactions, item 2 sition or abandonment of secured property, cancellation of debt, contributions to an individual reti	does not apply. Fo	or mortgage interest paid,				

other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

U.S. person ▶ General Instructions

Signature of

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

Sign

Here

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)

Date ▶

- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES CONTRACT COMPLIANCE REGULATIONS NOTIFICATION TO BIDDERS

(Revised 09/3/15)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials." "Minority business enterprise" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as "(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4)Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . ." An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

- (a) the bidder's success in implementing an affirmative action plan;
- (b) the bidder's success in developing an apprenticeship program complying with <u>Sections 46a-68-1 to 46a-68-17</u> of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder's promise to develop and implement a successful affirmative action plan;
- (d) the bidder's submission of employment statistics contained in the "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following <u>BIDDER CONTRACT COMPLIANCE MONITORING REPORT</u> must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to <u>Sections 4a-60</u> and <u>4a-60a</u> CONN. GEN. STAT., and <u>Sections 46a-68j-23</u> of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding fifteen million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

MANAGEMENT: Managers plan, organize, direct, and BUILDING AND GROUNDS CLEANING AND control the major functions of an organization through MAINTENANCE: This category includes occupations subordinates who are at the managerial or supervisory level. involving landscaping, housekeeping, and janitorial They make policy decisions and set objectives for the services. Job titles found in this category include company or departments. They are not usually directly supervisors of landscaping or housekeeping, janitors, involved in production or providing services. Examples maids, grounds maintenance workers, and pest control include top executives, public relations managers, managers of operations specialties (such as financial, CONSTRUCTION AND human resources, or purchasing managers), and construction category includes construction trades and related and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: occupations include managers and professionals who work laborers, electricians, plumbers (and related trades), with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, painters. Paving, surfacing, and tamping equipment credit, and financial analysts.

MARKETING AND SALES: Occupations related to the floor and tile installers and finishers are also included in act or process of buying and selling products and/or this category. First line supervisors, foremen, and helpers services such as sales engineer, retail sales workers and in these trades are also grouped in this category. sales representatives including wholesale.

LEGAL OCCUPATIONS: In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegals, legal assistants.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists

ARCHITECTURE AND ENGINEERING: Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written miscellaneous material moving workers. communications and records; collecting accounts; gathering PRODUCTION WORKERS: The job titles included in and distributing information; operating office machines and electronic data processing equipment; and distributing mail Job titles listed in this category include telephone operators. bill and account collectors, customer service representatives dispatchers. secretaries and administrative assistants computer operators and clerks (such as payroll, shipping stock, mail and file).

workers.

EXTRACTION: occupations. Job titles found in this category include These boilermakers, masons (all types), carpenters, construction roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and operators; drywall and ceiling tile installers; and carpet,

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators: refuse and recyclable material collectors: and

this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic; and production workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information) (Page 3)

<u>White</u> (not of Hispanic Origin)-All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.

<u>Black</u> (not of Hispanic Origin)-All persons having origins in any of the Black racial groups of Africa.

<u>Hispanic</u>- All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.

Asian or Pacific Islander- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa. American Indian or Alaskan Native- All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART 1 – Bidder Information

Company Name:	Bidder Federal Employer
Street Address:	Identification Number:
City & State:	Or
Chief Executive:	Social Security Number:
Major Business Activity:	Bidder Identification
(brief description)	(response optional/definitions on page 1)
	-Bidder is a small contractor? Yes No -Bidder is a minority business enterprise? Yes No (If yes, check ownership category) Black Hispanic Asian American American Indian/Alaskan Native Iberian Peninsula Individual(s) with a Physical Disability Female -Bidder is certified as above by State of CT? Yes No
Bidder Parent Company:	
(If any)	
Other Locations in CT:	
(If any)	

PART II - Bidder Nondiscrimination Policies and Procedures

PART II - Bidder Nondiscrimination Policies and Procedures	
1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards?	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.?
Yes No	Yes No
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes No	8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes No
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes No	9. Does your company have a mandatory retirement age for all employees? Yes No
4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes No	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes No N/A
5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes No	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes No N/A
6. Does your company have a collective bargaining agreement with workers? Yes No 6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes No	12. Does your company have a written affirmative action Plan? Yes No If no, please explain.
6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of CT? Yes No	13. Is there a person in your company who is responsible for equal employment opportunity? Yes No If yes, give name and phone number:

- 1. Will the work of this contract include subcontractors or suppliers? Yes No
 - 1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)

1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above? Yes No

PART IV - Bidder Employment Information

Date:

PART IV - Bidder E					Date			•			
JOB CATEGORY*	OVERALL TOTALS	WHITE (1 Hispanic of		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Management											
Business & Financial Ops											
Marketing & Sales											
Legal Occupations											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation , Maintenance & Repair											
Material Moving Workers											
Production Occupations											
TOTALS ABOVE											
Total One Year Ago											
·	FORM	AL ON THE JO	OB TRAINEES (ENTER FIGUR	RES FOR THE SA	ME CATEGO	RIES AS AF	RE SHOWN A	BOVE)		
Apprentices											
Trainees											

^{*}NOTE: JOB CATEGORIES CAN BE CHANGED OR ADDED TO (EX. SALES CAN BE ADDED OR REPLACE A CATEGORY NOT USED IN YOUR COMPANY)

PART V - Bidder H	aring a	na Kec	Tultillelli F Factic	CS	(Page 5)
Which of the following (Check yes or no, and re			are used by you?	Check (X) any of the below listed requirements that you use as a hiring qualification (X)	3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination
SOURCE	YES	NO	% of applicants provided by source		
State Employment Service				Work Experience	
Private Employment Agencies				Ability to Speak or Write English	
Schools and Colleges				Written Tests	
Newspaper Advertisement				High School Diploma	
Walk Ins				College Degree	
Present Employees				Union Membership	
Labor Organizations				Personal Recommendation	
Minority/Community Organizations				Height or Weight	
Others (please identify)				Car Ownership	
				Arrest Record	
				Wage Garnishments	

(Date Signed)

(Telephone)

(Title)

(Signature)



REQUEST FOR PROPOSALS #885

ARCHITECTURAL / ENGINEERING SERVICES for

ANIMAL CONTROL CENTER

- **1.0 GENERAL INFORMATION**
- 2.0 PROJECT SCOPE
- 3.0 PROPOSAL INSTRUCTIONS
- **4.0 PROPOSAL EVALUATION**
- Appendix A Fee Proposal Form
- **Appendix B Proposed Schematic Design**
- Appendix C Dog Pound Regulations Section 22-236-13 to 22-236-30

1.0 GENERAL INFORMATION

1.1 KEY PERSONNEL

The personnel and commitments identified on any proposer's proposal will be considered essential to the work to be performed under this RFP. Prior to diverting any of the specified individuals to other programs or changing the level of effort of the specified individuals, the proposer must notify the Office of Operations fourteen (14) days in advance and will be required to submit justification, including proposed substitutions, in sufficient detail, to permit evaluation of the impact on the project. The proposer will make no deviation without the prior written consent of the Office of Operations. Replacement of personnel will be with personnel of equal ability and qualifications.

Any employee of the proposer, who in the sole opinion of the Office of Operations is unacceptable, shall be removed from the project pursuant to the request of the Office of Operations. The proposer will have fourteen (14) calendar days to fill the vacancy with another employee of acceptable technical experience and skills subject to the written approval of the Office of Operations.

The City shall have the right to reject or terminate any of the staff provided by the proposer with 24-hour notice, and the proposer shall be able to provide immediate, temporary replacement and within 30 days, provide permanent replacement.

2.0 PROJECT SCOPE

2.1 PROJECT STATEMENT

The City of Stamford is seeking Architectural/Engineering Firms licensed in the state of Connecticut to design and produce Contract BID Documents for the new Animal Control Center.

2.2 PROJECT BACKGROUND

The current location of the Animal Shelter is at 201 Magee Avenue. The existing facility does not meet the requirements of standard conditions for an Animal Shelter. Thus the City of Stamford has proposed to build a new facility on City owned property located at 111 Harbor View Avenue, the location knows as Stamford WPCA. This property is on the bank of a Long Island Sound and located in a secure gated facility.

2.3 PROJECT SCOPE

The scope of work shall include but not limited to the following: determining the structural and S value of the soil in order to design appropriate foundation for the new building, analyzing the soil for contaminants, pesticide and hazardous material to determine if the remediation is necessary; providing all appropriate architectural and engineering services from needs assessment to certificate of occupancy. Value Engineering will be performed as well at various stages so that the design is feasible and within the budget. Prepare Construction Documents to include detailed drawings and specifications for the complete scope of work outlined herein.

The design schedule shall be submission of 100% Construction Documents ready for Public BID within six (6) months of Contract execution.

The Engineering Bureau will provide up-to-date survey of the property once it is complete from the surveyor. Needs assessment and any other documents are attached in the Appendices to this document.

Due to the increasing material costs and a fixed budget given to this project, Value Engineering will need to be performed to meet the cost of the project. Architectural/Engineering firm to work with Building Department, Engineering, EPB, Zoning, Fire Marshal, WPCA, Health Department and all other departments having jurisdiction including State and Federal on the design and production of Construction Documents.

2.4 INVESTIGATION OF SOIL TO DETERMINE APPROPRIATE FOUNDATION

- An up-to-date survey is going to be available on September 9, 2022.
- Contact Call Before You Dig at 1-800-922-4455 to find out the location of utilities.
- Drill borings to a minimum depth of 25 feet to determine structural and S value of soil.
- If refusal is less than 25 feet, determine if refusal is due to ledge, boulders or existing construction.
- Analyze soil removed and determine depth and type of material encountered.
- Determine elevation of the water table.
- Determine suitability of soil material for use as structural fill.
- If soil material can be utilized as structural fill, provide a sieve analysis.
- If soil material removed is unsuitable as structural fill, determine level of contamination (if any) utilizing CTETPH method for semi-volatile and volatile organics. Determine EPA recommended disposal method.
- Make recommendations for foundation method.
- Submit a final report with boring logs, soil identification, contaminate identification and recommendations.

2.5 SCHEMATIC DESIGN

- Produce schematic location of the building, location of the parking lot, floor plan design, elevations design based on the following criteria:
 - o Proposed schematic design which includes the needs assessment, attached in the Appendix B
 - Dog Pound Regulations as provided by the CT Department of Agriculture Animal Control Unit,
 Section 22-336-13 to 30, attached in the Appendix C
 - Setbacks from WPCA building and equipment given/directed by WPCA Engineer
 - Work with WPCA and Engineering on the suitable position/location of the building, parking lot and dog runs.
- Prepare a probable construction estimate.
- Prepare 30% drawing set.
- Attend three (3) meetings onsite or offsite to review the scope and design with the City.

2.5 DESIGN DEVELOPMENT PHASE

- Design must meet all applicable Federal, State, and Local code; CT Department of Agriculture Animal Control Unit requirements and ADA requirements.
- Review and finalize manufacturers and model numbers for the fixtures, accessories, kennels, paper towel
 dispensers, toilet tissue dispensers, soap dispensers, sinks, faucets, toilets and toilet seats, urinals,
 flushometers, stall partitions, door hardware, door closer, laundry machine, dryer, garage door and other item
 that is needed. Review and finalize the flooring materials to be used for various spaces.
- The new facility design shall include adequately calculated HVAC, sanitary, plumbing, lighting, and electrical systems; as well as communication services.
- Prepare three (3) different color/pattern schemes for wall and floor tile design for all of the facilities. Provide tile

samples, paint chip samples and samples of all materials to be used for a review by the City.

- Drawings should be sufficiently detailed to 60% complete for the City's review and comments.
- Attend three (3) meetings to review the scope and design with the City.

2.6 CONSTRUCTION DOCUMENTS

- Submit 90% Bid Documents, drawings and specifications for the City's review and incorporate comments prior to issuing 100% bid documents.
- Provide final cost estimate. If the budget exceeds the funds given to this project Value Engineer the design to meet the budget.
- All comments from the Engineering Bureau, Building Department, EPB, Fire Marshal, WPCA and Health Department to be addressed in 100% construction set.
- Provide signed and sealed documents for the purpose of obtaining a Building Permit.
- Attend three (3) meetings onsite to review the scope and design with the City.

2.7 BIDDING

- Attend a pre-bid walk through.
- Respond to contractors' RFI's, prepare sketches, drawings, specifications and all other items necessary to answer the RFI and assist the City in preparing necessary addendum.
- Review contractor's bids and make recommendations to the City.
- Attend pre-award meeting with lowest qualified bidder and prepare meeting minutes.

2.8 CONSTRUCTION ADMINISTRATION & CLOSEOUT

- Attend bi-weekly meetings, prepare meeting minutes, and provide site observation report with photos.
- Review contractor's schedule and comment.
- Review contractor's Schedule of Values and comment.
- Prepare progress reports of on-going construction activities.
- Review shop drawings and submittals for compliance with the contract documents and industry standards.
- Review and respond to contractor's RFI's. Prepare sketches, drawings, specifications or all other items necessary to respond to the RFI.
- Review contractor's payment requisitions and make recommendations to the City for payment.
- Review contractor's request for proposed change orders and make recommendations as to its validity and value.
- Review and comment on the contractor's as-builts, O&M manuals and warranties. Provide as-built drawings to the City on the flash drive in both AutoCAD 2014 and PDF format.

2.9 DELIVERABLES

Provide all schematic design, estimates and design development documents to the City in PDF format. Construction BID Documents are to be provided in AutoCAD 2014 and PDF on a USB flash drive for the City's records. Upon award of the project to the lowest qualified bidder, Architect/Engineer shall submit electronically to the winning bidder signed and sealed documents for the purposes of obtaining a Building Permit. Specifications and professional cost

estimates are to be prepared utilizing CSI format. All documents too large to email shall be delivered to the City on a flash drive. If the proposer utilizes an FTP site, that may be used in lieu of flash drives.

2.10 FEE

The project shall be proposed under a **lump sum cost**, including all sub-consultants. All meetings required for each phase schematic, design development, contract documentation, bidding, construction administration and closeout are to be incorporated into the fee and not billed separately.

Reimbursable expenses shall be broken out of the total fee and set at a not to exceed amount. This shall include customary costs for out-of-office use, printing, distribution and expenses directly related to this project. *They shall not include travel, mileage, meals or taxes.* Back up shall be provided with all invoices.

Hourly rate are to be submitted with the fee proposal form. The fee along with the rates shall be incorporated into the agreement. Additional services, if necessary and agreed to, will be negotiated based upon hourly rates.

Fee shall include all costs associated with survey, documentation, design, contract documents, construction administration and all other costs required to renovate all of the restrooms as described in the RFP.

3.0 PROPOSAL INSTRUCTIONS

The proposer shall follow the guidelines given below to allow for the efficient evaluation and selection process.

3.1 CONTENT AND FORMAT

Proposal is to be submitted in three (3) parts:

- 1. Letter of Transmittal.
- 2. Proposal response which includes qualifications and work plan.
- 3. Financial supplement containing the proposed fee.

Proposals are to be uploaded onto *ProcureWare*.

The City of Stamford reserves the right to reject any unsolicited modifications or additions received between the date of submission and proposal selection, including the substitution of subcontractors or of staff.

3.2 LETTERS OF TRANSMITTAL

The cover letter must specify the following:

- The organization's name and address of the prime designer.
- The name, title and telephone number of the individual within the organization who is authorized to commit the company to this contract.
- The name, title and telephone number of the individual whom the Office of Operations should contact regarding questions, and clarifications.
- The organization's name and address of all proposed partners, consultants and sub-consultants.
- The time for validity of the offer must be one hundred twenty (120) days from the due date of the proposal.

Proposer shall also provide a statement specifying the following qualifications:

- Experience as an Architect and/or Engineer in the State of Connecticut for a minimum of five (5) years.
- Completion of two (2) animal shelter facilities within the last 10 years or three (3) within the last 15 years.
- Availability of professional staff to be able to work from an office within the general area that would allow the assigned professionals to be available on a full time basis.

3.3 PROPOSAL RESPONSE FORMAT

SUMMARY

The summary should include significant features of proposal including the proposer's experience and project team. It must include all background information related to the proposer's understanding of the requirements and procedures adopted by the City for successful completion of this project.

PROJECT SCOPE

The proposer shall address all items detailed in Sections 2.0 through Section 2.8.

PROJECT MANAGEMENT PLAN

The project management plan shall include sufficiently detailed information to identify the proposer's organization, responsibilities and internal reporting requirements. Where subcontractors are to be used, their names, qualifications, specific tasks and control elements must be specified, as well as the firm's control elements on subcontractor's performance.

Finally, the project management plan must show the relationship between this project and other corporate commitments, the provision for backup personnel and the total corporate resources potentially available to this project.

PROJECT STAFF

A detailed resume must be included for each individual to whom the proposer plans to assign or commit to the project. Where individual resumes for backup or non-assigned personnel are included, they must be clearly marked as "SECONDARY RESOURCES".

For each individual whom the proposer plans to assign to the project, the proposer must designate the individual's status such as full time regular employee, part time regular employee, consultant, etc., and must specify the number of years that the individual has been employed by the proposer.

EXPERIENCE AND CAPABILITIES

This section should include detailed information regarding previous projects successfully completed by the proposer and general information related to the offering organization to allow the City of Stamford to assess overall capabilities. The consultant must provide information regarding the following items related to past performance:

- History of satisfactory on time & on budget contract performance with construction cost % in change orders
- Consistent reliability of all required professional design services being offered
- Public & End-user interaction on previous projects

The total number of full time employees and total number of professional employees in the offering organization should be specified. Do not include part time employees or consultants. In the event that sub-consultants or partners are proposed, this information should be included for each.

CORPORATE FINANCIAL CAPABILITIES

The proposer should include an annual report for the previous year and banking references. In addition, if proposer plans to have the revenues from this contract assigned to any bank or other institution, the reason for such assignment must be specified and the assignee designated.

REFERENCES

Include at least three (3) references from other organizations or agencies for which the proposer has provided similar services in both breadth & scope.

APPENDICES

The proposer may provide any additional information that is deemed to be useful to the City of Stamford in evaluating the proposal in Appendices. Generally, this may include examples of prior work products and methods.

3.4 FINANCIAL SUPPLEMENT FORMAT

The proposer must submit a financial supplement as part of the submission. It must contain the proposer's detailed cost of implementing the project. The estimated costs must be available to show clearly the resources that would be committed to each phase of the project. The estimated costs and fees included in the price proposal must also include a certification confirming that the estimated costs and fees will remain firm through the project. The selected proposer may be required to provide detail information used to determine the overall fee as a condition of award. The Fee Proposal Form should be submitted as a breakdown of fees and a combination of costs, which would include a discount if awarded as a combination.

4.0 PROPOSAL EVALUATION

4.1 EVALUATION METHODOLOGY

Proposals submitted in response to this RFP will be evaluated by a selection committee. This committee will be comprised of technically qualified personnel from the City of Stamford.

All proposals will be evaluated based upon the criteria shown in Section 4.2. Each proposal will be assigned a numeric score in this evaluation. Oral presentation may be required in order to clarify any issue and to provide additional insights into the proposal.

4.2 PROPOSAL EVALUATION

The Selection Committee will evaluate the proposals on the following basis:

4.2(a) CORPORATE EXPERIENCE AND CAPACITY

Corporate Experience:

Corporate experience will be evaluated based upon project experience and overall corporate capacity.

Project Experience:

Project experience will be evaluated based upon the quality and implementation of similar work.

Corporate Capacity (Level of Effort):

Corporate resources will be evaluated based on the total resources assigned or committed to the project.

Corporate Scheduling to Support Efforts (Level of Effort):

Corporate scheduling will be evaluated based on the total resources assigned or committed to the project, and the timeline of when those efforts, and by whom, will be started and completed, for the deliverables required.

Corporate Office Location:

Location of Corporate office serving the client will be evaluated based upon the distance and quantity of resources that will be supporting the project and client geographically for the project.

4.2(b) COMMITTED STAFF AND PROJECT ORGANIZATION

Project staff will be evaluated based upon related project experience and assignment, qualifications of the project manager, technical skills of the project team and proposed project organization and management plan.

Related Project Experience:

The project staff will be given points based upon experience and other related systems with credit given to design, management analysis, programming, preservation and implementation.

Project Staff:

The proposed project staff will be evaluated based upon past project experience. Full credit will only be given to project staff that has managed similar projects in terms of size and complexity, scope and functional areas.

Technical Skills:

Technical skills will be evaluated similarly to the related technical experience shown previously with the same criteria regarding employee status as outlined above.

Project Management Plan and Organization:

Proposed organization will be evaluated based upon relevancy to work assignments, clarity of responsibilities and qualifications of managerial personnel.

Project Scheduling to Support Efforts (Level of Effort):

Project team scheduling & availabilities scheduling will be evaluated based on the total resources assigned or committed to the project by various team members, and the timeline of when those efforts, will be started and completed, for the deliverables required.

4.2(c) UNDERSTANDING OF WORK TO BE PERFORMED

The proposer's understanding of the work required to successfully complete the project will be evaluated based upon the proposer's approach to the Project Scope including example reports, documentation previously prepared, and other deliverables from previous projects related to describing how the project will be performed and the end product which the City of Stamford can expect.

4.2 (d) FINANCIAL PROPOSAL

Complete Fee Proposal Form attached in Appendix A

Financial Capabilities:

Provide certification that the proposer is financially capable of meeting all of the financial terms of the RFP.

You may be asked to present their proposals to the selection committee and/or to respond to questions. Based on the information provided in the proposal and any additional information presented, a final selection will be made.

The City of Stamford reserves the right to reject any and/or all proposals submitted, to request information from any vendor and to negotiate with any of the vendors regarding the terms of the engagement. The City of Stamford intends to select the vendor(s) that, in its opinion, best meets the City's needs, not necessarily the vendor(s) that proposes the lowest fees.

APPENDIX "A"

FEE PROPOSAL FORM FOR ANIMAL CONTROL CENTER, RFP #885

The following fee is proposed to perform the duties, responsibilities and obligations as described in this Request for Proposal for **Architectural/Engineering Services for the Design of Animal Control Center**. The project shall be proposed under a LUMP SUM FEE.

FEE BREAKDOWN INVESTIGATION OF SOIL SCHEMATIC DESIGN **DESIGN DEVELOPMENT** CONSTRUCTION DOCUMENTS **BIDDING** CONSTRUCTION ADMINISTRATION & CLOSEOUT ESTIMATES (SD & CD level submissions) **TOTAL FEE** REIMBURSABLES (not to exceed) SCHEDULE: submission of 100% Construction Documents ready for Public BID within six (6) months of contract execution. Agree to proposed schedule: YES_____ NO_____ Authorized Signature: Date: _____ Phone: Email: Company Name:_____

Address:

APPENDIX "C" ANIMAL CONTROL CENTER

RFP #885

DOG POUND REGULATIONS SECTION 22-236-13 TO 22-236-30

DOG POUND REGULATIONS

Section 22-336-13 Definitions

As used in sections 22-336-13 to 22-336-30, inclusive:

- (a) "Dog Pound" means a building provided and maintained by a city or town which is used for the detention and care of impounded dogs or other facilities including licensed veterinary hospital or licensed commercial kennel which, through written agreement with a town, is used for the detention and care of impounded dogs.
- (b) "Impounded Dog" means a dog seized by the chief canine control officer, assistant chief animal canine control officer, canine control officer, regional canine control officer or municipal animal control officer for the purpose of detaining the dog, quarantining the dog, or holding a dog under a restraint or disposal order.
- (c) "Indoor Pen" means a completely enclosed area inside a dog pound building to be used for shelter by an impounded dog.
- (d) "Indoor Run" means an area inside a completely enclosed dog pound to be used for shelter and exercise by an impounded dog.
- (e) "Outdoor Run" means an incompletely enclosed area adjacent to a dog pound building to be used for exercise by an impounded dog.
- (f) "Renovate" means to change the size, construction or composition of pens, runs, fences, floors, heating system, water supply system, waste disposal system, or any other physical component of dog pound building which are governed by these regulations.
- (g) "Sanitary" means that which pertains to health, with especial reference to cleanliness and freedom from infective and deleterious influences.

Section 22-336-14 Impoundment Requirements

No dog may be impounded at a dog pound which does not meet the requirements of sections 22-336-13 to 22-336-29, inclusive, of these regulations, subject to the provisions of Section 22-336-30 of these regulations.

Section 22-336-15 Compliance

All dog pounds in which impounded dogs are kept must comply with sections 22-336-13 to 22-336-29, inclusive, of these regulations, subject to the provisions of Section 22-336-30 of these regulations.

Section 22-336-16 Physical Requirements

- (a) Any building to be used as a dog pound shall be constructed in compliance with sections 22-336-13 to 22-336-30, inclusive, of these regulations and maintained in good repair.
- (b) The lower portion of interior and exterior walls of a building to be used as a dog pound shall be constructed of concrete or cement block material up to a minimum height of four (4) feet.
- (c) All fencing shall be a maximum 1 ½ inch wire mesh by 11 minimum wire gauge to contain impounded dogs and of a design to prevent injury.
- (d) A copy of blueprints detailing the construction of the dog pound facility or renovation of an existing facility shall be submitted to the commissioner at least ninety (90) days prior to start of construction.

Sections 22-336-7 Pens and Runs

- (a) Dog pounds shall provide either an indoor run, or an outdoor run and an adjacent indoor pen for each adult dog.
- (b) Indoor runs shall measure not less than forty (40) square feet with a minimum width of four (4) feet and a minimum height of six (6) feet. Solid partition dividers shall be provided between each run extending from the floor to a height of at least (4) feet and shall extend the full length of the run.
- (c) Outdoor runs shall measure not less than four (4) feet wide, eight (8) feet long and six (6) feet high with a gate at the end of each run. Solid partition dividers shall be provided between each run extending from the floor to a height of at least four (4) feet and shall extend the full length of the run.
- (d) Outdoor runs shall be covered by a permanent roof of suitable material to protect the runs from snow, rain and excessive sunlight and a barrier shall be provided between the top of the runs and the roof structure to prevent the escape of impounded dogs.
- (e) Indoor pens shall be adjacent to each outdoor run and shall measure not less than for four (4) feet square and at least four (4) feet high. Any indoor run of less than six (6) feet in height must be covered with a maximum of 1½ inch wire mesh by 11 minimum wire gauge chain link fence and shall be kept clear of obstruction to provide air circulation.
- (f) Indoor pens shall be supplied with a solid partition divider extending from the floor to a height of at least four (4) feet.

(g) Doorways between indoor pens and the outdoor runs shall be offset from center to provide adequate space for resting beds to be placed in the indoor pens.

Section 22-336-18 Floors and base of runs

- (a) All dog pounds shall have smooth concrete floors, runs and troughs with a minimum of one-quarter (1/4) inch pitch per foot.
- (b) Floors of outdoor runs shall be pitched away from the building in the direction of a trough installed at the end of the run, exterior to the run fencing.
- (c) Floors of indoor pens shall be pitched toward a trough installed at the end of the pen, exterior to the pen fencing.
- (d) Floors of indoors runs shall be pitched toward a trough which has been made inaccessible to dogs by either covering or placement exterior to the run fencing.
- (e) All troughs shall be pitched toward covered drains at least six (6) inches in diameter connected by pipe not less than (6) inches in diameter to a disposal system approved by the official responsible for local sewage disposal.

Section 22-336-19 Heat and Ventilation

- (a) Thermostatically controlled clean and sanitary heat shall be provided to maintain a minimum temperature of fifty five (55) degrees Fahrenheit at floor level. At no time shall the indoor temperature of the dog pound where dogs are housed exceed ninety (90) degrees Fahrenheit.
- (b) The indoor portion of the dog pound where dogs are housed shall be mechanically ventilated in such a manner as will provide fresh air to maintain health and comfort of impounded dogs.

Section 22-336-20 Water Supply

All dog pounds shall be supplied with a sufficient amount of hot running water for the purpose of maintaining proper sanitary conditions. The pound shall also provide a sufficient supply of potable water for impounded dogs.

Section 22-336-21 Lighting

Electrical lighting shall be provided in all dog pounds, capable of providing a minimum of 30 foot candles. Lighting shall be provided for a minimum of eight (8) hours during each twenty-four (24) hour period.

Section 22-336-22 Sanitation

(a) The dog pound shall be kept sanitary and cleaned a minimum of once daily.

- (b) A disinfectant capable of eliminating canine viruses and bacteria shall be used in washing down runs, pens and interior areas of the dog pound.
- (c) Such disinfectants shall be used in a manner not harmful to dogs.
- (d) Runs and pens shall be cleaned and disinfected before use by another dog.
- (e) Feces and other excreta shall be removed from pens, runs and troughs daily.
- (f) Equipment shall be available for the proper storage or disposal of waste material to control vermin, insects and obnoxious odors.

Section 22-336-23 Food and water containers

Galvanized or stainless steel food and water containers shall be provided and kept clean and sanitary at all times. Food and water containers shall be washed and disinfected daily and before use by another dog.

Section 22-336-24 Storage of dog food

Dog food in original packaging shall be stored at least twelve (12) inches above the floor on clean racks, dollies or other clean surfaces, in such a manner as to protect from splash and other contamination. Unsealed bags of dog food shall be stored in covered metal or covered heavy duty plastic containers at least twelve (12) inches above the floor on clean racks, dollies or other clean surfaces, in such a manner as to protect from splash and other contamination.

Section 22-336-25 Removal of dead dogs

Any dead dog shall be immediately removed from the dog pound area. A dead dog shall be preserved in a properly operating refrigerator at a temperature of not more than forty (40) degrees fahrenheit or freezer at a temperature of not more than thirty-two (32) degrees fahrenheit until such time as the dog is transferred for purposes of diagnostic testing or disposed of by cremation or burial.

Section 22-336-26 <u>Isolation area</u>

At least one (1) isolation area shall be provided for each ten indoor runs or outdoor runs with adjacent indoor pens. An isolation area must consist of an indoor run or an outdoor run with an indoor pen. Such isolation areas shall be only used by dogs quarantined pursuant to Sections 22-358 or 22-359 C.G.S.

Section 22-336-27 Quarantined Dogs

Impounded dogs quarantined pursuant to Sections 22-358 or 22-359 C.G.S., must be kept in an isolation area. Only one (1) dog shall be kept in each isolation area.

Section 22-336-28 Animal Care

- (a) Water shall be provided for dogs at all times. Adult dogs shall be fed at least once per day. Dogs under the age of six (6) months shall be fed at least two (2) times per day.
- (b) Dogs shall be fed the type and quantity of food as directed by the manufacturers' label.
- (c) Any dog which appears sick or injured shall be examined by a licensed veterinarian.
- (d) A water impervious removable resting bed shall be provided for each impounded dog. Not more than one adult dog shall be kept in each indoor run or outdoor run with adjacent indoor pen.

Section 22-336-29 <u>Transportation</u>

All dogs transported by municipal animal control officers shall be transported in an enclosed vehicle. Vehicles used to transport dogs shall be structurally sound and maintained in good repair to prevent injury to dogs carried therein.

Section 22-336-30 Grandfather Clause

- (a) The requirements of Sections 22-336-17(b), 22-336-17(c), 22-336-17(d) and 22-336-17(e) of these regulations concerning minimum measurements for the size of runs and pens, and the requirements of Section 22-336-18 of these regulations do not apply to dog pounds which are completely constructed prior to the effective date of these regulations. All other requirements of Sections 22-336-13 to 22-336-29, inclusive, of these regulations including the provisions of Sections 22-336-17(a), 22-336-17(f) and 22-336-17(g) shall apply to such dog pounds.
- (b) Notwithstanding the provisions of Section 22-336-30(a) of these regulations, any renovations to the size, construction or composition of pens, runs, fences, floors, heating system, water supply system, waste disposal system, or any other physical component of dog pound buildings completely constructed prior to the effective date of these regulations must conform with the appropriate requirements of Sections 22-336-13 to 22-336-29, inclusive, of these regulations.

Section 2

Section 22-336-1 through 22-336-12, inclusive, of these Regulations of Connecticut State Agencies are repealed.

CITY OF STAMFORD INSURANCE REQUIREMENTS

Architectural/Engineering Design Services For New Animal Control Center

The Consultant is required to submit certificates of insurance, which contain the minimum insurance coverages described below:

- 1. Standard workers' compensation, which complies with all Connecticut workers' compensation statutes and regulations.
- 2. Employer's liability insurance, which contains limits of liability of not less than \$500,000 each accident, \$500,000 disease policy limit and \$500,000 disease each employee.
- 3. Commercial general liability insurance, with a minimum limit of liability of \$1,000,000 combined single limit per occurrence and \$2,000,000 in the aggregate for bodily injury and property damage. Such coverage shall include the following:
 - (a) Products liability and completed operations, which shall be maintained for a period of not less than three (3) years following completion of the services under this Agreement or termination of the Agreement, whichever is later;
 - (b) Contractual liability insurance, which insures any indemnities contained in the Agreement between the Consultant and the City of Stamford;
 - (c) Broad form property damage coverage;
 - (d) Personal injury and advertising liability;
 - (e) City of Stamford and its employees, agents and officers designated as additional insureds;
 - (f) Policy shall be underwritten on an occurrence basis.
- 4. Commercial automobile liability insurance, which contains minimum limits of liability of \$1,000,000 per accident, and contains, at a minimum, the following coverage provisions:
 - (a) Coverage for all owned, non-owned and hired vehicles;
 - (b) City of Stamford and its employees, agents and officers designated as additional insureds.
- 5. Professional liability insurance, which covers the services to be provided pursuant to the Agreement between the City of Stamford and the Consultant. Insurance coverage should extend to any subcontracted work or services. The minimum limit of liability shall be \$2,000,000 per claim or per incident and in the aggregate.

- 6. If any insurance is underwritten on a claims made, as opposed to an occurrence basis, the retroactive date in the policy shall be the earlier of the effective date of the Agreement between the Consultant and the City of Stamford or the date the Consultant commences its services for the City. The policy shall also contain an extended reporting date of not less than three years following termination of the Agreement between the Consultant and the City of Stamford or conclusion of the services rendered by the Consultant, whichever is later.
- 7. All insurance required hereunder shall contain waivers of subrogation in favor of the City of Stamford and its employees, agents and officers. The Consultant shall waive any right of claim, loss or damage against the City of Stamford and its employees, agents and officers.
- 8. All insurance policies required under this Agreement shall contain thirty (30) days prior written notice to the City of Stamford's Risk Manager in the event of cancellation, termination or material change to any policy terms or conditions required hereunder.
- 9. The insurance required hereunder shall in no way serve to limit or reduce the liability of the Consultant under this Agreement.
- 10. The Consultant shall provide the Risk Manager with certificates of insurance, which evidence the insurance required hereunder. The Consultant shall provide the Risk Manager with renewal certificates of insurance within 15 days prior to the expiration of the policies. Consultant's failure to review said certificates of insurance or insurance policies shall not be deemed to be a waiver of the Consultant's obligations to comply with all provisions of these insurance requirements hereunder.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s)

certificate floider in fled of such efficienti(s).		
PRODUCER	CONTACT Insurance Broker	
ABC Insurance Co.	PHONE (A/C, No, Ext): 888-888-8888 FAX (A/C, No): 555-55	55-5555
123 Main Street	E-MAIL ADDRESS: broker@insurance.com	
City, State Zip	INSURER(S) AFFORDING COVERAGE	NAIC#
	INSURER A: Insurance Co. 1	11111
INSURED	INSURER B: Insurance Co. 2	11112
Sample Company	INSURER C: Insurance Co. 3	11113
456 Sample Company	INSURER D: Insurance Co. 4	11114
City, State Zip	INSURER E :	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR	TYPE OF INSURANCE	ADDL	SUBR		POLICY EFF	POLICY EXP	LIMIT	e
LTR	COMMERCIAL GENERAL LIABILITY CLAIMS-MADE OCCUR	INSD	WVD	POLICY NUMBER	(MM/DD/YYYY)	(MM/DD/YYYY)	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person)	\$ 1,000,000 \$ 100,000 \$ 10,000
Α	GEN'L AGGREGATE LIMIT APPLIES PER: POLICY PRODUCT LOC OTHER:	X	X	123456789	XX/XX/XX	XX/XX/XX	PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	\$ 1,000,000 \$ 2,000,000 \$ 2,000,000 \$
В	AUTOMOBILE LIABILITY X ANY AUTO ALL OWNED SCHEDULED AUTOS NON-OWNED AUTOS AUTOS	x	x	123456789	XX/XX/XX	XX/XX/XX	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (Per accident)	\$ 1,000,000 \$ \$ \$ \$
	UMBRELLA LIAB OCCUR EXCESS LIAB CLAIMS-MADE DED RETENTION \$	_					EACH OCCURRENCE AGGREGATE	\$ \$ \$
С	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N/A	х	123456789	XX/XX/XX	XX/XX/XX	E.L. DISEASE - POLICY LIMIT	\$ 500,000 \$ 500,000 \$ 500,000
D	Professional Liability/E&O			45678910	XX/XX/XX	XX/XX/XX	Per Occur	\$2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

City of Stamford and its employees, agents and officers designated as additional insureds under commercial general liability, automobile liability and asbestos liability. All insurance required hereunder are primary, not excess or contributory to any insurance maintained by or on behalf of City of Stamford. Waivers of subrogation in favor of City of Stamford and their employees, agents and officers

CERTIFICATE HOLDER	CANCELLATION
City of Stamford 888 Washington Boulevard	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
Stamford, CT 06901	AUTHORIZED REPRESENTATIVE