

# AIA<sup>®</sup> Document B101<sup>®</sup> – 2017

## Standard Form of Agreement Between Owner and Architect

**AGREEMENT** made as of the \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_  
*(In words, indicate day, month and year.)*

**BETWEEN** the Architect's client identified as the Owner:  
*(Name, legal status, address and other information)*

The City of Stamford  
888 Washington Boulevard  
Stamford, Connecticut 06904

and the Architect:  
*(Name, legal status, address and other information)*

The S/L/A/M Collaborative, Inc.  
80 Glastonbury Boulevard  
Glastonbury, CT 06033

for the following Project:  
*(Name, location and detailed description)*

Westhill High School Construction Project  
125 Roxbury Road  
Stamford, CT  
State Project #135-0280N

In this Agreement, the word "Contractor" shall mean the Construction Manager at Risk ("CM")

The Owner and Architect agree as follows.

### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

**TABLE OF ARTICLES**

1      **INITIAL INFORMATION**

2      **ARCHITECT'S RESPONSIBILITIES**

3      **SCOPE OF ARCHITECT'S BASIC SERVICES**

4      **SUPPLEMENTAL AND ADDITIONAL SERVICES**

5      **OWNER'S RESPONSIBILITIES**

6      **COST OF THE WORK**

7      **COPYRIGHTS AND LICENSES**

8      **CLAIMS AND DISPUTES**

9      **TERMINATION OR SUSPENSION**

10     **MISCELLANEOUS PROVISIONS**

11     **COMPENSATION**

12     **SPECIAL TERMS AND CONDITIONS**

13     **SCOPE OF THE AGREEMENT**

**ARTICLE 1 INITIAL INFORMATION**

§ 1.1 This Agreement is based on the Initial Information set forth in this Section 1.1.

*(For each item in this section, insert the information or a statement such as "not applicable" or "unknown at time of execution.")*

§ 1.1.1 The Owner's program for the Project:

*(Insert the Owner's program, identify documentation that establishes the Owner's program, or state the manner in which the program will be developed.)*

See documents listed in § 13.2, below

§ 1.1.2 The Project's physical characteristics:

*(Identify or describe pertinent information about the Project's physical characteristics, such as size; location; dimensions; geotechnical reports; site boundaries; topographic surveys; traffic and utility studies; availability of public and private utilities and services; legal description of the site, etc.)*

See documents listed in § 13.2, below

§ 1.1.3 The Owner's budget for the Cost of the Work, as defined in Section 6.1:

*(Provide total and, if known, a line item breakdown.)*

The Construction Budget for the new school, associate site work, utilities, field reconstruction, and abatement and demolition of the existing school is \$257,696,058.00, inclusive of escalation costs. The Furniture, Fixtures and Equipment Budget is \$9,615,800.00.

§ 1.1.4 The Owner's anticipated design and construction milestone dates:

.1 Design phase milestone dates, if any:

Init.

.2 Construction commencement date:

.3 Substantial Completion date or dates:

.4 Other milestone dates:

See documents listed in §13.2 as Contract Documents, including the Schedule contained in the RFP.

**§ 1.1.5** The Owner intends the following procurement and delivery method for the Project:  
*(Identify method such as competitive bid or negotiated contract, as well as any requirements for accelerated or fast-track design and construction, multiple bid packages, or phased construction.)*

The Construction Delivery method shall be assumed to be a Design-Bid-Build utilizing a Construction Manager with a Guaranteed Maximum Price proposal (AIA A133).

**§ 1.1.6** The Owner's anticipated Sustainable Objective for the Project:  
*(Identify and describe the Owner's Sustainable Objective for the Project, if any.)*

The project shall meet LEED Silver requirements per city ordinance and conform to Connecticut High Performance Building Standards as required by Connecticut General Statutes.

**§ 1.1.6.1** Omitted

**§ 1.1.7** The Owner identifies the following representative in accordance with Section 5.3:  
*(List name, address, and other contact information.)*

The City of Stamford Director of Operations or their designee.

**§ 1.1.8** The persons or entities, in addition to the Owner's representative, who are required to review the Architect's submittals to the Owner are as follows:  
*(List name, address, and other contact information.)*

Colliers Project Leaders (Owner's Representative)

**§ 1.1.9** The Owner shall retain the following consultants and contractors:  
*(List name, legal status, address, and other contact information.)*

.1 Surveyor:

D'Andrea Engineering and Surveying, P.C. 6 Neil Lane, Riverside, CT 06078, 203-391-4512

*(Paragraphs deleted)*

.2 :

.3 Other, if any:

*(List any other consultants and contractors retained by the Owner.)*

Commissioning Agent – To be determined

§ 1.1.10 The Architect identifies the following representative in accordance with Section 2.3:  
(List name, address, and other contact information.)

Kemp A. Morhardt, AIA, NCARB, Principal"

§ 1.1.11 The Architect shall retain the consultants identified in Sections 1.1.11.1 and 1.1.11.2:  
(List name, legal status, address, and other contact information.)

§ 1.1.11.1 Consultants retained under Basic Services:

Except for the Energy Modeling Consultant see Architects' proposal for the name, addresses discipline and role for all other listed Architect consultants.

- .1 Structural Engineer:
- .2 Mechanical/Electrical/Fire Protection Engineer:
- .3 Audio/Visual Engineer:
- .4 Civil Engineer:
- .5 Geotechnical Engineer:
- .6 Acoustical Engineer:
- .7 Landscape Architect:
- .8 Athletic Field Designer:
- .9 Food Service/Kitchen Equipment Vendor:
- .10 Telecommunication System Engineer:
- .11 Interior Designer (if not the architect):

Init.

.12 Sustainability Consultant:

.13 Energy Modeling Consultant

Thornton Thomasetti

§ 1.1.11.2 Omitted

§ 1.1.12 Other Initial Information on which the Agreement is based:

See documents list in § 13.2, below

§ 1.2 The Owner and Architect may rely on the Initial Information. Both parties, however, recognize that the Initial Information may materially change and, in that event, the Owner and the Architect shall appropriately adjust the Architect's services, schedule for the Architect's services, and the Architect's compensation. The Owner shall adjust the Owner's budget for the Cost of the Work and the Owner's anticipated design and construction milestones, as necessary, to accommodate material changes in the Initial Information.

§ 1.3 If applicable, the parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.3.1 Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™-2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

## ARTICLE 2 ARCHITECT'S RESPONSIBILITIES

§ 2.1 The Architect shall provide professional services as set forth in this Agreement. The Architect represents that it is properly licensed in the jurisdiction where the Project is located to provide the services required by this Agreement, or shall cause such services to be performed by appropriately licensed design professionals.

§ 2.2 The Architect shall perform its services consistent with the professional skill, care and duty ordinarily provided and owing to owners by architects practicing in the same or similar locality under the same or similar circumstances. The Architect shall perform its services expeditiously as is consistent with such professional skill and care and the orderly progress of the Project. The Architect shall be duty bound to provide, at its own expense, all drawings, specifications, sketches, site visits, shop drawing/submittal reviews and any other design services its errors and omissions make necessary but only to the extent those errors or omissions create the need for those services. The foregoing duty of the Architect does not extend to the provision of design services to produce a betterment for the Owner.

§ 2.3 The Architect shall identify a representative authorized to act on behalf of the Architect with respect to the Project.

§ 2.4 Except with the Owner's knowledge and consent, the Architect shall not engage in any activity, or accept any employment, interest or contribution that would reasonably appear to compromise the Architect's professional judgment with respect to this Project.

*(Paragraphs deleted)*

§ 2.5 The Architect shall indemnify, hold harmless, and at the City's option, defend the City, its officers and employees, from third party claims for loss, cost, damage, liability, and/or injury to or death of a person, including the agents and employees of the Architect, or loss of or damage to property to the extent caused by the Architect's negligence performance of its obligations under this Agreement, or by any negligent omission to perform an obligation this Agreement imposes on the Architect, its officers, agents and employees. The foregoing indemnity shall also include reasonable attorneys' fees and costs of suit, if applicable, shall not be limited by reason of any insurance coverage this Agreement requires. It shall also survive the termination of this Agreement. This indemnification expressly excludes a duty on the Architect's part to defend the City, its officers and employees for professional liability claims. However, the absence of a duty to defend shall not preclude the City, its officers and employees from seeking and obtaining its reasonable attorneys' fees as damages where and to the extent the Architect's negligence caused the City, its officers and/or its employees to incur those fees."

§ 2.6 Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than \$5 million per claim and \$5 million in the aggregate. The City has also asked the Architect, and the Architect, has agreed, to purchase a job-specific excess professional liability policy providing an additional \$5 million of professional liability insurance. The parties agree, however, that the premium for this excess policy shall be treated as a Reimbursable Expense under this Agreement. In addition the Architect will maintain until termination of this Agreement the insurance coverages that satisfy the insurance requirements contained in the Owner's Request for Proposal (see Section 13.2).

§ 2.6.1 The Architect shall provide certificates of insurance to the Owner that evidence compliance with the requirements in this Section 2.6.

### ARTICLE 3 SCOPE OF ARCHITECT'S BASIC SERVICES

§ 3.1 The Architect's basic design services shall include, but not be limited to, normal structural, mechanical and electrical engineering services. The intent of this Article is to contain full design services for the Project. Therefore, under this Article, the Architect shall provide as Basic Services all services necessary to formulate the design for the Project, including civil engineering, lighting design, technology, telecommunications systems design, AV system design, security system design, landscape design and acoustical design. Basic Services shall also include Program Verification of the Owner's Educational Specifications, multiple preliminary designs, site evaluation and planning, Building Information Model management (BIM Level 300), architectural interior design, value analysis, conformed documents for construction, as-designed record drawings, Sustainable Project Services (LEED Silver/CT High Performance Building Standards), multiple bid packages specifically required for OSCGR approvals to include one early bid package, one primary construction package, and one package for FF&E and Technology Equipment, as well as all other design services set forth as Basic in the Architect's proposal (a Contract Document per §13.2) and in this Article.

§ 3.1.0 Prior to execution of any subconsultant agreement, the Architect shall submit to the Owner a list of all proposed subconsultants, together with the proposed scope of services for each, in order to establish to the Owner's reasonable satisfaction that all aspects of the Project will be designed and managed by qualified professionals. The Architect shall not enter into any subconsultant agreement to which the Owner has a reasonable objection. Subconsultants shall be those named in Sections 1.1.9.1 and 1.1.9.2 and shall not be changed prior to completion of the Project without written consent of the Owner, which shall not be unreasonably withheld.

§ 3.1.0.1 During the design of the Project, the Architect and its consultants shall visit the Project site as required to obtain available record drawings, investigate the existing buildings and site to understand the project issues and record local and existing conditions. If additional measured drawings are required beyond what is available from the Owner's records, the Architect shall develop those drawings as part of Basic Services.

§ 3.1.1 The Architect shall manage the Architect's services, research applicable design criteria, attend Project meetings, communicate with members of the Project team, and report progress to the Owner.

Init.

**§ 3.1.2** The Architect shall coordinate its services with those services provided by the Owner and the Owner's consultants. The Architect shall review information provided by consultants the Owner retains directly and shall coordinate and incorporate the work of those consultants with its work so as to produce a complete and consistent product at each Phase. The parties agree, however, that "coordinate" as used in the prior sentence does not obligate the Architect to verify the accuracy of the Owner's consultants' work or become responsible for scheduling that work.

**§ 3.1.3** As soon as practicable after the date of this Agreement, the Architect shall submit for the Owner's approval a schedule for the performance of the Architect's services. The schedule initially shall include anticipated dates for the commencement of construction and for Substantial Completion of the Work as set forth in the Initial Information. The schedule shall include allowances for periods of time required for the Owner's review, for cost estimating, for cost/budget reconciliations, for the performance of the Owner's consultants, and for approval of submissions by authorities having jurisdiction over the Project. Once approved by the Owner, time limits established by the schedule shall not, except for reasonable cause, be exceeded by the Architect or Owner. With the Owner's approval, the Architect shall adjust the schedule, if necessary, as the Project proceeds until the commencement of construction.

**§ 3.1.4** The Architect shall not be responsible for an Owner's directive or substitution, or for the Owner's acceptance of non-conforming Work, made or given without the Architect's written approval.

**§ 3.1.5** The Architect shall, when appropriate, contact governmental authorities required to approve the Construction Documents and entities providing utility services to the Project. The Architect shall design the Project to comply with all applicable federal, state and local laws, statutes, ordinances, codes, orders, rules and regulations and shall assist the Owner in obtaining required written approval of all governmental authorities having jurisdiction over the Project. Review or approval of the Architect's documents by the Owner shall not relieve the Architect of any obligation for such compliance or for assistance in obtaining governmental approval by a governmental authority. The Architect shall respond to applicable design requirements imposed by those authorities and entities providing utility services and incorporate said requirements into the Contract Documents.

**§ 3.1.6** The Architect shall assist the Owner in connection with the Owner's responsibility for filing documents required for the approval of governmental authorities having jurisdiction over the Project, and by preparing documents for and attending meetings with governmental authorities having jurisdiction over the design and construction approval of the Project. This includes, but is not limited to, completing building code reviews and satisfying requirements of local or regional planning and zoning boards, fire marshals, and state and municipal authorities. It also includes attending all meetings and preparing all required documentation required by the State of Connecticut Department of Administrative Services, Office of School Construction Grants and Review.

### **§ 3.2 Schematic Design Phase Services**

**§ 3.2.1** The Architect shall review the program and other information furnished by the Owner, and shall review laws, codes, and regulations applicable to the Architect's services.

**§ 3.2.2** The Architect shall prepare a preliminary evaluation of the Owner's program, schedule, budget for the Cost of the Work, Project site, the proposed procurement and delivery method, and other Initial Information, each in terms of the other, to ascertain the requirements of the Project. In preparation for, and in conjunction with, the Schematic Design Phase, the Architect shall also modify the provided program information into a formalized concise program document which includes, at a minimum, the following for Owner approval:

1. Listing of Building Program spaces and sizes in net square feet with delineation of the existing spaces to be used and the new spaces.
2. Documentation of required program space relationships.
3. Listing of special needs for each programmed space.
4. Target net square foot to gross square foot efficiency ratio.
5. Special building characteristics and requirements which should be included in design and construction parameters of the Project.

The Architect shall notify the Owner of (1) any inconsistencies discovered in the information, and (2) other information or consulting services that may be reasonably needed for the Project.

**§ 3.2.2.1** The Architect shall advise the Owner of a need for tests, analyses, studies, reports, documentation of existing conditions or consultants' services not previously provided for in this Agreement.

§ 3.2.3 The Architect shall present its preliminary evaluation to the Owner and shall discuss with the Owner alternative approaches to design and construction of the Project, including the feasibility of incorporating environmentally responsible design approaches. The Architect shall reach an understanding with the Owner regarding the requirements of the Project.

§ 3.2.4 Based on the Project requirements agreed upon with the Owner, the Architect shall prepare and present, for the Owner's approval, a preliminary design illustrating the scale and relationship of the Project components.

§ 3.2.5 Based on the Owner's approval of the preliminary design, the Architect shall prepare Schematic Design Documents for the Owner's approval. The Schematic Design Documents shall consist of drawings and other documents including a site plan, if appropriate, and preliminary building plans, sections and elevations; and may include some combination of study models, perspective sketches, or digital representations. Preliminary selections of major building systems and construction materials shall be noted on the drawings or described in writing.

§ 3.2.5.0.1 The Architect shall respond in writing, in a format acceptable to the Owner, to all written comments from the Owner concerning the Architect's Schematic Design Phase submittal. If after discussing those comments with the Architect, the Owner directs the Architect to modify the Schematic Design, the Architect shall prepare for the Owner's approval a written list accurately identifying the modifications. If the directed modifications on the approved list are few in number and none substantially impacts a material programmatic element, the Architect shall make the modifications in the next phase of the design, the Design Development phase, and the Owner will be deemed to have approved the Schematic Design subject to the making of those modifications and the requirements of §3.2.5.4 such that the design can then progress to the Design Development Phase. Otherwise, the Architect shall make the modifications during the Schematic Design Phase and can proceed to the Design Development Phase only after the Owner has approved the modified Schematic Design.

§ 3.2.5.1 The Architect shall consider sustainable design alternatives, such as material choices and building orientation, together with other considerations based on program and aesthetics, in developing a design that is consistent with the Owner's program, schedule and budget for the Cost of the Work. The Owner may obtain more advanced sustainable design services as a Supplemental Service under Section 4.1.1.

§ 3.2.5.2 The Architect shall consider the value of alternative materials, building systems and equipment, together with other considerations based on program and aesthetics, in developing a design for the Project that is consistent with the Owner's program, schedule, and budget for the Cost of the Work.

*(Paragraphs deleted)*

§ 3.2.5.3 Schematic Design documentation shall also include, but not be limited to, the following:

- 1 An evaluation of HVAC systems including a narrative and drawings of at least three alternative system strategies delineating advantages, disadvantages, flow diagrams, approximate loads, sizing and capacity information, and relative order of magnitude costs for operation and installation.
- 2 A written description, flow diagrams, and design loads of the preferred mechanical and electrical systems for the Project. After review and approval by the Owner, these documents will serve as the "Basis of Design" for the mechanical and electrical systems of the Project.
- 3 Schematic Design documentation shall include drawings and/or narrative description of and performance criteria for all principal construction materials and systems proposed for the Project, including exterior and interior building materials and finishes; structural systems; plumbing and piping systems and fixtures, HVAC systems including major equipment items and control systems; lighting concept and fixture types; electrical power and telecommunications systems; and other significant building or site components as required to complete a detailed construction cost estimate for the full scope of the Project.
- 4 Tabular comparisons of all room areas shown in Owner's building program compared to all room areas as shown in schematic design document.

§ 3.2.5.4 When Schematic Design Documents have been prepared by the Architect, the Architect shall prepare for review by the Owner an estimate of construction costs with supporting data. The Estimate shall be in the Construction Specification Institute's (CSI's) format and shall include separate line item costs, projected to the scheduled construction dates, for all major systems and components of the Work. The Architect shall also prepare comparative

Init.

AIA Document B101 – 2017. Copyright © 1974, 1978, 1987, 1997, 2007 and 2017. All rights reserved. "The American Institute of Architects," "American Institute of Architects," "AIA," the AIA Logo, and "AIA Contract Documents" are registered trademarks of The American Institute of Architects. This document was produced at 09:45:06 ET on 09/01/2023 under Order No.3104238234 which expires on 03/23/2024, is not for resale, is licensed for one-time use only, and may only be used in accordance with the AIA Contract Documents® Terms of Service. To report copyright violations, e-mail docinfo@aiacontracts.com.

User Notes:

(1630687080)



estimates for cost evaluations of alternative materials and systems. The Owner is not required to approve the Schematic Design Documents unless and until all of the following conditions have been met:

1. The Schematic Design Documents have been completed;
2. The Owner has completed an estimate of construction costs based upon the Schematic Design Documents;
3. The Architect has completed an estimate of construction costs based on the Schematic Design Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled ("Reconciled Cost Estimates");
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the Schematic Design Documents;
7. The Architect has modified the Schematic Design Documents to conform with the budget reconciliation and the Owner's review comments. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraints.

### **§ 3.3 Design Development Phase Services**

**§ 3.3.1** Based on the Owner's approval of the Schematic Design Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Design Development Documents for the Owner's approval. The Design Development Documents shall illustrate and describe the development of the approved Schematic Design Documents and shall consist of drawings and other documents including plans, sections, elevations, typical construction details, and diagrammatic layouts of building systems to fix and describe the size and character of the Project as to architectural, structural, mechanical and electrical systems, and other appropriate elements as set forth in the documents listed in § 13.2, below. The Design Development Documents shall also include outline specifications that identify major materials and systems and establish, in general, their quality levels.

**§ 3.3.2** The Architect shall update the estimate of the Cost of the Work prepared in accordance with Section 6.3.

**§ 3.3.3** The Architect shall submit the Design Development Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, and request the Owner's approval.

**§ 3.3.4** Design Development documentation shall also include, but not be limited to, the following:

1. The "Basis of Design" for the mechanical systems approved in Schematic Design shall be expanded in the Design Development Phase to report detailed engineering assumptions and results of calculations. Detailed engineering criteria such as indoor design temperatures and humidity levels, room and building occupancy numbers, climatic design values, hydronic distribution temperatures, peak loads, relative seasonal dates, design values for lighting, and domestic hot water temperatures are some of the information that must be recorded by the design engineer and submitted to the Owner for review and approval. The HVAC Designer shall prepare, for review and approval by the Owner, a spreadsheet that lists all rooms and spaces in the project and their respective design criteria such as heating and cooling loads, ventilation requirements, and discharge air velocities. HVAC design will include an energy modeling computer program and a printout report shall be provided to the Owner. The energy modeling computer program will be a program accepted by the USGBC LEED Certification process. Mechanical systems documentation shall include equipment schedules, general diagrammatic layout of ductwork and piping, typical details, riser diagrams for all systems, control diagrams showing sequence of operation;
2. Electrical systems documentation shall include riser diagrams for power, telephone, data, and emergency power systems as well as electrical equipment and lighting schedules;
3. Tabular comparison of all room areas as programmed, as shown in the Schematic Design submittal, and as shown in the Design Development submittal;

4. Comprehensive synopsis of regulatory requirements for the project, identifying salient design criteria and requirements of all applicable codes, laws and regulations.

**§ 3.3.5** The Architect shall advise the Owner of any adjustments to the Design which affect the Schematic Estimate of Construction Cost.

**§ 3.3.6** When Design Development Documents have been prepared by the Architect, the Architect shall prepare for review by the Owner, an estimate of construction costs with supporting data. The Estimate shall be in the Construction Specification Institute's (CSI's) 16 Division format and shall include separate line item costs, projected to the scheduled construction dates, for all major systems and components of the Work. The Architect shall also prepare comparative estimates for cost evaluations of alternative materials and systems. The Owner is not required to approve the Design Development Documents unless and until all of the following conditions have been met:

1. The Design Development Documents have been completed;
2. The Owner has completed the estimate of construction costs based upon the Design Development Documents;
3. The Architect has completed the estimate of construction costs based on the Design Development Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled ("Reconciled Cost Estimates");
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the Design Development Documents;
7. The Architect has modified the Design Development Documents to conform with the budget reconciliation and the Owner's review. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraints.

**§ 3.3.7** The Architect shall respond in writing, in format acceptable to the Owner, to all written comments from the Owner concerning the Architect's Design Development Phase submittal. If after discussing those comments with the Architect, the Owner directs the Architect to modify the Design Development drawings, the Architect shall prepare for the Owner's approval a written list accurately identifying those modifications. If the directed modifications on the approved list are few in number and none substantially impacts a material programmatic element, the Architect shall make the modifications in the next phase of the design, the Construction Documents Phase, and the Owner will be deemed to have approved the Design Development submission subject to the making of those modifications and the requirements of §3.3.6 such that the design can progress to the Construction Document Phase. Otherwise, the Architect shall make the modifications during the Design Development Phase and can proceed to the Construction Documents Phase only after the Owner has approved the modified Design Development drawings.

#### **§ 3.4 Construction Documents Phase Services**

**§ 3.4.1** Based on the Owner's approval of the Design Development Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Construction Documents for the Owner's approval. The Construction Documents shall illustrate and describe the further development of the approved Design Development Documents and shall consist of Drawings and Specifications setting forth in detail the quality levels and performance criteria of materials and systems and other requirements for the construction of the Work. The Owner and Architect acknowledge that, in order to perform the Work, the Contractor will provide additional information, including Shop Drawings, Product Data, Samples and other similar submittals, which the Architect shall review in accordance with Section 3.6.4.

**§ 3.4.1.1** The Architect shall advise the Owner of any adjustments to the Design which affect the Design Development Estimate of Construction Cost.

**§ 3.4.1.2** When Construction Documents are approximately 90% complete; the Architect shall prepare a detailed estimate of construction costs with supporting data for review by the Owner. The Owner is not required to approve the Construction Documents unless and until all of the following conditions have been met:

1. The Construction Documents have been completed;

2. The Owner has completed the estimate of construction costs based upon the 90% complete Construction Documents;
3. The Architect has completed the estimate of construction costs based upon the 90% completed Construction Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled;
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the 100% complete Construction Documents;
7. The Architect has modified the Construction Documents to conform with the budget reconciliation and the Owner's review. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraint.
8. A final tabular comparison of all room areas as programmed, and as shown on Design Development submittal.

§ 3.4.1.3 The Architect shall respond in writing, in the format requested by the Owner, to all written comments from the Owner regarding the Architect's ninety percent (90%) completion of the Construction Documents submittal and shall secure Owner's final written approval of such submittal. Documents shall, if reasonably requested by the Owner, be revised and resubmitted incorporating modifications needed to obtain such approval.

§ 3.4.2 The Architect shall incorporate the design requirements of governmental authorities having jurisdiction over the Project into the Construction Documents.

§ 3.4.3 The Architect shall develop and prepare information for FF&E procurement and upon request, advise the Owner concerning Contractor at risk and trade contractor procurement. The parties also understand that the AIA A201 General Conditions, as the Owner modified them, were included in the RFP for Architect procurement and are Contract Documents in this Agreement; that they were so included and made part of this Agreement because even though they are conditions to the Owner-CM Agreement, they assign responsibilities to the Architect, and by virtue of this Agreement, the Architect is agreeing to perform those responsibilities to the extent they are not in conflict with this Agreement. Accordingly, for any change in those modified General Conditions to become binding on the Architect, the Architect must give its express written consent. Construction drawings and specifications or other Construction Documents or contract documents the Architect submits to the Owner shall be unambiguous in all instances. The Architect shall exercise usual and customary professional care to comply with all applicable codes, ordinances, statutes, regulations in effect at the time it performs the services it is promising to perform in this Agreement.

§ 3.4.4 Omitted

§ 3.4.5 Omitted

### § 3.5 Procurement Phase Services

#### § 3.5.1 General

*(Paragraph deleted)*

Upon the Owner's request, the Architect shall comment on the firms responding to the Owner's Request for Proposal for construction managers at risk and their responses thereto.

#### § 3.5.2 Competitive Bidding

§ 3.5.2.1 Omitted.

§ 3.5.2.2 The Architect shall competitively bid the FF&E and technology equipment packages for the Project by, among other things:

- .1 facilitating the distribution of Bidding Documents to prospective bidders;
- .2 organizing and conducting a pre-bid conference for prospective bidders;
- .3 preparing responses to questions from prospective bidders and providing clarifications; and interpretations of the Bidding Documents to the prospective bidders in the form of addenda.
- .4 participate in pre-award conference with bidders, if requested.

§ 3.5.2.3 Even though the CM will not be procured via competitive bidding and the CM will procure all trade contractors (other than those furnishing and installing FF&E and Technology Equipment), the Architect will attend and participate fully in scope review meetings with the Contractor's low bidder trade contractors, but only for trade contracts with a value of \$250,000 or more..

*(Paragraphs deleted)*

## § 3.6 Construction Phase Services

### § 3.6.1 General

§ 3.6.1.1 The Architect shall provide administration of the Contract between the Owner and the Contractor as set forth below and in AIA Document A201™-2017, General Conditions of the Contract for Construction, as amended by Owner and attached hereto as Exhibit C. If the Owner and Contractor modify AIA Document A201-2017 after the date of this Agreement, those modifications shall not affect the Architect's services under this Agreement unless the Owner and the Architect amend this Agreement.

§ 3.6.1.2 The Architect shall advise and consult with the Owner during the Construction Phase Services. The Architect shall have authority to act on behalf of the Owner only to the extent provided in this Agreement. The Architect shall not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, nor shall the Architect be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect shall be responsible for the Architect's negligent acts or omissions, but shall not have control over or charge of, and shall not be responsible for, acts or omissions of the Contractor or of any other persons or entities performing portions of the Work. The Architect shall promptly notify the Owner in writing of any known deviations from the intent of Contract Documents, and schedule deviations from the most recent Construction Schedule submitted by the Contractor.

§ 3.6.1.3 Subject to Sections 4.1 and 4.2 and except as provided in Section 3.6.6.5, the Architect's responsibility to provide Construction Phase Services commences with the award of the Contract for Construction and terminates on the date the Architect issues the final Certificate for Payment.

### § 3.6.2 Evaluations of the Work

§ 3.6.2.1 The Architect, utilizing personnel with expertise in the specific disciplines required, shall visit the site at intervals appropriate to the stage of construction, but not less than once every week, or as the Owner and Architect otherwise agree, for the Architect to become generally familiar with and observe all reasonably apparent conditions at the site, the progress and quality of the Work completed and to determine in general if the Work observed is being performed in a manner indicating that when fully completed, it will conform to the Contract Documents. With respect to FF&E items and only to the extent it provided service for specifying, selecting or procuring those items, the Architect shall review their placement, make observations concerning damage, quality, assembly and function in order to determine whether those items conform to the Contract Documents. The Architect is responsible for coordinating all FF&E vendor deliveries and managing the deliveries on site to ensure that all FF&E items are properly installed. However, the Architect is not required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work performed. On the basis of its site visits, the Architect shall keep the Owner informed concerning the progress and quality of the Work completed and promptly report to the Owner in writing (1) all known deviations from the Contract Documents, (2) all defects and deficiencies observed and shall prepare and submit to the Owner notes of its job site visits no later than seven (7) calendar days after each visit. While the CM will be scheduling and chairing all Construction Phase job meetings and preparing the minutes of those meetings, the Architect shall attend those meetings and thereafter promptly review the minutes and provide the Owner and CM with comments, if any, regarding their accuracy and completeness. The Architect shall schedule, attend, chair and prepare and distribute minutes for all pre-construction meetings and secure the attendance at those meetings of its sub-consultants as appropriate and/or as the Owner reasonably requests.

§ 3.6.2.2 The Architect has the authority to reject Work that does not conform to the Contract Documents and shall immediately repost such rejection and the reason for the rejection in writing to the Owner. Whenever the Architect considers it necessary or advisable, the Architect shall have the authority to require inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

Init.

**§ 3.6.2.2.1 Not Used**

**§ 3.6.2.3** The Architect shall interpret and advise on matters concerning performance under, and requirements of, the Contract Documents on written (email acceptable) request of either the Owner or Contractor. The Architect's response to such requests shall be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

**§ 3.6.2.4** Interpretations of the Architect shall be consistent with the intent of, and reasonably inferable from, the Contract Documents and shall be in writing or in the form of drawings. When making such interpretations the Architect shall endeavor to secure faithful performance by the Contractor, shall not show partiality to either the Owner or Contractor, and shall not be liable for results of interpretations rendered in good faith. The Architect's interpretations on matters relating to aesthetic effect shall be final if consistent with the intent expressed in the Contract Documents.

**§ 3.6.2.5** The Parties agree that the Architect shall not serve as the Initial Decision Maker, at that term is defined in the AIA form Document A201-2017 and further agree that there will be no Initial Decision Maker in the Owner Contractor claims process. Any language to the contrary in the owner modified A201-2017 is to have no force and effect. The Parties agree, however, that the Architect shall render initial interpretations on claims between the Owner and the Contractor at the Owner's request.

**§ 3.6.3 Certificates for Payment to Contractor**

**§ 3.6.3.1** The Architect shall review and certify the amounts due the Contractor and shall issue certificates in such amounts. The Architect's certification for payment shall constitute a representation to the Owner, based on the Architect's evaluation of the Work as provided in Section 3.6.2 and on the data comprising the Contractor's Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to (1) an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, (2) results of subsequent tests and inspections, (3) correction of minor deviations from the Contract Documents prior to completion, and (4) specific qualifications expressed by the Architect.

**§ 3.6.3.2** The issuance of a Certificate for Payment shall be a representation that the Architect (1) has made the on-site observations §3.6.2.1 requires, that the Work has progressed as indicated, and the quality of the Work is in general accordance with the Contract Documents, but shall not be a representation that the Architect (1) has reviewed construction means, methods, techniques, sequences or procedures or work that becomes concealed during the normal course of construction, (2) reviewed trade contractor and supplier requisitions to substantiate the CM's right to payment, or (3) ascertained how and for what purpose the CM used funds the Owner previously paid the CM on account of the Contract Sum.

**§ 3.6.3.3** The Architect shall maintain a record of the Applications and Certificates for Payment.

**§ 3.6.4 Submittals**

**§ 3.6.4.1** The Architect shall review the Contractor's submittal schedule and shall not unreasonably delay or withhold approval of the schedule. The Architect's action in reviewing submittals shall be taken in accordance with the approved submittal schedule or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time, in the Architect's professional judgment, to permit adequate review.

**§ 3.6.4.2** The Architect shall review and approve, or take other appropriate action concerning, the Contractor's submittals, such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with the Contract Documents and not for the purpose of determining the accuracy of dimensions, quantities or other, similar information. The Architect shall act on these submittals within 16 calendar days, and in accordance with the approved submittal schedule, unless the Owner approves the Architect's request for additional time. The Owner shall not unreasonably withhold its approval of these requests. The Architect's review shall not constitute approval of safety precautions, nor shall it constitute approval of construction means, methods, techniques, sequences or procedures, unless the Contract Documents provide otherwise. The Architect's approval of a specific component in an assembly shall not indicate approval of the entire assembly. The Architect shall consider and evaluate substitutions of materials or components of work the Contractor proposes during construction and

incorporate them into the Contract Documents if the Owner and Architect agree that they decrease construction costs without diminishing the quality of the design.

**§ 3.6.4.3** If the Contract Documents specifically require the Contractor to provide professional design services or certifications by a design professional related to systems, materials, or equipment, the Architect shall specify the appropriate performance and design criteria that such services must satisfy. The Architect shall review and take appropriate action on Shop Drawings and other submittals related to the Work designed or certified by the Contractor's design professional, provided the submittals bear such professional's seal and signature when submitted to the Architect. The Architect's review shall be for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect shall be entitled to rely upon, and shall not be responsible for, the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals. The Architect shall specifically notify the Owner of the design services it proposes to delegate to the CM, and unless the Owner objects to a delegation within fourteen calendar days, the Architect may implement the delegation. The Owner acknowledges that delegating certain aspects of the design to the CM is customary in projects of this sort and agrees not to object unreasonably to proposed delegations.

**§ 3.6.4.3.1** The Architect shall provide to the Contractor a base set of contract drawings in electronic format for their use in the preparation of coordination drawings and submittals. This service shall be provided by the Architect at no additional cost to the Owner or Contractor.

**§ 3.6.4.4** The Architect shall review and respond to requests for information about the Contract Documents. The Architect shall set forth, in the Contract Documents, the requirements for requests for information. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific Drawings or Specifications in need of clarification and the nature of the clarification requested. The Architect shall provide a written response to these requests within ten (10) calendar days unless the Owner approves the Architect's request for additional time. The Owner shall not unreasonably withhold its approval of these requests. The Architect shall prepare and issue supplemental Drawings and Specifications in responses to requests for information.

**§ 3.6.4.5** The Architect shall maintain a record of submittals and copies of submittals supplied by the Contractor in accordance with the requirements of the Contract Documents.

### **§ 3.6.5 Changes in the Work**

**§ 3.6.5.1** The Architect may authorize minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment to the Contract Sum or an extension of the Contract Time. With respect to other changes the Owner initiates, the Architect shall provide the services needed to enable the CM to prepare and issue the Change Order document, or in the absence of an agreement between the Owner and the CM concerning a proposed Change Order, to enable it to prepare and issue on the Owner's behalf a Construction Change Directive to the CM. With respect to proposals for Change Orders made by the CM, the Architect shall advise the Owner on whether it should accept or reject the proposal providing the reasons therefore. If the Owner accepts the proposal, the Architect will treat the proposal as if it were an Owner-initiated Change Order and perform the services described above for Owner-initiated Change Orders. The Architect's services concerning Owner-initiated changes or CM change proposals shall be a Basic Service. However, if a particular change would substantially alter a major design element or if the volume of changes becomes substantially greater than reasonably expected on a project of this scale, the Architect may propose that the service it provides in these circumstances be treated as an Additional Service. The Owner will act in good faith on any such proposal and shall not unreasonably withhold its approval.

**§ 3.6.5.1.1 NOT USED**

**§ 3.6.5.1.2 NOT USED**

**§ 3.6.5.1.3 Omitted.**

**§ 3.6.5.2** The Architect shall maintain records relative to changes in the Work.

### **§ 3.6.6 Project Completion**

**§ 3.6.6.1** The Architect shall:

- .1 conduct inspections to determine the date or dates of Substantial Completion and the date of final completion;
- .2 issue Certificates of Substantial Completion;

Init.

- .3 review and forward to the Owner, for the Owner's records, written warranties and related documents required by the Contract Documents and received from the Contractor; and,
- .4 certify a final Certificate for Payment based upon a final inspection indicating that, to the best of the Architect's knowledge, information, and belief, the Work complies with the requirements of the Contract Documents.

§ 3.6.6.2 The Architect's inspections shall be conducted with the Contractor and/or the Owner, at the Owner's discretion, to check conformance of the Work with the Contract Documents and to verify the accuracy and completeness of the list submitted by the Contractor of the Work to be completed or corrected (the punch list). In the process of reviewing the Contractor-prepared punch list, the Architect shall conduct its own, independent review to identify items that are incomplete or require correction. If necessary, the Architect shall on the Owner's behalf, require the Contractor to modify its punch list to conform to its review.

§ 3.6.6.2.1 The Architect shall, within ninety (90) calendar days from the issuance of the final Certificate for Payment, prepare and deliver to the Owner (1) the latest version of the Building Information Model (sans items proprietary to SLAM), (2) architectural plans, sections and elevations in CAD format, and (3) as-designed record drawings in PDF form. The parties understand that the Architect will be providing interim issuances of the model during the course of construction.

§ 3.6.6.3 When Substantial Completion has been achieved, the Architect shall inform the Owner about the balance of the Contract Sum remaining to be paid the Contractor, including the amount to be retained from the Contract Sum, if any, for final completion or correction of the Work.

§ 3.6.6.4 The Architect shall review and forward to the Owner the following information received from the Contractor: (1) consent of surety or sureties, if any, to reduction in or partial release of retainage or the making of final payment; (2) affidavits, receipts, releases and waivers of liens, or bonds indemnifying the Owner against liens; and (3) any other documentation required of the Contractor under the Contract Documents.

§ 3.6.6.5 Upon request of the Owner, and prior to the expiration of one year from the date of Substantial Completion, the Architect shall, without additional compensation, conduct a meeting with the Owner to review the facility operations and performance.

**§ 3.6.6.6 Warranty Period Services**

The Architect shall assist as reasonably requested by the Owner during the warranty period set forth in the Contract Documents in identifying defects in the Project and in determining and implementing appropriate corrective measures in accordance with the requirements of the Construction Contract. Approximately one month before expiration of the Contractor's warranty period, the Architect shall conduct an inspection of the Project together with the Owner's representatives and shall report in writing any observed discrepancies under warranties or guarantees required by the Contract Documents.

**ARTICLE 4 ADDITIONAL SERVICES**

**§ 4.1 All omitted.**

*(Table deleted)*

*(Paragraphs deleted)*

**§ 4.1.2 All omitted.**

*(Paragraphs deleted)*

**§ 4.2 Architect's Additional Services**

The Architect may provide Additional Services after execution of this Agreement without invalidating the Agreement. Except for services required due to the fault of the Architect, any Additional Services provided in accordance with this Section 4.2 shall entitle the Architect to compensation pursuant to Section 11.3 and an appropriate adjustment in the Architect's schedule. The Architect shall provide the Owner with a scope of work, time and fee proposal for Owner's approval before providing any such services.

§ 4.2.1 Upon recognizing the need to perform the following Additional Services, the Architect shall notify the Owner with reasonable promptness and explain the facts and circumstances giving rise to the need. The Architect shall not proceed to provide the following Additional Services until the Architect receives the Owner's written authorization:

- .1 Services necessitated by a change in the Initial Information, previous instructions or approvals given by the Owner, or a material change in the Project including size, quality, complexity, the Owner's schedule or budget for Cost of the Work, or procurement or delivery method;
- .2 Services necessitated by the enactment or revision of codes, laws, or regulations, including changing or editing previously prepared Instruments of Service;
- .3 Changing or editing previously prepared Instruments of Service necessitated by official interpretations of applicable codes, laws or regulations that are either (a) contrary to specific interpretations by the applicable authorities having jurisdiction made prior to the issuance of the building permit, or (b) contrary to requirements of the Instruments of Service when those Instruments of Service were prepared in accordance with the applicable standard of care;
- .4 Services necessitated by decisions of the Owner not rendered in a timely manner or any other failure of performance on the part of the Owner or the Owner's consultants or contractors;
- .5 Preparing digital models or other design documentation for transmission to the Owner's consultants and contractors, or to other Owner-authorized recipients;
- .6 Preparation of design and documentation for alternate bid or proposal requests proposed by the Owner;

*(Paragraph deleted)*

- .7 Preparation for, and attendance at a reasonable number (no less than twelve (12)) of public presentations, meetings or hearings during the construction administration phase.
- .8 Preparation for, and attendance at, a dispute resolution proceeding or legal proceeding, except where the Architect is party thereto;
- .9 Evaluation of the qualifications of entities providing bids or proposals;
- .10 Consultation concerning replacement of Work resulting from fire or other cause during construction; or,

§ 4.2.2 To avoid delay in the Construction Phase, the Architect shall provide the following Additional Services, notify the Owner in writing with reasonable promptness, and explain the facts and circumstances giving rise to the need. If, upon receipt of the Architect's notice, the Owner determines that all or parts of the services are not required, the Owner shall give prompt written notice to the Architect of the Owner's determination. The Owner shall compensate the Architect for the services provided prior to the Architect's receipt of the Owner's notice to the extent such services are not necessary due to the Architect's negligent errors or omissions..

- .1 Reviewing a Contractor's submittal out of sequence from the submittal schedule approved by the Architect;
- .2 Responding to the Contractor's requests for information that are not prepared in accordance with the Contract Documents or where such information is available to the Contractor from a careful study and comparison of the Contract Documents, field conditions, other Owner-provided information, Contractor-prepared coordination drawings, or prior Project correspondence or documentation;
- .3 Preparing Change Orders and Construction Change Directives that require evaluation of Contractor's proposals and supporting data, or the preparation or revision of Instruments of Service per Section 3.6.5.1;
- .4 Evaluating an extensive number of Claims as the Initial Decision Maker; or
- .5 Evaluating proposals for substitutions from the Owner or the Contractor beyond a number that is reasonable for a project of this scale.

§ 4.2.3 The Architect shall provide Construction Phase Services exceeding the limits set forth below as Additional Services. When the limits below are reached, the Architect shall notify the Owner:

- .1 Two ( 2 ) reviews of each Shop Drawing, Product Data item, sample and similar submittals of the Contractor
- .2 One ( 1 ) visit every week to the site by the Architect during construction
- .3 Two ( 2 ) inspections for any portion of the Work to determine whether such portion of the Work is substantially complete in accordance with the requirements of the Contract Documents
- .4 Two ( 2 ) inspections for any portion of the Work to determine final completion.

§ 4.2.4 Except for services required under Section 3.6.6.5 and those services that do not exceed the limits set forth in Section 4.2.3, Construction Phase Services provided more than 60 days after (1) the date of Substantial Completion of

Init.



the Work or (2) the initial date of Substantial Completion identified in the agreement between the Owner and Contractor, whichever is earlier, shall be compensated as Additional Services to the extent the Architect incurs additional cost in providing those Construction Phase Services.

§ 4.2.5 If, through no fault of the Architect, the services covered by this Agreement have not been completed within the duration of the Construction Phase contained in the Architect's proposed schedule and RFP response plus two months, the Architect's services beyond that time shall be compensated by the appropriate lump sum payment set forth in the Architect's Revised Fee Proposal (see Section 13.2).

#### **ARTICLE 5 OWNER'S RESPONSIBILITIES**

§ 5.1 Unless otherwise provided for under this Agreement, the Owner shall provide information in a timely manner regarding requirements for and limitations on the Project, including a written program, which shall set forth the Owner's objectives; schedule; constraints and criteria, including space requirements and relationships; flexibility; expandability; special equipment; systems; and site requirements.

§ 5.2 The Owner shall establish the Owner's budget for the Project, including (1) the budget for the Cost of the Work as defined in Section 6.1; (2) the Owner's other costs; and, (3) reasonable contingencies related to all of these costs. The Owner shall update the Owner's budget for the Project as necessary throughout the duration of the Project until final completion. If the Owner significantly increases or decreases the Owner's budget for the Cost of the Work, the Owner shall notify the Architect. The Owner and the Architect shall thereafter agree to a corresponding change in the Project's scope and quality.

§ 5.3 The Owner shall identify a representative authorized to act on the Owner's behalf with respect to the Project. The Owner shall render decisions and approve the Architect's submittals in a timely manner in order to avoid unreasonable delay in the orderly and sequential progress of the Architect's services.

§ 5.4 The Owner shall furnish surveys to describe physical characteristics, legal limitations and utility locations for the site of the Project, and a written legal description of the site. The surveys and legal information shall include, as applicable, grades and lines of streets, alleys, pavements and adjoining property and structures; designated wetlands; adjacent drainage; rights-of-way, restrictions, easements, encroachments, zoning, deed restrictions, boundaries and contours of the site; locations, dimensions, and other necessary data with respect to existing buildings, other improvements and trees; and information concerning available utility services and lines, both public and private, above and below grade, including inverts and depths. All the information on the survey shall be referenced to a Project benchmark.

§ 5.5 Omitted.

§ 5.6 The Owner shall provide the Supplemental Services designated as the Owner's responsibility in Section 4.1.1.

§ 5.7 Omitted.

§ 5.8 The Owner shall coordinate the services of its own consultants with those services provided by the Architect. Upon the Architect's request, the Owner shall furnish copies of the scope of services in the contracts between the Owner and the Owner's consultants. The Owner shall furnish the services of consultants other than those designated as the responsibility of the Architect in this Agreement, or authorize the Architect to furnish them as an Additional Service, when the Architect requests such services and demonstrates that they are reasonably required by the scope of the Project. The Owner shall require that its consultants and contractors maintain insurance, including professional liability insurance, as appropriate to the services or work provided.

§ 5.9 If not part of the Architect's Services, the Owner shall furnish tests, inspections and reports required by law or the Contract Documents, such as structural, mechanical, and chemical tests, tests for air and water pollution, and tests for hazardous materials.

§ 5.10 The Owner shall furnish all legal, insurance and accounting services, including auditing services, that may be reasonably necessary at any time for the Project to meet the Owner's needs and interests.

§ 5.11 The Owner shall provide prompt written notice to the Architect if the Owner becomes aware of any fault or defect in the Project, including errors, omissions or inconsistencies in the Architect's Instruments of Service.

§ 5.12 The Owner shall include the Architect in all communications with the Contractor that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect.

§ 5.13 Before executing the Contract for Construction, the Owner shall coordinate the Architect's duties and responsibilities set forth in the Contract for Construction with the Architect's services set forth in this Agreement. The Owner shall provide the Architect a copy of the executed agreement between the Owner and Contractor, including the General Conditions of the Contract for Construction.

§ 5.14 The Owner shall provide the Architect access to the Project site prior to commencement of the Work and shall obligate the Contractor to provide the Architect access to the Work wherever it is in preparation or progress.

§ 5.15 Within 15 days after receipt of a written request from the Architect, the Owner shall furnish the requested information as necessary and relevant for the Architect to evaluate, give notice of, or enforce lien rights.

§ 5.16 The Architect's sole remedy for Owner delays shall be an extension of time to complete the work and the Architect hereby waives any claims for consequential damages, including, but not limited to, principal office expense, loss of financing, reputation and/or lost profit. This provision does not negate the Architect's right to Additional Compensation under §4.2.5.

## ARTICLE 6 COST OF THE WORK

§ 6.1 For purposes of this Agreement, the Cost of the Work shall be the total cost to the Owner to construct all elements of the Project designed or specified by the Architect and shall include contractors' general conditions costs, overhead and profit. The Cost of the Work does not include the compensation of the Architect; the costs of the land, rights-of-way, financing, or contingencies for changes in the Work; or other costs that are the responsibility of the Owner.

§ 6.1.1 The Cost of the Work shall include the cost at current market rates of labor and materials furnished by the Owner and equipment designed, specified, selected or specially provided for by the Architect, including the costs of management or supervision of construction or installation provided by a separate construction manager or contractor, plus a reasonable allowance for their overhead and profit. In addition, a reasonable allowance for market condition adjustments at the time of bidding and for changes shall be included in the Cost of the Work.

§ 6.1.3 Funds from the Owner Contingency cannot be committed or expended without the authorization of the Owner.

§ 6.2 Omitted.

§ 6.3 In preparing estimates of the Cost of Work at the Schematic Design, Design Development and Construction Documents Phases, the Architect shall be permitted to include contingencies for design, bidding, and price escalation; to determine what materials, equipment, component systems, and types of construction are to be included in the Contract Documents; to recommend reasonable adjustments in the program and scope of the Project; and to include design alternates as may be necessary to adjust the estimated Cost of the Work to meet the Owner's budget. The Architect's estimate of the Cost of the Work shall be based on current area, volume or similar conceptual estimating techniques. If the Owner requires a detailed estimate of the Cost of the Work, the Architect shall provide such an estimate as a Basic Service.

§ 6.4 If at any time the Architect's estimate of the Cost of the Work exceeds the Owner's budget for the Cost of the Work, the Architect shall make appropriate recommendations to the Owner to adjust the Project's size, quality, or budget for the Cost of the Work, and the Owner shall cooperate with the Architect in making such adjustments.

§ 6.5 If the bids (or the GMP proposed based on the results of trade contractor bidding) exceed the Owners' budget for the Cost of the Work at the conclusion of the Construction Document Phase, the Owner may:

Init.

- .1 approve an increase in its Cost of the Work budget to build per the current design;
- .2 require the CM to rebid the trade contracts;
- .3 terminate the Architect for its convenience under §9.5;
- .4 in consultation with the Architect and with its advice, revise the Project program, scope or quality to reduce the Cost of the Work to the amount of the Owner's budget for that Work at the conclusion of the Construction Document Phase, in which case the Architect shall prepare revised Construction Documents for the Owner's approval to implement the revision; or
- .5 implement any other mutually agreeable alternative.

§ 6.6 If the Owner chooses to proceed under §6.5.4, the Architect shall prepare the revised Construction Documents without additional compensation if the bids (or the proposed GMP based on the bids) exceeded the pertinent Cost of the Work budget principally due to factors within the Architect's control and failed to account for in its estimate of the Cost of the Work at the conclusion of the Construction Documents

*(Paragraphs deleted)*

Phase. Otherwise, the Architect shall be compensated for this revising as an Additional Service."

*(Paragraph deleted)*

§ 6.6.1 The Owner's Representative will compare and analyze the Reconciled Cost Estimates (required in Article 2.4) and the Construction Budget. If the Reconciled Cost Estimates exceed the Construction Budget, the Architect shall advise the Owner on ways to revise the Project so its cost is within the Construction Budget. The Architect shall recommend options for cost reduction for the Owner's approval.

## ARTICLE 7 COPYRIGHTS AND LICENSES

§ 7.1 The Architect and the Owner warrant that in transmitting Instruments of Service, or any other information, the transmitting party is the copyright owner of such information or has permission from the copyright owner to transmit such information for its use on the Project.

§ 7.2 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and shall retain all common law, statutory and other reserved rights, including copyrights. Submission or distribution of Instruments of Service to meet official regulatory requirements or for similar purposes in connection with the Project is not to be construed as publication in derogation of the reserved rights of the Architect and the Architect's consultants.

§ 7.3 The Architect grants to the Owner a nonexclusive, irrevocable license to use the Architect's Instruments of Service, provided that the Owner substantially performs its obligations under this Agreement, including prompt payment of all sums due pursuant to Article 9 and Article 11. The Architect shall obtain similar nonexclusive licenses from the Architect's consultants consistent with this Agreement. The license granted under this section permits the Owner to authorize Contractor, Subcontractors, Sub-subcontractors, and suppliers, as well as the Owner's consultants and separate contractors, to reproduce and use the Instruments of Service, subject to any protocols established pursuant to Section 1.3 for any purpose whatsoever. If the Architect rightfully terminates this Agreement for cause as provided in Section 9.4, the license granted in this Section 7.3 shall terminate. If and upon the date the Architect is terminated by the Owner for any cause other than default by the Owner of this Agreement, the foregoing license shall be deemed terminated and replaced by a second, nonexclusive license permitting the Owner to authorize other similarly credentialed design professionals to reproduce and, where permitted by law, to make changes, corrections or additions to the Instruments of Service solely for purposes of completing, using and maintaining the Project. In the case where the parties are engaged in a good faith dispute, the Owner will retain the aforementioned nonexclusive license until or unless the Owner is deemed to be in default. Nothing in Article 7 shall be construed or interpreted as a transfer or sale of: The Architect's name, title block, logo, software, hardware, software as a subscription, Revit families, standard details and/or custom details which shall remain the sole property of the Architect or the parties from which it obtains such services.

§ 7.3.1 In the event the Owner uses the Instruments of Service without retaining the authors of the Instruments of Service, the Owner releases the Architect and Architect's consultant(s) from all claims and causes of action arising from such uses. The Owner, to the extent permitted by law, further agrees to indemnify and hold harmless the Architect and its consultants from all costs and expenses, including the cost of defense, related to claims and causes of action asserted by any third person or entity to the extent such costs and expenses arise from the Owner's use of the

Init.

Instruments of Service under this Section 7.3.1. The terms of this Section 7.3.1 shall not apply if the Owner rightfully terminates this Agreement for cause under Section 9.4.

§ 7.4 Except for the licenses granted in this Article 7, no other license or right shall be deemed granted or implied under this Agreement. The Owner shall not assign, delegate, sublicense, pledge or otherwise transfer any license granted herein to another party without the prior written agreement of the Architect. Any unauthorized use of the Instruments of Service shall be at the Owner's sole risk and without liability to the Architect and the Architect's consultants.

§ 7.5 Except as otherwise stated in Section 7.3, the provisions of this Article 7 shall survive the termination of this Agreement.

## ARTICLE 8 CLAIMS AND DISPUTES

### § 8.1 General

§ 8.1.1 The Owner and Architect shall commence all claims and causes of action against the other and arising out of or related to this Agreement, whether in contract, tort, or otherwise, in accordance with the requirements of the binding dispute resolution method selected in this Agreement and within the period specified by applicable law. The Owner and Architect waive all claims and causes of action not commenced in accordance with this Section 8.1.1.

§ 8.1.2 To the extent damages are covered by property insurance, the Owner and Architect waive all rights against each other and against the contractors, consultants, agents, and employees of the other for damages, except such rights as they may have to the proceeds of such insurance as set forth in AIA Document A201-2017, General Conditions of the Contract for Construction. The Owner or the Architect, as appropriate, shall require of the contractors, consultants, agents, and employees of any of them, similar waivers in favor of the other parties enumerated herein.

§ 8.1.3 The Architect and Owner waive consequential damages for claims, disputes, or other matters in question, arising out of or relating to this Agreement. This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination of this Agreement, except as specifically provided in Section 9.7.

### § 8.2 Mediation

§ 8.2.1 Any claim, dispute or other matter in question arising out of or related to this Agreement shall be subject to mediation as a condition precedent to binding dispute resolution. If such matter relates to or is the subject of a lien arising out of the Architect's services, the Architect may proceed in accordance with applicable law to comply with the lien notice or filing deadlines prior to resolution of the matter by mediation or by binding dispute resolution.

§ 8.2.2 The Owner and Architect shall endeavor to resolve claims, disputes and other matters in question between them by mediation, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of this Agreement. A request for mediation shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of a complaint or other appropriate demand for binding dispute resolution but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration proceeding is stayed pursuant to this section, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 8.2.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 8.2.4 If the parties do not resolve a dispute through mediation pursuant to this Section 8.2, the method of binding dispute resolution shall be the following:  
(Check the appropriate box.)

Arbitration pursuant to Section 8.3 of this Agreement

Litigation in a court of competent jurisdiction

Init.

[ ] Other: *(Specify)*

If the Owner and Architect do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, the dispute will be resolved in a court of competent jurisdiction.

### **§ 8.3 Arbitration**

**§ 8.3.1** If the parties have selected arbitration as the method for binding dispute resolution in this Agreement, any claim, dispute or other matter in question arising out of or related to this Agreement subject to, but not resolved by, mediation shall be subject to arbitration, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of this Agreement. A demand for arbitration shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the arbitration.

**§ 8.3.1.1** A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the claim, dispute or other matter in question would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the claim, dispute or other matter in question.

**§ 8.3.2** The foregoing agreement to arbitrate, and other agreements to arbitrate with an additional person or entity duly consented to by parties to this Agreement, shall be specifically enforceable in accordance with applicable law in any court having jurisdiction thereof.

**§ 8.3.3** The award rendered by the arbitrator(s) shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

### **§ 8.3.4 Consolidation or Joinder**

**§ 8.3.4.1** Either party, at its sole discretion, may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation; (2) the arbitrations to be consolidated substantially involve common questions of law or fact; and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

**§ 8.3.4.2** Either party, at its sole discretion, may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

**§ 8.3.4.3** The Owner and Architect grant to any person or entity made a party to an arbitration conducted under this Section 8.3, whether by joinder or consolidation, the same rights of joinder and consolidation as the Owner and Architect under this Agreement.

**§ 8.4** The provisions of this Article 8 shall survive the termination of this Agreement.

## **ARTICLE 9 TERMINATION OR SUSPENSION**

**§ 9.1** If the Owner fails to make payments to the Architect in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Architect's option, cause for suspension of performance of services under this Agreement. If the Architect elects to suspend services, the Architect shall give seven days' written notice to the Owner before suspending services. In the event of a suspension of services, the Architect shall have no liability to the Owner for delay or damage caused the Owner because of such suspension of services. Before resuming services, the Owner shall pay the Architect all sums due prior to suspension and any reasonable expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

§ 9.2 If the Owner suspends the Project, for reasons other than the fault of the Architect, the Architect shall be compensated for services performed prior to notice of such suspension. When the Project is resumed, the Architect shall be compensated for reasonable expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

§ 9.3 If the Owner suspends the Project for more than 90 cumulative days for reasons other than the fault of the Architect, the Architect may terminate this Agreement by giving not less than seven days' written notice.

§ 9.4 Either party may terminate this Agreement upon not less than seven days' written notice should the other party fail substantially to perform in accordance with the terms of this Agreement through no fault of the party initiating the termination.

§ 9.5 The Owner may terminate this Agreement upon not less than seven days' written notice to the Architect for the Owner's convenience and without cause.

§ 9.6 If the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall compensate the Architect for services performed prior to termination, Reimbursable Expenses incurred, and reasonable costs attributable to termination, including the reasonable costs attributable to the Architect's termination of consultant agreements.

§ 9.7 In addition to any amounts paid under Section 9.6, if the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall pay to the Architect the following fees:

*(Set forth below the amount of any termination or licensing fee, or the method for determining any termination or licensing fee.)*

.1 Termination Fee:

Not applicable.

.2 Licensing Fee if the Owner intends to continue using the Architect's Instruments of Service:

No Additional Charge.

§ 9.8 Except as otherwise expressly provided herein, this Agreement shall terminate one year from the date of Substantial Completion.

§ 9.9 The Owner's rights to use the Architect's Instruments of Service in the event of a termination of this Agreement are set forth in Article 7 and Section 9.7.

## ARTICLE 10 MISCELLANEOUS PROVISIONS

§ 10.1 The parties deem this Agreement to have been made in the City of Stamford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Agreement to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Fairfield, at Stamford, only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court. The Consultant hereby waives any objection which it may now have or will have to the laying of venue of any claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

§ 10.2 Terms in this Agreement shall have the same meaning as those in AIA Document A201-2017, General Conditions of the Contract for Construction, as amended by Owner and attached hereto as Exhibit C.

§ 10.3 The Owner and Architect, respectively, bind themselves, their agents, successors, assigns, and legal representatives to this Agreement. Neither the Owner nor the Architect shall assign this Agreement without the written consent of the other.

**§ 10.4** If the Owner requests the Architect to execute certificates, the proposed language of such certificates shall be submitted to the Architect for review at least 14 days prior to the requested dates of execution. The Architect shall not be required to execute certificates that would require knowledge, services, or responsibilities beyond the scope of this Agreement.

**§ 10.5** Nothing contained in this Agreement shall create a contractual relationship with, or a cause of action in favor of, a third party against either the Owner or Architect.

**§ 10.6** Unless otherwise required in this Agreement or the documents listed in § 13.2, below, the Architect shall have no responsibility for the discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials or toxic substances in any form at the Project site.

**§ 10.7** The Architect shall have the right to include photographic or artistic representations of the design of the Project among the Architect's promotional and professional materials. The Architect shall be given reasonable access to the completed Project to make such representations. However, the Architect's materials shall not include the Owner's confidential or proprietary information if the Owner has previously advised the Architect in writing of the specific information considered by the Owner to be confidential or proprietary. The Owner shall provide professional credit for the Architect in the Owner's promotional materials for the Project. This Section 10.7 shall survive the termination of this Agreement unless the Owner terminates this Agreement for cause pursuant to Section 9.4.

**§ 10.8** If the Architect or Owner receives information specifically designated as "confidential" or "business proprietary," the receiving party shall keep such information strictly confidential and shall not disclose it to any other person except as set forth in Section 10.8.1. This Section 10.8 shall survive the termination of this Agreement.

**§ 10.8.1** The receiving party may disclose "confidential" or "business proprietary" information after 7 days' notice to the other party, when required by law, arbitrator's order, or court order, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or to the extent such information is reasonably necessary for the receiving party to defend itself in any dispute. The receiving party may also disclose such information to its employees, consultants, or contractors in order to perform services or work solely and exclusively for the Project, provided those employees, consultants and contractors are subject to the restrictions on the disclosure and use of such information as set forth in this Section 10.8.

*(Paragraph deleted)*

**§ 10.9** During the term of this Agreement, including any extensions, the Architect shall refrain from making gifts of money, goods, real or personal property or services to any appointed or elected official or employee of the City of Stamford or the Stamford Board of Education or any appointed or elected official or employee of their Boards, Commissions, Departments, Agencies or Authorities. All references to the Architect shall include its members, officers, directors, employees, and owners of more than 5% equity in the Architect.

**§ 10.10** The Architect is prohibited from using its status as a consultant to the Owner to derive any interest(s) or benefit(s) from other individuals or organizations, and, therefore, shall comply with all the prohibitions set forth in the Stamford Municipal Code of Ethics as codified in Chapter 19 of the City of Stamford Code of Ordinances.

**§ 10.11** Neither the Architect, the Architect's Representatives or the Architect's key personnel shall commit any act or do anything which might reasonably be considered: (i) to be immoral, deceptive, scandalous or obscene; or (ii) to injure, tarnish, damage or otherwise negatively affect the community and/or the reputation and goodwill associated with the Owner. If the Architect, the Architect's Representative or the Architect's key personnel is accused of any act involving moral or ethical issues, dishonestly, theft or misappropriation, under any law, or any act which casts an unfavorable light upon its association with the community and/or the Owner or the Architect is accused of performing or committing any act which could adversely impact the Architect's events, programs, services, or reputation, the Owner shall have the right to terminate this contract upon fifteen (15) days written notice specifying the reason, within which period the Architect may cure such offense. The determination of whether and to what extent the offense is cured shall be made by the Owner at its sole discretion.

**§ 10.12** The Architect acknowledges that the Owner is a municipal corporation, that the Owner's obligation to make payments under this Agreement is contingent upon the appropriation by the City of Stamford Board of Representatives of funds sufficient for such purposes for each budget year in which the Agreement is in effect, and that

the City may terminate this Agreement by way of written notice to the Architect if sufficient funds to prove for the payment(s) hereunder are not so appropriated.

**§ 10.13** The invalidity of any provision of the Agreement shall not invalidate the Agreement or its remaining provisions. If it is determined that any provision of the Agreement violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Agreement shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Agreement.

**§ 10.14** This Agreement may be executed in any number of counterparts, each of which shall be deemed an original. The counterparts shall together constitute but one Agreement. Any signature on a copy of this Agreement or any document necessary or convenient thereto sent by facsimile, PDF or other electronic format shall be binding upon such transmission and the facsimile, PDF or other electronic format copy shall be deemed an original for the purposes of this Agreement. Paper copies or "printouts," if introduced as evidence in any judicial, arbitral, mediation or administrative proceeding, will be admissible as between the parties to the same extent and under the same conditions as other original business records created and maintained in documentary form. Neither party shall contest the admissibility of true and accurate copies of electronically signed documents on the basis of the best evidence rule or as not satisfying the business records exception to the hearsay rule.

**ARTICLE 11 COMPENSATION**

**§ 11.1** For the Architect's Basic Services described under Article 3, the Owner shall compensate the Architect as follows:

- .1 **Stipulated Sum**  
*Stipulated Sum, "See The Architect's Written Fee Proposal as revised" – a lump sum of \$13,084,000.00, subject to the notes thereon.*

The Stipulated Sum includes \$650,000.00 for a natatorium, \$10,000.00 as an added fee for Early Bid Packages and \$210,000.00 as an added fee for integrated Net Zero Design. If the Owner opts to eliminate one or more of these items, the Stipulated Sum Fee shall be reduced accordingly.

- .2 **Percentage Basis**  
*(Insert percentage value)*  
  
 ( ) % of the Owner's budget for the Cost of the Work, as calculated in accordance with Section 11.6.

- .3 **Other**  
*(Describe the method of compensation)*

Allowances in addition to the lump sum in 11.1.1 above totaling \$429,000 as shown on The Architect's Written Fee Proposal as revised:

<i>** Allowance agreed to between Owner and Architect during contract, scope and fee negotiations</i>	
Allowance for Excess Insurance policy (additional \$5M)	\$ 204,000.00***
Allowance for LEED Certification Registration and Submission fees:	\$ 45,000.00
Allowance for Estimating Services for Value Management Items:	\$ 40,000.00
Allowance for Geotechnical Construction Phase Services:	\$ 40,000.00
Allowance for Owner Directed Additional Services:	\$ <u>100,000.00</u>
Sub-Total Allowances:	\$ 429,000.00

\*\*\* Based on a seven year premium duration at \$48,000 annually for the first three years and \$15,000 annually for year four and beyond. Budgeted for October 2023 through October 2030.

**§ 11.2** Omitted.



§ 11.3 For Additional Services that may arise during the course of the Project, including those under Section 4.2, the Owner shall compensate the Architect as follows:  
(Insert amount of, or basis for, compensation.)

As mutually agreed to in writing by the Owner and where appropriate utilizing the architect's hourly rates submitted with their proposal.

§ 11.4 Compensation for Supplemental and Additional Services of the Architect's consultants when not included in Section 11.2 or 11.3, shall be the amount invoiced to the Architect plus one percent ( 1%), or as follows:  
(Insert amount of, or basis for computing, Architect's consultants' compensation for Supplemental or Additional Services.)

§ 11.5  
(Paragraphs deleted)  
Omitted.

§ 11.6 When compensation identified in Section 11.1 is on a percentage basis, progress payments for each phase of Basic Services shall be calculated by multiplying the percentages identified in this Article by the Owner's most recent budget for the Cost of the Work. Compensation paid in previous progress payments shall not be adjusted based on subsequent updates to the Owner's budget for the Cost of the Work.

§ 11.6.1 When compensation is on a percentage basis and any portions of the Project are deleted or otherwise not constructed, compensation for those portions of the Project shall be payable to the extent services are performed on those portions. The Architect shall be entitled to compensation in accordance with this Agreement for all services performed whether or not the Construction Phase is commenced.

§ 11.7 The hourly billing rates for services of the Architect and the Architect's consultants are set forth below.  
(Paragraphs deleted)  
See RFP response for the hourly rates for the Architect full team and all its proposed consultants.

Employee or Category	Rate (\$0.00)
----------------------	---------------

§ 11.8 Compensation for Reimbursable Expenses

§ 11.8.1 Reimbursable Expenses are in addition to compensation for Basic, Supplemental, and Additional Services and include expenses incurred by the Architect and the Architect's consultants directly related to the Project, as follows:

- .1 Transportation and authorized out-of-town travel and subsistence;
- .2 Omitted
- .3 Permitting and other fees required by authorities having jurisdiction over the Project;
- .4 Printing, reproductions, plots, and standard form documents as requested by the Owner or as required for submission to governing bodies and agencies and bidding purposes. Charges for the Architect's internal printing, reproductions, plots and other documents is not permitted;
- .5 Postage, handling, and delivery of Instruments of Service;
- .6 Omitted
- .7 Renderings, physical models, mock-ups, professional photography, and presentation materials requested and authorized in advance as an additional service by the Owner or required for the Project;
- .8 The premium the Architect has paid for the \$5 million, job-specific excess professional liability policy the Owner has required the Architect to obtain
- .9 All taxes levied on professional services and on reimbursable expenses;
- .10 Site office expenses;
- .11 Registration fees and any other fees charged by the Certifying Authority or by other entities as necessary to achieve the Sustainable Objective; and,
- .12 Other similar direct Project-related expenditures, if authorized by the Owner.

Init.

§ 11.8.2 For Reimbursable Expenses the compensation shall be the expenses incurred by the Architect and the Architect's consultants plus five percent ( 5 %) of the expenses incurred.

§ 11.9 Architect's Insurance. See Section 2.6.

Not applicable.

§ 11.10 Payments to the Architect

§ 11.10.1 Initial Payments

§ 11.10.1.1 No initial payment shall be made by the Owner upon execution of this Agreement

*(Paragraph deleted)*

§ 11.10.2 Progress Payments

§ 11.10.2.1 Unless otherwise agreed, payments for services shall be made monthly in proportion to services performed. Payments are due and payable upon presentation of the Architect's invoice and supporting documentation. *(Insert rate of monthly or annual interest agreed upon.)*

Not Applicable %

§ 11.10.2.2 The Owner shall not withhold amounts from the Architect's compensation to impose a penalty or liquidated damages on the Architect, or to offset sums requested by or paid to contractors for the cost of changes in the Work, unless the Architect agrees or has been found liable for the amounts in a binding dispute resolution proceeding.

§ 11.10.2.3 Records of Reimbursable Expenses, expenses pertaining to Supplemental and Additional Services, and services performed on the basis of hourly rates shall be submitted by Architect with its invoice.

## ARTICLE 12 SPECIAL TERMS AND CONDITIONS

Special terms and conditions that modify this Agreement are as follows:  
*(Include other terms and conditions applicable to this Agreement.)*

In the event of a conflict between this modified B101 document and the A201 General Conditions, this modified B101 will govern.

## ARTICLE 13 SCOPE OF THE AGREEMENT

§ 13.1 This Agreement represents the entire and integrated agreement between the Owner and the Architect and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both the Owner and Architect.

§ 13.2 This Agreement is comprised of the following documents identified below:

- .1 AIA Document B101™-2017, Standard Form Agreement Between Owner and Architect
- .2 *Architect provided an owner approved execution plan template to be prepared and approved subsequently.*

E203 Not Applicable

- .3 Exhibits:  
*(Check the appropriate box for any exhibits incorporated into this Agreement.)*

AIA Document E204™-2017, Sustainable Projects Exhibit, dated as indicated below:  
*(Insert the date of the E204-2017 incorporated into this agreement.)*

Other Exhibits incorporated into this Agreement:  
*(Clearly identify any other exhibits incorporated into this Agreement, including any exhibits and scopes of services identified as exhibits in Section 4.1.2.)*

A. The City's RFP No. 2023.0030, and addenda thereto;

B. The Architect's Original Written Proposal;

Init.

AIA Document B101 – 2017. Copyright © 1974, 1978, 1987, 1997, 2007 and 2017. All rights reserved. "The American Institute of Architects," "American Institute of Architects," "AIA," the AIA Logo, and "AIA Contract Documents" are registered trademarks of The American Institute of Architects. This document was produced at 09:45:06 ET on 09/01/2023 under Order No.3104238234 which expires on 03/23/2024, is not for resale, is licensed for one-time use only, and may only be used in accordance with the AIA Contract Documents® Terms of Service. To report copyright violations, e-mail docinfo@aiacontracts.com.

User Notes:

(1630687080)



# **Additions and Deletions Report for** **AIA® Document B101® – 2017**

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 09:45:06 ET on 09/01/2023.

## **PAGE 1**

The City of Stamford  
888 Washington Boulevard  
Stamford, Connecticut 06904

...

The S/L/A/M Collaborative, Inc.  
80 Glastonbury Boulevard  
Glastonbury, CT 06033

...

Westhill High School Construction Project  
125 Roxbury Road  
Stamford, CT  
State Project #135-0280N

In this Agreement, the word "Contractor" shall mean the Construction Manager at Risk ("CM")

## **PAGE 2**

See documents listed in § 13.2, below

...

See documents listed in § 13.2, below

...

The Construction Budget for the new school, associate site work, utilities, field reconstruction, and abatement and demolition of the existing school is \$257,696,058.00, inclusive of escalation costs. The Furniture, Fixtures and Equipment Budget is \$9,615,800.00.

## **PAGE 3**

See documents listed in §13.2 as Contract Documents, including the Schedule contained in the RFP.

...

The Construction Delivery method shall be assumed to be a Design-Bid-Build utilizing a Construction Manager with a Guaranteed Maximum Price proposal (AIA A133).

...

The project shall meet LEED Silver requirements per city ordinance and conform to Connecticut High Performance Building Standards as required by Connecticut General Statutes.

~~§ 1.1.6.1 If the Owner identifies a Sustainable Objective, the Owner and Architect shall complete and incorporate AIA Document E204™ 2017, Sustainable Projects Exhibit, into this Agreement to define the terms, conditions and services related to the Owner's Sustainable Objective. If E204 2017 is incorporated into this agreement, the Owner and Architect shall incorporate the completed E204 2017 into the agreements with the consultants and contractors performing services or Work in any way associated with the Sustainable Objective.~~Omitted

...

The City of Stamford Director of Operations or their designee.

...

Colliers Project Leaders (Owner's Representative)

...

~~.1 Geotechnical Engineer:~~Surveyor:

D'Andrea Engineering and Surveying, P.C. 6 Neil Lane, Riverside, CT 06078, 203-391-4512

~~.2 Civil Engineer:~~

~~.2 :~~

**PAGE 4**

Commissioning Agent – To be determined

...

Kemp A. Morhardt, AIA, NCARB, Principal"

...

Except for the Energy Modeling Consultant see Architects' proposal for the name, addresses discipline and role for all other listed Architect consultants.

~~.1 Structural Engineer:~~

~~.2 Mechanical/Electrical/Fire Protection Engineer:~~

...

~~.3 Audio/Visual Engineer:~~

...

~~.4 Civil Engineer:~~

.2 Mechanical

.5 Geotechnical Engineer:

.6 Acoustical Engineer:

.7 Landscape Architect:

.3 Electrical Engineer:

.8 Athletic Field Designer:

.9 Food Service/Kitchen Equipment Vendor:

.10 Telecommunication System Engineer:

.11 Interior Designer (if not the architect):

.12 Sustainability Consultant:

.13 Energy Modeling Consultant

Thornton Thomasetti

**§ 1.1.11.2 Consultants retained under Supplemental Services: Omitted**  
**PAGE 5**

See documents list in § 13.2, below

...

**§ 1.3** ~~The~~ If applicable, the parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

...

**§ 2.2** ~~The Architect shall perform its services consistent with the professional skill and care ordinarily provided skill, care and duty ordinarily provided and owing to owners by architects practicing in the same or similar locality under the same or similar circumstances. The Architect shall perform its services as expeditiously as is consistent with such professional skill and care and the orderly progress of the Project. The Architect shall be duty bound to provide, at its own expense, all drawings, specifications, sketches, site visits, shop drawing/submittal reviews and any other design services its errors and omissions make necessary but only to the extent those errors or omissions create the need for those services. The foregoing duty of the Architect does not extend to the provision of design services to produce a betterment for the Owner.~~

**PAGE 6**

~~§ 2.5~~ The Architect shall maintain the following insurance until termination of this Agreement. If any of the requirements set forth below are in addition to the types and limits the Architect normally maintains, the Owner shall pay the Architect as set forth in Section 11.9:

~~§ 2.5.1~~ Commercial General Liability with policy limits of not less than ~~(\$ )~~ for each occurrence and ~~(\$ )~~ in the aggregate for bodily injury and property damage.

~~§ 2.5.2~~ Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Architect with policy limits of not less than ~~(\$ )~~ per accident for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles, along with any other statutorily required automobile coverage.

~~§ 2.5.3~~ The Architect may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella liability insurance policies result in the same or greater coverage as the coverages required under Sections 2.5.1 and 2.5.2, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

~~§ 2.5.4~~ Workers' Compensation at statutory limits.

~~§ 2.5.5~~ Employers' Liability with policy limits not less than ~~(\$ )~~ each accident, ~~(\$ )~~ each employee, and ~~(\$ )~~ policy limit.

~~§ 2.5.6~~ Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than ~~(\$ )~~ per claim and ~~(\$ )~~ in the aggregate.

~~§ 2.5.7~~ **Additional Insured Obligations.** To the fullest extent permitted by law, the Architect shall cause the primary and excess or umbrella policies for Commercial General Liability and Automobile Liability to include the Owner as an additional insured for claims caused in whole or in part by the Architect's negligent acts or omissions. The additional insured coverage shall be primary and non-contributory to any of the Owner's insurance policies and shall apply to both ongoing and completed operations.

~~§ 2.5.8~~ The Architect shall provide certificates of insurance to the Owner that evidence compliance with the requirements in this Section 2.5.

§ 2.5 The Architect shall indemnify, hold harmless, and at the City's option, defend the City, its officers and employees, from third party claims for loss, cost, damage, liability, and/or injury to or death of a person, including the agents and employees of the Architect, or loss of or damage to property to the extent caused by the Architect's negligence performance of its obligations under this Agreement, or by any negligent omission to perform an obligation this Agreement imposes on the Architect, its officers, agents and employees. The foregoing indemnity shall also include reasonable attorneys' fees and costs of suit, if applicable, shall not be limited by reason of any insurance coverage this Agreement requires. It shall also survive the termination of this Agreement. This indemnification expressly excludes a duty on the Architect's part to defend the City, its officers and employees for professional liability claims. However, the absence of a duty to defend shall not preclude the City, its officers and employees from seeking and obtaining its reasonable attorneys' fees as damages where and to the extent the Architect's negligence caused the City, its officers and/or its employees to incur those fees."

§ 2.6 Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than \$5 million per claim and \$5 million in the aggregate. The City has also asked the Architect, and the Architect, has agreed, to purchase a job-specific excess professional liability policy providing an additional \$5 million of professional liability insurance. The parties agree, however, that the premium for this excess policy shall be treated as a Reimbursable Expense under this Agreement. In addition the Architect will maintain until termination of this Agreement the insurance coverages that satisfy the insurance requirements contained in the Owner's Request for Proposal (see Section 13.2).



§ 2.6.1 The Architect shall provide certificates of insurance to the Owner that evidence compliance with the requirements in this Section 2.6.

§ 3.1 The Architect's ~~Basic Services~~ consist of those described in this Article 3 and include usual and customary structural, mechanical, and electrical engineering services. ~~Services not set forth in this Article 3 are Supplemental or Additional Services.~~ basic design services shall include, but not be limited to, normal structural, mechanical and electrical engineering services. The intent of this Article is to contain full design services for the Project. Therefore, under this Article, the Architect shall provide as Basic Services all services necessary to formulate the design for the Project, including civil engineering, lighting design, technology, telecommunications systems design, AV system design, security system design, landscape design and acoustical design. Basic Services shall also include Program Verification of the Owner's Educational Specifications, multiple preliminary designs, site evaluation and planning, Building Information Model management (BIM Level 300), architectural interior design, value analysis, conformed documents for construction, as-designed record drawings, Sustainable Project Services (LEED Silver/CT High Performance Building Standards), multiple bid packages specifically required for OSCGR approvals to include one early bid package, one primary construction package, and one package for FF&E and Technology Equipment, as well as all other design services set forth as Basic in the Architect's proposal (a Contract Document per §13.2) and in this Article.

§ 3.1.0 Prior to execution of any subconsultant agreement, the Architect shall submit to the Owner a list of all proposed subconsultants, together with the proposed scope of services for each, in order to establish to the Owner's reasonable satisfaction that all aspects of the Project will be designed and managed by qualified professionals. The Architect shall not enter into any subconsultant agreement to which the Owner has a reasonable objection. Subconsultants shall be those named in Sections 1.1.9.1 and 1.1.9.2 and shall not be changed prior to completion of the Project without written consent of the Owner, which shall not be unreasonably withheld.

§ 3.1.0.1 During the design of the Project, the Architect and its consultants shall visit the Project site as required to obtain available record drawings, investigate the existing buildings and site to understand the project issues and record local and existing conditions. If additional measured drawings are required beyond what is available from the Owner's records, the Architect shall develop those drawings as part of Basic Services.

**PAGE 7**

§ 3.1.2 The Architect shall coordinate its services with those services provided by the Owner and the Owner's consultants. The Architect shall be entitled to rely on, and shall not be responsible for, the accuracy, completeness, and timeliness of, services and information furnished by the Owner and the Owner's consultants. The Architect shall provide prompt written notice to the Owner if the Architect becomes aware of any error, omission, or inconsistency in such services or information.

review information provided by consultants the Owner retains directly and shall coordinate and incorporate the work of those consultants with its work so as to produce a complete and consistent product at each Phase. The parties agree, however, that "coordinate" as used in the prior sentence does not obligate the Architect to verify the accuracy of the Owner's consultants' work or become responsible for scheduling that work.

§ 3.1.3 As soon as practicable after the date of this Agreement, the Architect shall submit for the Owner's approval a schedule for the performance of the Architect's services. The schedule initially shall include anticipated dates for the commencement of construction and for Substantial Completion of the Work as set forth in the Initial Information. The schedule shall include allowances for periods of time required for the Owner's review, for cost estimating, for cost/budget reconciliations, for the performance of the Owner's consultants, and for approval of submissions by authorities having jurisdiction over the Project. Once approved by the Owner, time limits established by the schedule shall not, except for reasonable cause, be exceeded by the Architect or Owner. With the Owner's approval, the Architect shall adjust the schedule, if necessary, as the Project proceeds until the commencement of construction.

...

§ 3.1.5 The Architect shall ~~shall~~, when appropriate, contact governmental authorities required to approve the Construction Documents and entities providing utility services to the Project. The Architect shall design the Project to comply with all applicable federal, state and local laws, statutes, ordinances, codes, orders, rules and regulations and shall assist the Owner in obtaining required written approval of all governmental authorities having jurisdiction over the Project. Review or approval of the Architect's documents by the Owner shall not relieve the Architect of any obligation for such compliance or for assistance in obtaining governmental approval by a governmental authority. The



Architect shall respond to applicable design requirements imposed by those authorities and entities and entities providing utility services and incorporate said requirements into the Contract Documents.

**§ 3.1.6** The Architect shall assist the Owner in connection with the Owner's responsibility for filing documents required for the approval of governmental authorities having jurisdiction over the Project, and by preparing documents for and attending meetings with governmental authorities having jurisdiction over the design and construction approval of the Project. This includes, but is not limited to, completing building code reviews and satisfying requirements of local or regional planning and zoning boards, fire marshals, and state and municipal authorities. It also includes attending all meetings and preparing all required documentation required by the State of Connecticut Department of Administrative Services, Office of School Construction Grants and Review.

...

**§ 3.2.2** The Architect shall prepare a preliminary evaluation of the Owner's program, schedule, budget for the Cost of the Work, Project site, the proposed procurement and delivery method, and other Initial Information, each in terms of the other, to ascertain the requirements of the Project. In preparation for, and in conjunction with, the Schematic Design Phase, the Architect shall also modify the provided program information into a formalized concise program document which includes, at a minimum, the following for Owner approval:

1. Listing of Building Program spaces and sizes in net square feet with delineation of the existing spaces to be used and the new spaces.
2. Documentation of required program space relationships.
3. Listing of special needs for each programmed space.
4. Target net square foot to gross square foot efficiency ratio.
5. Special building characteristics and requirements which should be included in design and construction parameters of the Project.

The Architect shall notify the Owner of (1) any inconsistencies discovered in the information, and (2) other information or consulting services that may be reasonably needed for the Project.

**§ 3.2.2.1** The Architect shall advise the Owner of a need for tests, analyses, studies, reports, documentation of existing conditions or consultants' services not previously provided for in this Agreement.

**§ 3.2.3** The Architect shall present its preliminary evaluation to the Owner and shall discuss with the Owner alternative approaches to design and construction of the Project, including the feasibility of incorporating environmentally responsible design approaches. The Architect shall reach an understanding with the Owner regarding the requirements of the Project.

**PAGE 8**

**§ 3.2.5.0.1** The Architect shall respond in writing, in a format acceptable to the Owner, to all written comments from the Owner concerning the Architect's Schematic Design Phase submittal. If after discussing those comments with the Architect, the Owner directs the Architect to modify the Schematic Design, the Architect shall prepare for the Owner's approval a written list accurately identifying the modifications. If the directed modifications on the approved list are few in number and none substantially impacts a material programmatic element, the Architect shall make the modifications in the next phase of the design, the Design Development phase, and the Owner will be deemed to have approved the Schematic Design subject to the making of those modifications and the requirements of §3.2.5.4 such that the design can then progress to the Design Development Phase. Otherwise, the Architect shall make the modifications during the Schematic Design Phase and can proceed to the Design Development Phase only after the Owner has approved the modified Schematic Design.

...

**§ 3.2.6** The Architect shall submit to the Owner an estimate of the Cost of the Work prepared in accordance with Section 6.3.

**§ 3.2.7** The Architect shall submit the Schematic Design Documents to the Owner, and request the Owner's approval.

**§ 3.2.5.3** Schematic Design documentation shall also include, but not be limited to, the following:

- .1 An evaluation of HVAC systems including a narrative and drawings of at least three alternative system strategies delineating advantages, disadvantages, flow diagrams, approximate loads, sizing and capacity information, and relative order of magnitude costs for operation and installation.
- .2 A written description, flow diagrams, and design loads of the preferred mechanical and electrical systems for the Project. After review and approval by the Owner, these documents will serve as the "Basis of Design" for the mechanical and electrical systems of the Project.
- .3 Schematic Design documentation shall include drawings and/or narrative description of and performance criteria for all principal construction materials and systems proposed for the Project, including exterior and interior building materials and finishes; structural systems; plumbing and piping systems and fixtures, HVAC systems including major equipment items and control systems; lighting concept and fixture types; electrical power and telecommunications systems; and other significant building or site components as required to complete a detailed construction cost estimate for the full scope of the Project.
- .4 Tabular comparisons of all room areas shown in Owner's building program compared to all room areas as shown in schematic design document.

**§ 3.2.5.4** When Schematic Design Documents have been prepared by the Architect, the Architect shall prepare for review by the Owner an estimate of construction costs with supporting data. The Estimate shall be in the Construction Specification Institute's (CSI's) format and shall include separate line item costs, projected to the scheduled construction dates, for all major systems and components of the Work. The Architect shall also prepare comparative estimates for cost evaluations of alternative materials and systems. The Owner is not required to approve the Schematic Design Documents unless and until all of the following conditions have been met:

1. The Schematic Design Documents have been completed;
2. The Owner has completed an estimate of construction costs based upon the Schematic Design Documents;
3. The Architect has completed an estimate of construction costs based on the Schematic Design Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled ("Reconciled Cost Estimates");
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the Schematic Design Documents;
7. The Architect has modified the Schematic Design Documents to conform with the budget reconciliation and the Owner's review comments. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraints.

**§ 3.3.1** Based on the Owner's approval of the Schematic Design Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Design Development Documents for the Owner's approval. The Design Development Documents shall illustrate and describe the development of the approved Schematic Design Documents and shall consist of drawings and other documents including plans, sections, elevations, typical construction details, and diagrammatic layouts of building systems to fix and describe the size and character of the Project as to architectural, structural, mechanical and electrical systems, and other appropriate elements. Elements as set forth in the documents listed in § 13.2, below. The Design Development Documents shall also include outline specifications that identify major materials and systems and establish, in general, their quality levels.

**PAGE 9**

**§ 3.3.4** Design Development documentation shall also include, but not be limited to, the following:

1. The "Basis of Design" for the mechanical systems approved in Schematic Design shall be expanded in the Design Development Phase to report detailed engineering assumptions and results of calculations.

Detailed engineering criteria such as indoor design temperatures and humidity levels, room and building occupancy numbers, climatic design values, hydronic distribution temperatures, peak loads, relative seasonal dates, design values for lighting, and domestic hot water temperatures are some of the information that must be recorded by the design engineer and submitted to the Owner for review and approval. The HVAC Designer shall prepare, for review and approval by the Owner, a spreadsheet that lists all rooms and spaces in the project and their respective design criteria such as heating and cooling loads, ventilation requirements, and discharge air velocities. HVAC design will include an energy modeling computer program and a printout report shall be provided to the Owner. The energy modeling computer program will be a program accepted by the USGBC LEED Certification process. Mechanical systems documentation shall include equipment schedules, general diagrammatic layout of ductwork and piping, typical details, riser diagrams for all systems, control diagrams showing sequence of operation;

2. Electrical systems documentation shall include riser diagrams for power, telephone, data, and emergency power systems as well as electrical equipment and lighting schedules;
3. Tabular comparison of all room areas as programmed, as shown in the Schematic Design submittal, and as shown in the Design Development submittal;
4. Comprehensive synopsis of regulatory requirements for the project, identifying salient design criteria and requirements of all applicable codes, laws and regulations.

**§ 3.3.5** The Architect shall advise the Owner of any adjustments to the Design which affect the Schematic Estimate of Construction Cost.

**§ 3.3.6** When Design Development Documents have been prepared by the Architect, the Architect shall prepare for review by the Owner, an estimate of construction costs with supporting data. The Estimate shall be in the Construction Specification Institute's (CSI's) 16 Division format and shall include separate line item costs, projected to the scheduled construction dates, for all major systems and components of the Work. The Architect shall also prepare comparative estimates for cost evaluations of alternative materials and systems. The Owner is not required to approve the Design Development Documents unless and until all of the following conditions have been met:

1. The Design Development Documents have been completed;
2. The Owner has completed the estimate of construction costs based upon the Design Development Documents;
3. The Architect has completed the estimate of construction costs based on the Design Development Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled ("Reconciled Cost Estimates");
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the Design Development Documents;
7. The Architect has modified the Design Development Documents to conform with the budget reconciliation and the Owner's review. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraints.

**§ 3.3.7** The Architect shall respond in writing, in format acceptable to the Owner, to all written comments from the Owner concerning the Architect's Design Development Phase submittal. If after discussing those comments with the Architect, the Owner directs the Architect to modify the Design Development drawings, the Architect shall prepare for the Owner's approval a written list accurately identifying those modifications. If the directed modifications on the approved list are few in number and none substantially impacts a material programmatic element, the Architect shall make the modifications in the next phase of the design, the Construction Documents Phase, and the Owner will be deemed to have approved the Design Development submission subject to the making of those modifications and the requirements of §3.3.6 such that the design can progress to the Construction Document Phase. Otherwise, the Architect shall make the modifications during the Design Development Phase and can proceed to the Construction Documents Phase only after the Owner has approved the modified Design Development drawings.

**PAGE 10**

§ 3.4.1.1 The Architect shall advise the Owner of any adjustments to the Design which affect the Design Development Estimate of Construction Cost.

§ 3.4.1.2 When Construction Documents are approximately 90% complete; the Architect shall prepare a detailed estimate of construction costs with supporting data for review by the Owner. The Owner is not required to approve the Construction Documents unless and until all of the following conditions have been met:

1. The Construction Documents have been completed;
2. The Owner has completed the estimate of construction costs based upon the 90% complete Construction Documents;
3. The Architect has completed the estimate of construction costs based upon the 90% completed Construction Documents;
4. The construction cost estimates of the Owner and the Architect have been reconciled;
5. The Reconciled Cost Estimates are mutually determined by the Architect and the Owner to be within the construction budget;
6. The Owner has reviewed the 100% complete Construction Documents;
7. The Architect has modified the Construction Documents to conform with the budget reconciliation and the Owner's review. The modifications shall be as mutually agreed by the Architect and Owner to establish a revised construction scope which is within the budget constraint.
8. A final tabular comparison of all room areas as programmed, and as shown on Design Development submittal.

§ 3.4.1.3 The Architect shall respond in writing, in the format requested by the Owner, to all written comments from the Owner regarding the Architect's ninety percent (90%) completion of the Construction Documents submittal and shall secure Owner's final written approval of such submittal. Documents shall, if reasonably requested by the Owner, be revised and resubmitted incorporating modifications needed to obtain such approval.

§ 3.4.3 During the development of the Construction Documents, the Architect shall assist the Owner in the development and preparation of (1) procurement information that describes the time, place, and conditions of bidding, including bidding or proposal forms; (2) the form of agreement between the Owner and Contractor; and (3) the Conditions of the Contract for Construction (General, Supplementary and other Conditions). The Architect shall also compile a project manual that includes the Conditions of the Contract for Construction and Specifications, and may include bidding requirements and sample forms.

The Architect shall develop and prepare information for FF&E procurement and upon request, advise the Owner concerning Contractor at risk and trade contractor procurement. The parties also understand that the AIA A201 General Conditions, as the Owner modified them, were included in the RFP for Architect procurement and are Contract Documents in this Agreement; that they were so included and made part of this Agreement because even though they are conditions to the Owner-CM Agreement, they assign responsibilities to the Architect, and by virtue of this Agreement, the Architect is agreeing to perform those responsibilities to the extent they are not in conflict with this Agreement. Accordingly, for any change in those modified General Conditions to become binding on the Architect, the Architect must give its express written consent. Construction drawings and specifications or other Construction Documents or contract documents the Architect submits to the Owner shall be unambiguous in all instances. The Architect shall exercise usual and customary professional care to comply with all applicable codes, ordinances, statutes, regulations in effect at the time it performs the services it is promising to perform in this Agreement.

§ 3.4.4 The Architect shall update the estimate for the Cost of the Work prepared in accordance with Section 6.3.Omitted

§ 3.4.5 The Architect shall submit the Construction Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, take any action required under Section 6.5, and request the Owner's approval.Omitted

**PAGE 11**



The Architect shall assist the Owner in establishing a list of prospective contractors. Following the Owner's approval of the Construction Documents, the Architect shall assist the Owner in (1) obtaining either competitive bids or negotiated proposals; (2) confirming responsiveness of bids or proposals; (3) determining the successful bid or proposal, if any; and, (4) awarding and preparing contracts for construction. Upon the Owner's request, the Architect shall comment on the firms responding to the Owner's Request for Proposal for construction managers at risk and their responses thereto.

...

§ 3.5.2.1 Bidding Documents shall consist of bidding requirements and proposed Contract Documents. Omitted.

§ 3.5.2.2 The Architect shall assist the Owner in bidding the Project by: competitively bid the FF&E and technology equipment packages for the Project by, among other things:

...

- .3 preparing responses to questions from prospective bidders and providing clarifications-clarifications; and interpretations of the Bidding Documents to the prospective bidders in the form of addenda; and, addenda.
- .4 organizing and conducting the opening of the bids, and subsequently documenting and distributing the bidding results, as directed by the Owner. participate in pre-award conference with bidders, if requested.

§ 3.5.2.3 If the Bidding Documents permit substitutions, upon the Owner's written authorization, the Architect shall, as an Additional Service, consider requests for substitutions and prepare and distribute addenda identifying approved substitutions to all prospective bidders. Even though the CM will not be procured via competitive bidding and the CM will procure all trade contractors (other than those furnishing and installing FF&E and Technology Equipment), the Architect will attend and participate fully in scope review meetings with the Contractor's low bidder trade contractors, but only for trade contracts with a value of \$250,000 or more..

### § 3.5.3 Negotiated Proposals

§ 3.5.3.1 Proposal Documents shall consist of proposal requirements and proposed Contract Documents.

§ 3.5.3.2 The Architect shall assist the Owner in obtaining proposals by:

- .1 facilitating the distribution of Proposal Documents for distribution to prospective contractors and requesting their return upon completion of the negotiation process;
- .2 organizing and participating in selection interviews with prospective contractors;
- .3 preparing responses to questions from prospective contractors and providing clarifications and interpretations of the Proposal Documents to the prospective contractors in the form of addenda; and,
- .4 participating in negotiations with prospective contractors, and subsequently preparing a summary report of the negotiation results, as directed by the Owner.

§ 3.5.3.3 If the Proposal Documents permit substitutions, upon the Owner's written authorization, the Architect shall, as an Additional Service, consider requests for substitutions and prepare and distribute addenda identifying approved substitutions to all prospective contractors.

## PAGE 12

§ 3.6.1.1 The Architect shall provide administration of the Contract between the Owner and the Contractor as set forth below and in AIA Document A201™-2017, General Conditions of the Contract for Construction. Construction, as amended by Owner and attached hereto as Exhibit C. If the Owner and Contractor modify AIA Document A201-2017, A201-2017 after the date of this Agreement, those modifications shall not affect the Architect's services under this Agreement unless the Owner and the Architect amend this Agreement.

§ 3.6.1.2 The Architect shall advise and consult with the Owner during the Construction Phase Services. The Architect shall have authority to act on behalf of the Owner only to the extent provided in this Agreement. The Architect shall not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or

procedures, or for safety precautions and programs in connection with the Work, nor shall the Architect be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect shall be responsible for the Architect's negligent acts or omissions, but shall not have control over or charge of, and shall not be responsible for, acts or omissions of the Contractor or of any other persons or entities performing portions of the Work. The Architect shall promptly notify the Owner in writing of any known deviations from the intent of Contract Documents, and schedule deviations from the most recent Construction Schedule submitted by the Contractor.

§ 3.6.1.3 Subject to ~~Section Sections~~ 4.1 and 4.2 and except as provided in Section 3.6.6.5, the Architect's responsibility to provide Construction Phase Services commences with the award of the Contract for Construction and terminates on the date the Architect issues the final Certificate for Payment.

...

§ 3.6.2.1 ~~The Architect Architect, utilizing personnel with expertise in the specific disciplines required, shall visit the site at intervals appropriate to the stage of construction, or as otherwise required in Section 4.2.3, to become generally familiar with but not less than once every week, or as the Owner and Architect otherwise agree, for the Architect to become generally familiar with and observe all reasonably apparent conditions at the site, the progress and quality of the portion of the Work completed, and to determine, in general, Work completed and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect shall not be when fully completed, it will conform to the Contract Documents. With respect to FF&E items and only to the extent it provided service for specifying, selecting or procuring those items, the Architect shall review their placement, make observations concerning damage, quality, assembly and function in order to determine whether those items conform to the Contract Documents. The Architect is responsible for coordinating all FF&E vendor deliveries and managing the deliveries on site to ensure that all FF&E items are properly installed. However, the Architect is not required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work- Work performed. On the basis of the its site visits, the Architect shall keep the Owner reasonably informed about- informed concerning the progress and quality of the portion of the Work completed, Work completed and promptly report to the Owner in writing (1) all known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. all defects and deficiencies observed and shall prepare and submit to the Owner notes of its job site visits no later than seven (7) calendar days after each visit. While the CM will be scheduling and chairing all Construction Phase job meetings and preparing the minutes of those meetings, the Architect shall attend those meetings and thereafter promptly review the minutes and provide the Owner and CM with comments, if any, regarding their accuracy and completeness. The Architect shall schedule, attend, chair and prepare and distribute minutes for all pre-construction meetings and secure the attendance at those meetings of its sub-consultants as appropriate and/or as the Owner reasonably requests.~~

§ 3.6.2.2 The Architect has the authority to reject Work that does not conform to the Contract Documents- Documents and shall immediately repost such rejection and the reason for the rejection in writing to the Owner. Whenever the Architect considers it necessary or advisable, the Architect shall have the authority to require inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

#### § 3.6.2.2.1 Not Used

§ 3.6.2.3 The Architect shall interpret and ~~decide~~ advise on matters concerning performance under, and requirements of, the Contract Documents on written (email acceptable) request of either the Owner or Contractor. The Architect's response to such requests shall be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 3.6.2.4 Interpretations ~~and decisions~~ of the Architect shall be consistent with the intent of, and reasonably inferable from, the Contract Documents and shall be in writing or in the form of drawings. When making such interpretations ~~and decisions~~, the Architect shall endeavor to secure faithful performance by ~~both Owner and the Contractor~~, shall not show partiality to ~~either, either the Owner or Contractor~~, and shall not be liable for results of interpretations or

decisions-rendered in good faith. The Architect's ~~decisions-interpretations~~ on matters relating to aesthetic effect shall be final if consistent with the intent expressed in the Contract Documents.

**§ 3.6.2.5** ~~Unless the Owner and Contractor designate another person to serve as an Initial Decision Maker, as that term is defined in AIA Document A201-2017, the Architect shall render initial decisions on Claims between the Owner and Contractor as provided in the Contract Documents. The Parties agree that the Architect shall not serve as the Initial Decision Maker, at that term is defined in the AIA form Document A201-2017 and further agree that there will be no Initial Decision Maker in the Owner Contractor claims process. Any language to the contrary in the owner modified A201-2017 is to have no force and effect. The Parties agree, however, that the Architect shall render initial interpretations on claims between the Owner and the Contractor at the Owner's request.~~

PAGE 13

**§ 3.6.3.2** ~~The issuance of a Certificate for Payment shall not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) (1) has made the on-site observations §3.6.2.1 requires, that the Work has progressed as indicated, and the quality of the Work is in general accordance with the Contract Documents, but shall not be a representation that the Architect (1) has reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) ascertained how or for what purpose the Contractor has used money previously paid or procedures or work that becomes concealed during the normal course of construction, (2) reviewed trade contractor and supplier requisitions to substantiate the CM's right to payment, or (3) ascertained how and for what purpose the CM used funds the Owner previously paid the CM on account of the Contract Sum.~~

...

**§ 3.6.4.2** ~~The Architect shall review and approve, or take other appropriate action upon, concerning, the Contractor's submittals-submittals, such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. Review of such submittals is the Contract Documents and not for the purpose of determining the accuracy and completeness of other information such as dimensions, quantities, and installation or performance of equipment or systems, which are the Contractor's responsibility of dimensions, quantities or other, similar information. The Architect shall act on these submittals within 16 calendar days, and in accordance with the approved submittal schedule, unless the Owner approves the Architect's request for additional time. The Owner shall not unreasonably withhold its approval of these requests. The Architect's review shall not constitute approval of safety precautions or precautions, nor shall it constitute approval of construction means, methods, techniques, sequences or procedures-procedures, unless the Contract Documents provide otherwise. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component. component in an assembly shall not indicate approval of the entire assembly. The Architect shall consider and evaluate substitutions of materials or components of work the Contractor proposes during construction and incorporate them into the Contract Documents if the Owner and Architect agree that they decrease construction costs without diminishing the quality of the design.~~

**§ 3.6.4.3** ~~If the Contract Documents specifically require the Contractor to provide professional design services or certifications by a design professional related to systems, materials, or equipment, the Architect shall specify the appropriate performance and design criteria that such services must satisfy. The Architect shall review and take appropriate action on Shop Drawings and other submittals related to the Work designed or certified by the Contractor's design professional, provided the submittals bear such professional's seal and signature when submitted to the Architect. The Architect's review shall be for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect shall be entitled to rely upon, and shall not be responsible for, the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals. The Architect shall specifically notify the Owner of the design services it proposes to delegate to the CM, and unless the Owner objects to a delegation within fourteen calendar days, the Architect may implement the delegation. The Owner acknowledges that delegating certain aspects of the design to the CM is customary in projects of this sort and agrees not to object unreasonably to proposed delegations.~~

**§ 3.6.4.3.1** ~~The Architect shall provide to the Contractor a base set of contract drawings in electronic format for their use in the preparation of coordination drawings and submittals. This service shall be provided by the Architect at no additional cost to the Owner or Contractor.~~

~~§ 3.6.4.4 Subject to Section 4.2, the The Architect shall review and respond to requests for information about the Contract Documents. The Architect shall set forth, in the Contract Documents, the requirements for requests for information. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific Drawings or Specifications in need of clarification and the nature of the clarification requested. The Architect's response to such requests shall be made in writing within any time limits agreed upon, or otherwise with reasonable promptness. If appropriate, the Architect shall provide a written response to these requests within ten (10) calendar days unless the Owner approves the Architect's request for additional time. The Owner shall not unreasonably withhold its approval of these requests. The Architect shall prepare and issue supplemental Drawings and Specifications in response to the responses to requests for information.~~

PAGE 14

~~§ 3.6.5.1 The Architect may order authorize minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in to the Contract Sum or an extension of the Contract Time. Subject to Section 4.2, the Architect shall prepare Change Orders and Construction Change Directives for the Owner's approval and execution in accordance with the Contract Documents. With respect to other changes the Owner initiates, the Architect shall provide the services needed to enable the CM to prepare and issue the Change Order document, or in the absence of an agreement between the Owner and the CM concerning a proposed Change Order, to enable it to prepare and issue on the Owner's behalf a Construction Change Directive to the CM. With respect to proposals for Change Orders made by the CM, the Architect shall advise the Owner on whether it should accept or reject the proposal providing the reasons therefore. If the Owner accepts the proposal, the Architect will treat the proposal as if it were an Owner-initiated Change Order and perform the services described above for Owner-initiated Change Orders. The Architect's services concerning Owner-initiated changes or CM change proposals shall be a Basic Service. However, if a particular change would substantially alter a major design element or if the volume of changes becomes substantially greater than reasonably expected on a project of this scale, the Architect may propose that the service it provides in these circumstances be treated as an Additional Service. The Owner will act in good faith on any such proposal and shall not unreasonably withhold its approval.~~

~~§ 3.6.5.1.1 NOT USED~~

~~§ 3.6.5.1.2 NOT USED~~

~~§ 3.6.5.1.3 Omitted.~~

PAGE 15

- ~~.3 review and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract Documents and received from the Contractor; and,~~
- ~~.4 issue/certify a final Certificate for Payment based upon a final inspection indicating that, to the best of the Architect's knowledge, information, and belief, the Work complies with the requirements of the Contract Documents.~~

~~§ 3.6.6.2 The Architect's inspections shall be conducted with the Owner Contractor and/or the Owner, at the Owner's discretion, to check conformance of the Work with the requirements of the Contract Documents and to verify the accuracy and completeness of the list submitted by the Contractor of Work to be completed or corrected (the punch list). In the process of reviewing the Contractor-prepared punch list, the Architect shall conduct its own, independent review to identify items that are incomplete or require correction. If necessary, the Architect shall on the Owner's behalf, require the Contractor to modify its punch list to conform to its review.~~

~~§ 3.6.6.2.1 The Architect shall, within ninety (90) calendar days from the issuance of the final Certificate for Payment, prepare and deliver to the Owner (1) the latest version of the Building Information Model (sans items proprietary to SLAM), (2) architectural plans, sections and elevations in CAD format, and (3) as-designed record drawings in PDF form. The parties understand that the Architect will be providing interim issuances of the model during the course of construction.~~

...

~~§ 3.6.6.4 The Architect shall review and forward to the Owner the following information received from the Contractor: (1) consent of surety or sureties, if any, to reduction in or partial release of retainage or the making of final payment;~~



(2) affidavits, receipts, releases and waivers of liens, or bonds indemnifying the Owner against liens; and (3) any other documentation required of the Contractor under the Contract Documents.

...

**ARTICLE 4 — SUPPLEMENTAL AND ADDITIONAL SERVICES**

**§ 3.6.6.6 Warranty Period Services**

The Architect shall assist as reasonably requested by the Owner during the warranty period set forth in the Contract Documents in identifying defects in the Project and in determining and implementing appropriate corrective measures in accordance with the requirements of the Construction Contract. Approximately one month before expiration of the Contractor’s warranty period, the Architect shall conduct an inspection of the Project together with the Owner’s representatives and shall report in writing any observed discrepancies under warranties or guarantees required by the Contract Documents.

**ARTICLE 4 ADDITIONAL SERVICES**

**§ 4.1 Supplemental Services All omitted.**

~~§ 4.1.1 The services listed below are not included in Basic Services but may be required for the Project. The Architect shall provide the listed Supplemental Services only if specifically designated in the table below as the Architect’s responsibility, and the Owner shall compensate the Architect as provided in Section 11.2. Unless otherwise specifically addressed in this Agreement, if neither the Owner nor the Architect is designated, the parties agree that the listed Supplemental Service is not being provided for the Project.~~

~~(Designate the Architect’s Supplemental Services and the Owner’s Supplemental Services required for the Project by indicating whether the Architect or Owner shall be responsible for providing the identified Supplemental Service. Insert a description of the Supplemental Services in Section 4.1.2 below or attach the description of services as an exhibit to this Agreement.)~~

<b>Supplemental Services</b>	<b>Responsibility</b> <i>(Architect, Owner, or not provided)</i>
<del>§ 4.1.1.1 Programming</del>	
<del>§ 4.1.1.2 Multiple preliminary designs</del>	
<del>§ 4.1.1.3 Measured drawings</del>	
<del>§ 4.1.1.4 Existing facilities surveys</del>	
<del>§ 4.1.1.5 Site evaluation and planning</del>	
<del>§ 4.1.1.6 Building Information Model management responsibilities</del>	
<del>§ 4.1.1.7 Development of Building Information Models for post construction use</del>	
<del>§ 4.1.1.8 Civil engineering</del>	
<del>§ 4.1.1.9 Landscape design</del>	
<del>§ 4.1.1.10 Architectural interior design</del>	
<del>§ 4.1.1.11 Value analysis</del>	
<del>§ 4.1.1.12 Detailed cost estimating beyond that required in Section 6.3</del>	
<del>§ 4.1.1.13 On-site project representation</del>	
<del>§ 4.1.1.14 Conformed documents for construction</del>	
<del>§ 4.1.1.15 As-designed record drawings</del>	
<del>§ 4.1.1.16 As-constructed record drawings</del>	
<del>§ 4.1.1.17 Post-occupancy evaluation</del>	
<del>§ 4.1.1.18 Facility support services</del>	
<del>§ 4.1.1.19 Tenant-related services</del>	

Supplemental Services	Responsibility <i>(Architect, Owner, or not provided)</i>
<del>§ 4.1.1.20 Architect's coordination of the Owner's consultants</del>	
<del>§ 4.1.1.21 Telecommunications/data design</del>	
<del>§ 4.1.1.22 Security evaluation and planning</del>	
<del>§ 4.1.1.23 Commissioning</del>	
<del>§ 4.1.1.24 Sustainable Project Services pursuant to Section 4.1.3</del>	
<del>§ 4.1.1.25 Fast track design services</del>	
<del>§ 4.1.1.26 Multiple bid packages</del>	
<del>§ 4.1.1.27 Historic preservation</del>	
<del>§ 4.1.1.28 Furniture, furnishings, and equipment design</del>	
<del>§ 4.1.1.29 Other services provided by specialty Consultants</del>	
<del>§ 4.1.1.30 Other Supplemental Services</del>	

**§ 4.1.2 Description of Supplemental Services**All omitted.

**§ 4.1.2.1** A description of each Supplemental Service identified in Section 4.1.1 as the Architect's responsibility is provided below.

*(Describe in detail the Architect's Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit. The AIA publishes a number of Standard Form of Architect's Services documents that can be included as an exhibit to describe the Architect's Supplemental Services.)*

**§ 4.1.2.2** A description of each Supplemental Service identified in Section 4.1.1 as the Owner's responsibility is provided below.

*(Describe in detail the Owner's Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit.)*

**§ 4.1.3** If the Owner identified a Sustainable Objective in Article 1, the Architect shall provide, as a Supplemental Service, the Sustainability Services required in AIA Document E204™ 2017, Sustainable Projects Exhibit, attached to this Agreement. The Owner shall compensate the Architect as provided in Section 11.2.

The Architect may provide Additional Services after execution of this Agreement without invalidating the Agreement. Except for services required due to the fault of the Architect, any Additional Services provided in accordance with this Section 4.2 shall entitle the Architect to compensation pursuant to Section 11.3 and an appropriate adjustment in the Architect's schedule. The Architect shall provide the Owner with a scope of work, time and fee proposal for Owner's approval before providing any such services.

**PAGE 16**

~~.7 — Preparation for, and attendance at, a public presentation, meeting or hearing;~~

~~.7~~Preparation for, and attendance at a reasonable number (no less than twelve (12)) of public presentations, meetings or hearings during the construction administration phase .8 Preparation for, and attendance at, a dispute resolution proceeding or legal proceeding, except where the Architect is party thereto;

...

- .10 Consultation concerning replacement of Work resulting from fire or other cause during construction;  
or,
- ~~.11 Assistance to the Initial Decision Maker, if other than the Architect.~~

§ 4.2.2 To avoid delay in the Construction Phase, the Architect shall provide the following Additional Services, notify the Owner in writing with reasonable promptness, and explain the facts and circumstances giving rise to the need. If, upon receipt of the Architect's notice, the Owner determines that all or parts of the services are not required, the Owner shall give prompt written notice to the Architect of the Owner's determination. The Owner shall compensate the Architect for the services provided prior to the Architect's receipt of the Owner's ~~notice~~ notice to the extent such services are not necessary due to the Architect's negligent errors or omissions.

...

- .3 Preparing Change Orders and Construction Change Directives that require evaluation of Contractor's proposals and supporting data, or the preparation or revision of Instruments of ~~Service~~ Service per Section 3.6.5.1;
- .4 Evaluating an extensive number of Claims as the Initial Decision Maker; ~~or, or~~
- .5 Evaluating ~~substitutions proposed by the Owner or Contractor and making subsequent revisions to Instruments of Service resulting therefrom.~~ proposals for substitutions from the Owner or the Contractor beyond a number that is reasonable for a project of this scale.

...

- .1 Two ( 2 ) reviews of each Shop Drawing, Product Data item, sample and similar submittals of the Contractor
- .2 ~~( — )~~ visits One ( 1 ) visit every week to the site by the Architect during construction
- .3 Two ( 2 ) inspections for any portion of the Work to determine whether such portion of the Work is substantially complete in accordance with the requirements of the Contract Documents
- .4 ~~( — )~~ Two ( 2 ) inspections for any portion of the Work to determine final completion.

PAGE 17

§ 4.2.5 ~~If~~ If, through no fault of the Architect, the services covered by this Agreement have not been completed within ~~( — )~~ months of the date of this Agreement, through no fault of the Architect, extension of the duration of the Construction Phase contained in the Architect's proposed schedule and RFP response plus two months, the Architect's services beyond that time shall be compensated as ~~Additional Services.~~ by the appropriate lump sum payment set forth in the Architect's Revised Fee Proposal (see Section 13.2).

...

§ 5.5 ~~The Owner shall furnish services of geotechnical engineers, which may include test borings, test pits, determinations of soil bearing values, percolation tests, evaluations of hazardous materials, seismic evaluation, ground corrosion tests and resistivity tests, including necessary operations for anticipating subsoil conditions, with written reports and appropriate recommendations.~~ Omitted.

...

§ 5.7 ~~If the Owner identified a Sustainable Objective in Article 1, the Owner shall fulfill its responsibilities as required in AIA Document E204™ 2017, Sustainable Projects Exhibit, attached to this Agreement.~~ Omitted.

...

§ 5.9 ~~The~~ If not part of the Architect's Services, the Owner shall furnish tests, inspections and reports required by law or the Contract Documents, such as structural, mechanical, and chemical tests, tests for air and water pollution, and tests for hazardous materials.

PAGE 18

§ 5.16 The Architect's sole remedy for Owner delays shall be an extension of time to complete the work and the Architect hereby waives any claims for consequential damages, including, but not limited to, principal office expense, loss of financing, reputation and/or lost profit. This provision does not negate the Architect's right to Additional Compensation under §4.2.5.

§ 6.1 For purposes of this Agreement, the Cost of the Work shall be the total cost to the Owner to construct all elements of the Project designed or specified by the Architect and shall include contractors' general conditions costs, overhead and profit. The Cost of the Work ~~also includes the reasonable value of labor, materials, and equipment, donated to, or otherwise furnished by, the Owner. The Cost of the Work does not include the compensation of the Architect; the costs of the land, rights-of-way, financing, or contingencies for changes in the Work; or other costs that are the responsibility of the Owner.~~

§ 6.1.1 The Cost of the Work shall include the cost at current market rates of labor and materials furnished by the Owner and equipment designed, specified, selected or specially provided for by the Architect, including the costs of management or supervision of construction or installation provided by a separate construction manager or contractor, plus a reasonable allowance for their overhead and profit. In addition, a reasonable allowance for market condition adjustments at the time of bidding and for changes shall be included in the Cost of the Work.

§ 6.1.3 Funds from the Owner Contingency cannot be committed or expended without the authorization of the Owner.

~~§ 6.2 The Owner's budget for the Cost of the Work is provided in Initial Information, and shall be adjusted throughout the Project as required under Sections 5.2, 6.4 and 6.5. Evaluations of the Owner's budget for the Cost of the Work, and the preliminary estimate of the Cost of the Work and updated estimates of the Cost of the Work, prepared by the Architect, represent the Architect's judgment as a design professional. It is recognized, however, that neither the Architect nor the Owner has control over the cost of labor, materials, or equipment; the Contractor's methods of determining bid prices; or competitive bidding, market, or negotiating conditions. Accordingly, the Architect cannot and does not warrant or represent that bids or negotiated prices will not vary from the Owner's budget for the Cost of the Work, or from any estimate of the Cost of the Work, or evaluation, prepared or agreed to by the Architect.~~  
~~Omitted.~~

§ 6.3 In preparing estimates of the Cost of Work, Work at the Schematic Design, Design Development and Construction Documents Phases, the Architect shall be permitted to include contingencies for design, bidding, and price escalation; to determine what materials, equipment, component systems, and types of construction are to be included in the Contract Documents; to recommend reasonable adjustments in the program and scope of the Project; and to include design alternates as may be necessary to adjust the estimated Cost of the Work to meet the Owner's budget. The Architect's estimate of the Cost of the Work shall be based on current area, volume or similar conceptual estimating techniques. If the Owner requires a detailed estimate of the Cost of the Work, the Architect shall provide such an estimate, if identified as the Architect's responsibility in Section 4.1.1, as a Supplemental Service estimate as a Basic Service.

~~§ 6.4 If, through no fault of the Architect, the Procurement Phase has not commenced within 90 days after the Architect submits the Construction Documents to the Owner, the Owner's~~  
If at any time the Architect's estimate of the Cost of the Work exceeds the Owner's budget for the Cost of the Work, the Architect shall make appropriate recommendations to the Owner to adjust the Project's size, quality, or budget for the Cost of the Work shall be adjusted to reflect changes in the general level of prices in the applicable construction market. Work, and the Owner shall cooperate with the Architect in making such adjustments.

~~§ 6.5 If at any time the Architect's estimate of the Cost of the Work exceeds the Owner's budget for the Cost of the Work, the Architect shall make appropriate recommendations to the Owner to adjust the Project's size, quality, or budget for the Cost of the Work, and the Owner shall cooperate with the Architect in making such adjustments.~~  
the bids (or the GMP proposed based on the results of trade contractor bidding) exceed the Owners' budget for the Cost of the Work at the conclusion of the Construction Document Phase, the Owner may:

.1 approve an increase in its Cost of the Work budget to build per the current design;

.2 require the CM to rebid the trade contracts;

- .3 terminate the Architect for its convenience under §9.5;
- .4 in consultation with the Architect and with its advice, revise the Project program, scope or quality to reduce the Cost of the Work to the amount of the Owner's budget for that Work at the conclusion of the Construction Document Phase, in which case the Architect shall prepare revised Construction Documents for the Owner's approval to implement the revision; or
- .5 implement any other mutually agreeable alternative.

§ 6.6 If the Owner's budget for Owner chooses to proceed under §6.5.4, the Architect shall prepare the revised Construction Documents without additional compensation if the bids (or the proposed GMP based on the bids) exceeded the pertinent Cost of the Work budget principally due to factors within the Architect's control and failed to account for in its estimate of the Cost of the Work at the conclusion of the Construction Documents Phase Services exceeded by the lowest bona fide bid or negotiated proposal, the Owner shall

- .1 give written approval of an increase in the budget for the Cost of the Work;
- .2 authorize rebidding or renegotiating of the Project within a reasonable time;
- .3 terminate in accordance with Section 9.5;
- .4 in consultation with the Architect, revise the Project program, scope, or quality as required to reduce the Cost of the Work; or,
- .5 implement any other mutually acceptable alternative.

Phase. Otherwise, the Architect shall be compensated for this revising as an Additional Service."

§ 6.7 If the Owner chooses to proceed under Section 6.6.4, the Architect shall modify the Construction Documents as necessary to comply with the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services, or the budget as adjusted under Section 6.6.1. If the Owner requires the Architect to modify the Construction Documents because the lowest bona fide bid or negotiated proposal exceeds the Owner's budget for the Cost of the Work due to market conditions the Architect could not reasonably anticipate, the Owner shall compensate the Architect for the modifications as an Additional Service pursuant to Section 11.3; otherwise the Architect's services for modifying the Construction Documents shall be without additional compensation. In any event, the Architect's modification of the Construction Documents shall be the limit of the Architect's responsibility under this Article 6.

§ 6.6.1 The Owner's Representative will compare and analyze the Reconciled Cost Estimates (required in Article 2.4) and the Construction Budget. If the Reconciled Cost Estimates exceed the Construction Budget, the Architect shall advise the Owner on ways to revise the Project so its cost is within the Construction Budget. The Architect shall recommend options for cost reduction for the Owner's approval.

**PAGE 19**

§ 7.3 The Architect grants to the Owner a nonexclusive-nonexclusive, irrevocable license to use the Architect's Instruments of Service solely and exclusively for purposes of constructing, using, maintaining, altering and adding to the Project, Service, provided that the Owner substantially performs its obligations under this Agreement, including prompt payment of all sums due pursuant to Article 9 and Article 11. The Architect shall obtain similar nonexclusive licenses from the Architect's consultants consistent with this Agreement. The license granted under this section permits the Owner to authorize the Contractor, Subcontractors, Sub-subcontractors, and suppliers, as well as the Owner's consultants and separate contractors, to reproduce applicable portions of and use the Instruments of Service, subject to any protocols established pursuant to Section 1.3, solely and exclusively for use in performing services or construction for the Project. 1.3 for any purpose whatsoever. If the Architect rightfully terminates this Agreement for cause as provided in Section 9.4, the license granted in this Section 7.3 shall terminate. If and upon the date the Architect is terminated by the Owner for any cause other than default by the Owner of this Agreement, the foregoing license shall be deemed terminated and replaced by a second, nonexclusive license permitting the Owner to authorize other similarly credentialed design professionals to reproduce and, where permitted by law, to make changes, corrections or additions to the Instruments of Service solely for purposes of completing, using and maintaining the Project. In the case where the parties are engaged in a good faith dispute, the Owner will retain the aforementioned nonexclusive license until or unless the Owner is deemed to be in default. Nothing in Article 7 shall be construed or interpreted as a transfer or sale of: The Architect's name, title block, logo, software, hardware, software as a subscription, Revit families, standard details and/or custom details which shall remain the sole property of the Architect or the parties from which it obtains such services.

**PAGE 20**



§ 8.1.1 The Owner and Architect shall commence all claims and causes of action against the other and arising out of or related to this Agreement, whether in contract, tort, or otherwise, in accordance with the requirements of the binding dispute resolution method selected in this Agreement and within the period specified by applicable law, ~~but in any case not more than 10 years after the date of Substantial Completion of the Work.~~ law. The Owner and Architect waive all claims and causes of action not commenced in accordance with this Section 8.1.1.

...

[ X ] Litigation in a court of competent jurisdiction  
PAGE 21

§ 9.1 If the Owner fails to make payments to the Architect in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Architect's option, cause for suspension of performance of services under this Agreement. If the Architect elects to suspend services, the Architect shall give seven days' written notice to the Owner before suspending services. In the event of a suspension of services, the Architect shall have no liability to the Owner for delay or damage caused the Owner because of such suspension of services. Before resuming services, the Owner shall pay the Architect all sums due prior to suspension and any reasonable expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

§ 9.2 If the Owner suspends the Project, for reasons other than the fault of the Architect, the Architect shall be compensated for services performed prior to notice of such suspension. When the Project is resumed, the Architect shall be compensated for reasonable expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.  
PAGE 22

§ 9.6 If the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall compensate the Architect for services performed prior to termination, Reimbursable Expenses incurred, and reasonable costs attributable to termination, including the reasonable costs attributable to the Architect's termination of consultant agreements.

...

Not applicable.

...

No Additional Charge.

...

~~§ 10.1 This Agreement shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 8.3.~~

The parties deem this Agreement to have been made in the City of Stamford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Agreement to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Fairfield, at Stamford, only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court. The Consultant hereby waives any objection which it may now have or will have to the laying of venue of any claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

§ 10.2 Terms in this Agreement shall have the same meaning as those in AIA Document A201-2017, General Conditions of the Contract for ~~Construction~~ Construction, as amended by Owner and attached hereto as Exhibit C.

**§ 10.3** The Owner and Architect, respectively, bind themselves, their agents, successors, assigns, and legal representatives to this Agreement. Neither the Owner nor the Architect shall assign this Agreement without the written consent of the other, ~~except that the Owner may assign this Agreement to a lender providing financing for the Project if the lender agrees to assume the Owner's rights and obligations under this Agreement, including any payments due to the Architect by the Owner prior to the assignment.~~other.

**§ 10.4** If the Owner requests the Architect to execute certificates, the proposed language of such certificates shall be submitted to the Architect for review at least 14 days prior to the requested dates of execution. ~~If the Owner requests the Architect to execute consents reasonably required to facilitate assignment to a lender, the Architect shall execute all such consents that are consistent with this Agreement, provided the proposed consent is submitted to the Architect for review at least 14 days prior to execution.~~The Architect shall not be required to execute certificates ~~or consents~~ that would require knowledge, services, or responsibilities beyond the scope of this Agreement.

**PAGE 23**

**§ 10.6** Unless otherwise required in this ~~Agreement,~~Agreement or the documents listed in § 13.2, below, the Architect shall have no responsibility for the discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials or toxic substances in any form at the Project site.

...

~~**§ 10.9** The invalidity of any provision of the Agreement shall not invalidate the Agreement or its remaining provisions. If it is determined that any provision of the Agreement violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Agreement shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Agreement.~~

**§ 10.9** During the term of this Agreement, including any extensions, the Architect shall refrain from making gifts of money, goods, real or personal property or services to any appointed or elected official or employee of the City of Stamford or the Stamford Board of Education or any appointed or elected official or employee of their Boards, Commissions, Departments, Agencies or Authorities. All references to the Architect shall include its members, officers, directors, employees, and owners of more than 5% equity in the Architect.

**§ 10.10** The Architect is prohibited from using its status as a consultant to the Owner to derive any interest(s) or benefit(s) from other individuals or organizations, and, therefore, shall comply with all the prohibitions set forth in the Stamford Municipal Code of Ethics as codified in Chapter 19 of the City of Stamford Code of Ordinances.

**§ 10.11** Neither the Architect, the Architect's Representatives or the Architect's key personnel shall commit any act or do anything which might reasonably be considered: (i) to be immoral, deceptive, scandalous or obscene; or (ii) to injure, tarnish, damage or otherwise negatively affect the community and/or the reputation and goodwill associated with the Owner. If the Architect, the Architect's Representative or the Architect's key personnel is accused of any act involving moral or ethical issues, dishonestly, theft or misappropriation, under any law, or any act which casts an unfavorable light upon its association with the community and/or the Owner or the Architect is accused of performing or committing any act which could adversely impact the Architect's events, programs, services, or reputation, the Owner shall have the right to terminate this contract upon fifteen (15) days written notice specifying the reason, within which period the Architect may cure such offense. The determination of whether and to what extent the offense is cured shall be made by the Owner at its sole discretion.

**§ 10.12** The Architect acknowledges that the Owner is a municipal corporation, that the Owner's obligation to make payments under this Agreement is contingent upon the appropriation by the City of Stamford Board of Representatives of funds sufficient for such purposes for each budget year in which the Agreement is in effect, and that the City may terminate this Agreement by way of written notice to the Architect if sufficient funds to prove for the payment(s) hereunder are not so appropriated.

**§ 10.13** The invalidity of any provision of the Agreement shall not invalidate the Agreement or its remaining provisions. If it is determined that any provision of the Agreement violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and

enforceable. In such case the Agreement shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Agreement.

§ 10.14 This Agreement may be executed in any number of counterparts, each of which shall be deemed an original. The counterparts shall together constitute but one Agreement. Any signature on a copy of this Agreement or any document necessary or convenient thereto sent by facsimile, PDF or other electronic format shall be binding upon such transmission and the facsimile, PDF or other electronic format copy shall be deemed an original for the purposes of this Agreement. Paper copies or "printouts," if introduced as evidence in any judicial, arbitral, mediation or administrative proceeding, will be admissible as between the parties to the same extent and under the same conditions as other original business records created and maintained in documentary form. Neither party shall contest the admissibility of true and accurate copies of electronically signed documents on the basis of the best evidence rule or as not satisfying the business records exception to the hearsay rule.

PAGE 24

(Insert amount) Stipulated Sum, "See The Architect's Written Fee Proposal as revised" – a lump sum of \$13,084,000.00, subject to the notes thereon.

The Stipulated Sum includes \$650,000.00 for a natatorium, \$10,000.00 as an added fee for Early Bid Packages and \$210,000.00 as an added fee for integrated Net Zero Design. If the Owner opts to eliminate one or more of these items, the Stipulated Sum Fee shall be reduced accordingly.

...

Allowances in addition to the lump sum in 11.1.1 above totaling \$429,000 as shown on The Architect's Written Fee Proposal as revised:

...

§ 11.2 For the Architect's Supplemental Services designated in Section 4.1.1 and for any Sustainability Services required pursuant to Section 4.1.3, the Owner shall compensate the Architect as follows: (Insert amount of, or basis for, compensation. If necessary, list specific services to which particular methods of compensation apply.) Omitted.

PAGE 25

As mutually agreed to in writing by the Owner and where appropriate utilizing the architect's hourly rates submitted with their proposal.

§ 11.4 Compensation for Supplemental and Additional Services of the Architect's consultants when not included in Section 11.2 or 11.3, shall be the amount invoiced to the Architect plus one percent ( 1 %), or as follows:

...

§ 11.5 When compensation for Basic Services is based on a stipulated sum or a percentage basis, the proportion of compensation for each phase of services shall be as follows:

Schematic Design Phase	percent (	)
Design Development Phase	percent (	)
Construction Documents Phase	percent (	)
Procurement Phase	percent (	)
Construction Phase	percent (	)
Total Basic Compensation	one hundred percent (	100 %)

Omitted.

...



§ 11.7 The hourly billing rates for services of the Architect and the Architect's consultants are set forth below. The rates shall be adjusted in accordance with the Architect's and Architect's consultants' normal review practices. *(If applicable, attach an exhibit of hourly billing rates or insert them below.)*

See RFP response for the hourly rates for the Architect full team and all its proposed consultants.

...

- .2 Long distance services, dedicated data and communication services, teleconferences, Project web sites, and extranets; Omitted

...

- .4 Printing, reproductions, plots, and standard form documents; documents as requested by the Owner or as required for submission to governing bodies and agencies and bidding purposes. Charges for the Architect's internal printing, reproductions, plots and other documents is not permitted;
- .5 Postage, handling, and delivery; delivery of Instruments of Service;
- .6 Expense of overtime work requiring higher than regular rates, if authorized in advance by the Owner; Omitted
- .7 Renderings, physical models, mock-ups, professional photography, and presentation materials requested and authorized in advance as an additional service by the Owner or required for the Project;
- .8 If required by the Owner, and with the Owner's prior written approval, the Architect's consultants' expenses of professional liability insurance dedicated exclusively to this Project, or the expense of additional insurance coverage or limits in excess of that normally maintained by the Architect's consultants; The premium the Architect has paid for the \$5 million, job-specific excess professional liability policy the Owner has required the Architect to obtain

...

- .12 Other similar Project-related expenditures; direct Project-related expenditures, if authorized by the Owner.

§ 11.8.2 For Reimbursable Expenses the compensation shall be the expenses incurred by the Architect and the Architect's consultants plus five percent ( 5 %) of the expenses incurred.

§ 11.9 Architect's Insurance. If the types and limits of coverage required in Section 2.5 are in addition to the types and limits the Architect normally maintains, the Owner shall pay the Architect for the additional costs incurred by the Architect for the additional coverages as set forth below; See Section 2.6.

(Insert the additional coverages the Architect is required to obtain in order to satisfy the requirements set forth in Section 2.5, and for which the Owner shall reimburse the Architect.)

Not applicable.

PAGE 26

§ 11.10.1.1 An initial payment of (\$ ) shall be made upon execution of this Agreement and is the minimum payment under this Agreement. It shall be credited to the Owner's account in the final invoice. No initial payment shall be made by the Owner upon execution of this Agreement

§ 11.10.1.2 If a Sustainability Certification is part of the Sustainable Objective, an initial payment to the Architect of (\$ ) shall be made upon execution of this Agreement for registration fees and other fees payable to the Certifying Authority and necessary to achieve the Sustainability Certification. The Architect's payments to the Certifying Authority shall be credited to the Owner's account at the time the expense is incurred.

§ 11.10.2.1 Unless otherwise agreed, payments for services shall be made monthly in proportion to services performed. Payments are due and payable upon presentation of the Architect's invoice. Amounts unpaid ( ) days

after the invoice date shall bear interest at the rate entered below, or in the absence thereof at the legal rate prevailing from time to time at the principal place of business of the Architect. invoice and supporting documentation.

...

Not Applicable %

...

§ 11.10.2.3 Records of Reimbursable Expenses, expenses pertaining to Supplemental and Additional Services, and services performed on the basis of hourly rates shall be available to the Owner at mutually convenient times. submitted by Architect with its invoice.

...

In the event of a conflict between this modified B101 document and the A201 General Conditions, this modified B101 will govern.

...

- .2 AIA Document E203™ 2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below: Architect provided an owner approved execution plan template to be prepared and approved subsequently.  
*(Insert the date of the E203-2013 incorporated into this agreement.)*  
E203 Not Applicable

...

[  ] Other Exhibits incorporated into this Agreement:  
*(Clearly identify any other exhibits incorporated into this Agreement, including any exhibits and scopes of services identified as exhibits in Section 4.1.2.)*

- A. The City's RFP No. 2023.0030, and addenda thereto;
- B. The Architect's Original Written Proposal;
- B-1. The Architect's Revised Fee Proposal of 8/30/23.
- B-2. The Architect's Approved Certificate of Insurance; and
- C. The AIA A201 General Conditions for Construction as Modified by the Owner.

PAGE 27

\_\_\_\_\_, Mayor

\_\_\_\_\_, Daniel S. Kantor, Treasurer and CFO

...

Approved as to From by

\_\_\_\_\_  
Chris Dellaselva, Assistant Corporation Counsel

Date: \_\_\_\_\_

Approved as to Insurance by

\_\_\_\_\_  
David Villalva, Risk Manager

Date: \_\_\_\_\_

# **Certification of Document's Authenticity**

## **AIA® Document D401™ – 2003**

I, \_\_\_\_\_, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 09:45:06 ET on 09/01/2023 under Order No. 3104238234 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document B101™ – 2017, Standard Form of Agreement Between Owner and Architect, other than those additions and deletions shown in the associated Additions and Deletions Report.

---

*(Signed)*

---

*(Title)*

---

*(Dated)*